

F21	375	JAPIO
F22	244*	BIOTECHABS
F23	244*	BIOTECHDS
F24	218	AGRICOLA
F25	200	NLDB
F26	177	TOXLINE
F27	172	DPCI
F28	151	PATOSWO
F29	123	JICST-EPLUS
F30	118	TULSA
F31	113	AQUASCI
F32	80	GENBANK
F33	45	BIOBUSINESS
F34	45*	INPADOC
F35	41	OCEAN
F36	40	AIDSLINE
F37	40	EMBAL
F38	37*	CEABA
F39	31	ANABSTR
F40	30	CJELSEVIER
F41	30	PIRA
F42	28	DRUGU
F43	22*	FSTA
F44	19*	CIN
F45	17	CEN
F46	17*	RAPRA
F47	15	DDFU
F48	14	CONFSCI
F49	12	PHIN
F50	8	PNI
F51	6	CROPU
F52	6	PAPERCHEM2
F53	4	JPNEWS
F54	3	HEALSAFE
F55	3*	APIPAT
F56	1	DDFB
F57	1	DRUGB
F58	1	TULSA2

*W/ Davis Reese*

=> file f1-f58

COST IN U.S. DOLLARS

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TOTAL

ENTRY

SESSION

FULL ESTIMATED COST

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=> s (SBH or sequenc###(p)array#)

L2 14206 FILE USPATFULL  
L3 4819 FILE IFIPAT  
L4 2696 FILE CAPLUS  
L5 2571 FILE EUROPATFULL  
L6 2439 FILE WPIDS

PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'

L7 2048 FILE BIOSIS  
L8 1913 FILE SCISEARCH  
L9 1884 FILE MEDLINE  
L10 1575 FILE EMBASE  
L11 1154 FILE TOXLIT  
L12 981 FILE LIFESCI  
L13 915 FILE CJACS

L14            746 FILE DISSABS  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L15            728 FILE NTIS  
 L16            511 FILE PROMT  
 L17            481 FILE DGENE  
 L18            456 FILE CABA  
 L19            400 FILE PATOSEP  
 L20            399 FILE CANCERLIT  
 L21            375 FILE JAPIO  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L22            244 FILE BIOTECHDS  
 L23            218 FILE AGRICOLA  
 L24            200 FILE NLDB  
  
 L25            177 FILE TOXLINE  
 L26            172 FILE DPCI  
 L27            151 FILE PATOSWO  
 L28            123 FILE JICST-EPLUS  
 L29            118 FILE TULSA  
 L30            113 FILE AQUASCI  
  
 L31            80 FILE GENBANK  
 L32            45 FILE BIOBUSINESS  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L33            45 FILE INPADOC  
 L34            41 FILE OCEAN  
 L35            40 FILE AIDSLINE  
 L36            40 FILE EMBAL  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L37            37 FILE CEABA  
 L38            31 FILE ANABSTR  
 L39            30 FILE CJELSEVIER  
 L40            30 FILE PIRA  
 L41            28 FILE DRUGU  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L42            22 FILE FSTA  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
 L43            19 FILE CIN  
 L44            17 FILE CEN  
 PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
 FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'

L45 17 FILE RAPRA  
L46 14 FILE CONFSCI  
L47 12 FILE PHIN  
L48 8 FILE PNI  
L49 6 FILE CROPU  
L50 6 FILE PAPERCHEM2  
L51 4 FILE JPNEWS  
L52 3 FILE HEALSAFE  
PROXIMITY OPERATOR LEVEL NOT CONSISTENT WITH  
FIELD CODE - 'AND' OPERATOR ASSUMED 'SEQUENC###(P)ARRAY#'  
L53 3 FILE APIPAT  
L54 1 FILE DRUGB  
L55 1 FILE TULSA2

TOTAL FOR ALL FILES

L56 43393 (SBH OR SEQUENC###(P) ARRAY#)

=> s l56 and (mismatch or single base)

L57 519 FILE USPATFULL  
L58 25 FILE IFIPAT  
L59 37 FILE CAPLUS  
L60 123 FILE EUROPATFULL

L61 9 FILE WPIDS  
L62 27 FILE BIOSIS  
L63 23 FILE SCISEARCH  
L64 26 FILE MEDLINE  
L65 21 FILE EMBASE  
L66 24 FILE TOXLIT  
L67 11 FILE LIFESCI  
L68 58 FILE CJACS  
L69 6 FILE DISSABS  
L70 2 FILE NTIS  
L71 2 FILE PROMT  
L72 21 FILE DGENE  
L73 6 FILE CABA  
L74 1 FILE PATOSEP  
L75 5 FILE CANCERLIT  
L76 0 FILE JAPIO  
L77 9 FILE BIOTECHDS  
L78 2 FILE AGRICOLA  
L79 3 FILE NLDB  
L80 3 FILE TOXLINE  
L81 0 FILE DPCI  
L82 0 FILE PATOSWO  
L83 1 FILE JICST-EPLUS

L84	0	FILE TULSA
L85	0	FILE AQUASCI
L86	0	FILE GENBANK
L87	0	FILE BIOBUSINESS
L88	0	FILE INPADOC
L89	0	FILE OCEAN
L90	0	FILE AIDSLINE
L91	0	FILE EMBAL
L92	0	FILE CEABA
L93	0	FILE ANABSTR
L94	4	FILE CJELSEVIER
L95	0	FILE PIRA
L96	0	FILE DRUGU
L97	0	FILE FSTA
L98	0	FILE CIN
L99	1	FILE CEN
L100	0	FILE RAPRA
L101	0	FILE CONFSCI
L102	0	FILE PHIN
L103	0	FILE PNI
L104	0	FILE CROPU
L105	0	FILE PAPERCHEM2
L106	0	FILE JPNEWS
L107	0	FILE HEALSAFE
L108	0	FILE APIPAT
L109	0	FILE DRUGB
L110	0	FILE TULSA2

TOTAL FOR ALL FILES

L111        969 L56 AND (MISMATCH OR SINGLE BASE)

=> s l111 and (intensit### or signal# or label#)

SEARCH ENDED BY USER

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L112	482	FILE USPATFULL
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L115	118	FILE EUROPATFULL
L116	4	FILE WPIDS
L117	5	FILE BIOSIS

L118	4	FILE	SCISEARCH
L119	4	FILE	MEDLINE
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L122	2	FILE	LIFESCI
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L125	1	FILE	NTIS
L126	2	FILE	PROMT
L127	2	FILE	DGENE
L128	1	FILE	CABA
L129	0	FILE	PATOSEP
L130	0	FILE	CANCERLIT
L131	0	FILE	JAPIO
L132	4	FILE	BIOTECHDS
L133	1	FILE	AGRICOLA
L134	2	FILE	NLDB
L135	0	FILE	TOXLINE
L136	0	FILE	DPCI
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L138	1	FILE	JICST-EPLUS
L139	0	FILE	TULSA
L140	0	FILE	AQUASCI
L141	0	FILE	GENBANK
L142	0	FILE	BIOBUSINESS
L143	0	FILE	INPADOC
L144	0	FILE	OCEAN
L145	0	FILE	AIDSLINE
L146	0	FILE	EMBAL
L147	0	FILE	CEABA
L148	0	FILE	ANABSTR
L149	2	FILE	CJELSEVIER
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L155	0	FILE	RAPRA
L156	0	FILE	CONFSCI
L157	0	FILE	PHIN
L158	0	FILE	PNI
L159	0	FILE	CROPU
L160	0	FILE	PAPERCHEM2
L161	0	FILE	JPNEWS
L162	0	FILE	HEALSAFE
L163	0	FILE	APIPAT
L164	0	FILE	DRUGB

L165 0 FILE TULSA2

TOTAL FOR ALL FILES

L166 716 L111 AND (INTENSIT### OR SIGNAL# OR LABEL# OR PIXEL#)

=> s l166 and (computer# or program# or software#)

L167 348 FILE USPATFULL

L168 4 FILE IFIPAT

L169 0 FILE CAPLUS

L170 101 FILE EUROPATFULL

L171 0 FILE WPIDS

L172 0 FILE BIOSIS

L173 0 FILE SCISEARCH

L174 0 FILE MEDLINE

L175 0 FILE EMBASE

L176 0 FILE TOXLIT

L177 0 FILE LIFESCI

L178 36 FILE CJACS

L179 1 FILE DISSABS

L180 1 FILE NTIS

L181 1 FILE PROMT

L182 0 FILE DGENE

L183 0 FILE CABA

L184 0 FILE PATOSEP

L185 0 FILE CANCERLIT

L186 0 FILE JAPIO

L187 0 FILE BIOTECHDS

L188 0 FILE AGRICOLA

L189 1 FILE NLDB

L190 0 FILE TOXLINE

L191 0 FILE DPCI

L192 0 FILE PATOSWO

L193 1 FILE JICST-EPLUS

L194 0 FILE TULSA

L195 0 FILE AQUASCI

L196 0 FILE GENBANK

L197 0 FILE BIOBUSINESS

L198 0 FILE INPADOC

L199 0 FILE OCEAN

L200 0 FILE AIDSLINE

L201 0 FILE EMBAL

L202 0 FILE CEABA

L203 0 FILE ANABSTR

L204	2	FILE	CJELSEVIER
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L206	0	FILE	DRUGU
L207	0	FILE	FSTA
L208	0	FILE	CIN
L209	1	FILE	CEN
L210	0	FILE	RAPRA
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L215	0	FILE	PAPERCHEM2
L216	0	FILE	JPNEWS
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L218	0	FILE	APIPAT
L219	0	FILE	DRUGB
L220	0	FILE	TULSA2

TOTAL FOR ALL FILES

L221            497 L166 AND (COMPUTER# OR PROGRAM# OR SOFTWARE#)

=> s l221 and (ratio# or comparison#)

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L225	78	FILE	EUROPATFULL
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L227	0	FILE	BIOSIS
L228	0	FILE	SCISEARCH
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L232	0	FILE	LIFESCI
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L239	0	FILE	PATOSEP
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L246	0	FILE	DPCI
L247	0	FILE	PATOSWO
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L249	0	FILE	TULSA
L250	0	FILE	AQUASCI
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L252	0	FILE	BIOBUSINESS
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L256	0	FILE	EMBAL
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L269	0	FILE	CROPU
L270	0	FILE	PAPERCHEM2
L271	0	FILE	JPNEWS
L272	0	FILE	HEALSAFE
L273	0	FILE	APIPAT
L274	0	FILE	DRUGB
L275	0	FILE	TULSA2

TOTAL FOR ALL FILES

L276            394 L221 AND (RATIO# OR COMPARISON#)

=> s 1276 and (oligonucleotide# or DNA or gene# or nucleic acid#)

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L279	0	FILE	CAPLUS
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L281	0	FILE	WPIDS
L282	0	FILE	BIOSIS
L283	0	FILE	SCISEARCH



L284	0	FILE MEDLINE
L285	0	FILE EMBASE
L286	0	FILE TOXLIT
L287	0	FILE LIFESCI
L288	29	FILE CJACS
L289	1	FILE DISSABS
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L292	0	FILE DGENE
L293	0	FILE CABA
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L321	0	FILE CONFSCI
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L323	0	FILE PNI
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L325	0	FILE PAPERCHEM2

L326 0 FILE JPNEWS  
L327 0 FILE HEALSAFE  
L328 0 FILE APIPAT  
L329 0 FILE DRUGB  
L330 0 FILE TULSA2

TOTAL FOR ALL FILES

L331 93 L276 AND (OLIGONUCLEOTIDE# OR DNA OR GENE# OR NUCLEIC ACID  
#)

=> duplicate remove

ENTER L# LIST OR (END):l331

DUPLICATE IS NOT AVAILABLE IN 'DGENE, DPCI, GENBANK'. ANSWERS FROM THESE FILES

WILL BE CONSIDERED UNIQUE

DUPLICATE PREFERENCE IS 'USPATFULL, IFIPAT, EUROPATFULL, CJACS, DISSABS'

KEEP DUPLICATES FROM MORE THAN ONE FILE? Y/(N):n

PROCESSING COMPLETED FOR L331

L332 92 DUPLICATE REMOVE L331 (1 DUPLICATE REMOVED)

=> d l332 1-92 bib ab kwic

L332 ANSWER 6 OF 92 USPATFULL

AN 97:14574 USPATFULL

TI Methods for sorting polynucleotides using \*\*\*oligonucleotide\*\*\* tags

IN Brenner, Sydney, Cambridge, England

PA Spectragen, Inc., Hayward, CA, United States (U.S. corporation)

PI US 5604097 970218

AI US 94-358810 941219 (8)

RLI Continuation-in-part of Ser. No. US 94-322348, filed on 13 Oct 1994, now abandoned

DT Utility

EXNAM Primary Examiner: Chambers, Jasmine C.; Assistant Examiner: Priebe, Scott D.

LREP Macevicz, Stephen C.

CLMN Number of Claims: 31

ECL Exemplary Claim: 1

DRWN 7 Drawing Figure(s); 6 Drawing Page(s)

LN.CNT 1834

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB The invention provides a method of tracking, identifying, and/or sorting classes or subpopulations of molecules by the use of \*\*\*oligonucleotide\*\*\* tags. \*\*\*Oligonucleotide\*\*\* tags of the invention each consist of a plurality of subunits 3 to 6 nucleotides in length selected from a minimally cross-hybridizing set. A subunit of a minimally cross-hybridizing set forms a duplex or triplex having two or more mismatches with the complement of any other subunit of the same set. The number of \*\*\*oligonucleotide\*\*\* tags available in a particular embodiment depends on the number of subunits per tag and on the length of the subunit. An important aspect of the invention is the use of the \*\*\*oligonucleotide\*\*\* tags for sorting polynucleotides by specifically hybridizing tags attached to the polynucleotides to their complements on solid phase supports. This embodiment provides a readily automated system for manipulating and sorting polynucleotides, particularly useful in large-scale parallel operations, such as large-scale \*\*\*DNA\*\*\* sequencing, mRNA fingerprinting, and the like, wherein many target polynucleotides or many segments of a single target polynucleotide are sequenced simultaneously.

TI Methods for sorting polynucleotides using \*\*\*oligonucleotide\*\*\* tags

tags for specific hybridization to the CPG microparticles.

DETD . . . e.g. comprising Hamamatsu model 9403-02 photomultipliers, a Stanford Research Systems model SR445 amplifier and model SR430 multichannel scaler, and digital \*\*\*computer\*\*\*, e.g. a 486-based \*\*\*computer\*\*\*. The \*\*\*computer\*\*\* generates a two dimensional map of the slide which registers the positions of the microparticles.

DETD . . . on the attached microparticles undergo 20 cycles of probe ligation, washing, detection, cleavage, and washing, in accordance with the preferred \*\*\*single\*\*\* \*\*\*base\*\*\* sequencing methodology described below. Within each detection step, the scanning system records the fluorescent emission corresponding the base identified at. . .

DETD where TAMRA, FAM, ROX, and JOE are spectrally resolvable fluorescent \*\*\*labels\*\*\* attached by way of Aminolinker II (all being available from Applied Biosystems, Inc., Foster City, Calif.); the bold faced nucleotides. . . four nucleotides, A, C, G, T. TAMRA (tetramethylrhodamine), FAM (fluorescein), ROX (rhodamine X), and JOE (2',7'-dimethoxy-4',5'-dichlorofluorescein) and their attachment to \*\*\*oligonucleotides\*\*\* is also described in Fung et al, U.S. Pat. No. 4,855,225.

DETD . . . target polynucleotide ends as follows: the probes are incubated for 60 minutes at 16.degree. C. with 200 units of T4 \*\*\*DNA\*\*\* ligase and the anchored target polynucleotide in T4 \*\*\*DNA\*\*\* ligase buffer; after washing, the target polynucleotide is then incubated with 100 units T4 polynucleotide kinase in the manufacturer's recommended. . . 30 minutes at 37.degree. C., washed, and again incubated for 30 minutes at 16.degree. C. with 200 units of T4 \*\*\*DNA\*\*\* ligase and the anchored target polynucleotide in T4 \*\*\*DNA\*\*\* ligase buffer. Washing is accomplished by successively flowing volumes of wash buffer over the slide, e.g. TE, disclosed in Sambrook. . . above). After the cycle of ligation-phosphorylation-ligation and a final washing, the attached microparticles are scanned for the presence of fluorescent \*\*\*label\*\*\*, the positions and characteristics of which are recorded by the scanning system. The labeled target polynucleotide, i.e. the ligated complex,. . .

DETD Exemplary \*\*\*computer\*\*\* \*\*\*program\*\*\* for generating minimally cross hybridizing sets

DETD

---

\*\*\*Program\*\*\* minxh

c

c

integer\*2 sub1(6),mset1(1000,6),mset2(1000,6)

dimension nbase(6)

c

c

```

write(*,*)`ENTER SUBUNIT LENGTH`
read(*,100)nsup
100 format(i1)
open(1,file=`sub4.dat`,form=`formatted`,status=`new`)
c
c
nset=0
do 7000 m1=1,3
do 7000 m2=1,3
do 7000. . . with mismatches .ge. ndiff
c          in matrix mset2 starting at
c          position 2.
c          Next transfer contents
c          of mset2 into mset1 and
c          start
c          ***comparisons*** again this time
c          starting with subunit 3.
c          Continue until all subunits
c          undergo the ***comparisons*** .
c
c
npass=0
c
c
1700 continue
kk=npass+2
npass=npass+1
c
c
do 1500 m=npass+2,jj
n=0
do 1600 j=1,nsup
if(mset1(npass+1,j).eq.1.and.mset1(m,j).ne.1.or.
2 mset1(npass+1,j).eq.2.and.mset1(m,j).ne.2.or.
2 mset1(npass+1,j).eq.3.and.mset1(m,j).ne.3) then
n=n+1
endif
1600 continue
. . .
DETD

```

---

#### SEQUENCE LISTING

(1) GENERAL INFORMATION:

(iii) NUMBER OF SEQUENCES: 16

(2) INFORMATION FOR SEQ ID NO: 1:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 38 nucleotides

(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:  
GAGGATGCCTTTATGGATCCACTCGAGATCCCAATCCA38  
(2) INFORMATION FOR SEQ ID NO: 2:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 26 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:  
AATTCGGATGATGCATGCATCGACCC26  
(2) INFORMATION FOR SEQ ID NO: 3:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 14 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:  
TCGAGTCATCCGAT14  
(2) INFORMATION FOR SEQ ID NO: 4:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 16 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: double  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:  
ATCGGATGACATCAAC16  
(2) INFORMATION FOR SEQ ID NO: 5:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 11 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:  
CTAGTCGACCA11  
(2) INFORMATION FOR SEQ ID NO: 6:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 11 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:  
NRRGATCYNNN11  
(2) INFORMATION FOR SEQ ID NO: 7:  
(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 22 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:  
GGGTCGATGCATGCATCATCCG22  
(2) INFORMATION FOR SEQ ID NO: 8:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 10 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:  
ATCGGATGAC10  
(2) INFORMATION FOR SEQ ID NO: 9:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 10 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:  
ATCNNNNNAC10  
(2) INFORMATION FOR SEQ ID NO: 10:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 14 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:  
TCGAGTNNNNNGAT14  
(2) INFORMATION FOR SEQ ID NO: 11:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 16 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:  
ATCGGATGACATCAAC16  
(2) INFORMATION FOR SEQ ID NO: 12:  
(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 20 nucleotides  
(B) TYPE: \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:  
NNNAGTTGATGTCATCCGAT20  
(2) INFORMATION FOR SEQ ID NO: 13:

AN 464067 EUROPATFULL ED 19970307 EW 9703 FS PS  
 TIEN SOLID PHASE DIAGNOSIS OF MEDICAL CONDITIONS.  
 TIDE FESTPHASENDIAGNOSE VON MEDIZINISCHEN KONDITIONEN.  
 TIFR DIAGNOSTIC EN PHASE SOLIDE DE CONDITIONS MEDICALES.  
 IN UHLEN, Mathias, Kvarnbogatan 30, S-752 39 Uppsala, SE  
 PA CEMU BIOTEKNIK AB, Banergatan 21, S-752 37 Uppsala, SE  
 PAN 1082941  
 AG Dzieglewska, Hanna Eva et al, Frank B. Dehn & Co., European Patent  
 Attorneys, 179 Queen Victoria Street, London EC4V 4EL, GB  
 AGN 73231  
 OS EPB1997005 EP 0464067 B1 970115  
 SO Wila-EPS-1997-H03-T1  
 DT Patent  
 LA Anmeldung in Englisch; Veroeffentlichung in Englisch  
 DS R AT; R BE; R CH; R DE; R DK; R ES; R FR; R GB; R IT; R LI; R LU;  
 R NL; R SE  
 PIT EPB1 EUROPAEISCHE PATENTSCHRIFT (Internationale Anmeldung)  
 PI EP 464067 B1 970115  
 OD 920108  
 AI EP 90-904803 900315  
 PRAI GB 89-6641 890322  
 GB 89-6642 890322  
 RLI WO 90-EP454 900315 INTAKZ  
 WO 9011369 901004 INTPNR  
 REP EP 192168 A WO 86-05815 A  
 REN Nucleic Acid Research, Volume 16, No. 23, December 1988, IRL Press  
 Ltd, (Oxford, GB), A.-C. SYVANEN et al.: "Quantification of  
 Polymerase Chain Reaction Products by Affinity-Based Hybrid  
 Collection", pages 11327-11338 CHEMICAL ABSTRACTS, Volume 111, No.  
 23, 4 December 1989, (Columbus, Ohio, US), see page 170 abstract  
 210118f, &  
 US-A-200876 (United States Dept. of Health and Human Services ) 15  
 February 1989 Journal of Cellular Biochemistry, No. 13, Part E, M.  
 UHLEN et al.: "Approches to Solid-Phase DNA Technology using PCR",  
 page 310, Abstract WH 250  
 DETDEN This invention relates to a



AN 97:5078 CJACS

SO Analytical Chemistry, (1997), 69(8), 1510-1517. CODEN: ANCHAM. ISSN: 0003-2700

TI Multiplexed \*\*\*DNA\*\*\* Sequencing and Diagnostics by Hybridization with Enriched Stable Isotope \*\*\*Labels\*\*\*

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AB A new DNA diagnostic and sequencing system has been developed that uses time-of-flight resonance ionization mass spectrometry (TOF-RIMS) to provide a rapid method of analyzing stable isotope-labeled oligonucleotides in form 1 sequencing by hybridization (SBH). With form 1, the DNA is immobilized on a nylon membrane and enriched isotope-labeled individual oligonucleotide probes are free to seek out complementary DNAs during hybridization. The major advantage of this new approach is that multiple oligonucleotides can be labeled with different enriched isotopes and can all be simultaneously hybridized to the genosensor matrix. The probes can then be simultaneously detected with TOF-RIMS with high selectivity, sensitivity, and efficiency. By using isotopically enriched tin labels, up to 10 labeled oligonucleotides could be examined in a single hybridization to the DNA matrix. Greater numbers of labels are available if rare earth isotopes are employed. In the present study, matrices containing three different DNAs were prepared and simultaneously hybridized with two different probes under a variety of conditions. The results show that DNAs, immobilized on nylon surfaces, can be specifically hybridized to probes labeled with different enriched tin isotopes. Discrimination between complementary and noncomplementary sites of better than 100 was obtained in multiplexed samples. This new SBH method, which employs stable isotopic labels to locate target DNAs and TOF-RIMS to detect the labels, will be a very versatile and extensive multiplexing method.

TI Multiplexed \*\*\*DNA\*\*\* Sequencing and Diagnostics by Hybridization with Enriched Stable Isotope \*\*\*Labels\*\*\*

TX TX(1) of 38. Sequencing by hybridization ( \*\*\*SBH\*\*\* ) promises to produce large amounts of sequence information, due to the parallel nature of the analysis, and is particularly well suited for genome diagnostics, sequencing cDNAs or partial sequencing of clones, \*\*\*DNA\*\*\* and RNA sequencing, \*\*\*gene\*\*\* polymorphism studies, and identification of expressed \*\*\*genes\*\*\* . \*\*\*SBH\*\*\* consists of hybridizing an \*\*\*oligonucleotide\*\*\* of known

sequence to a \*\*\*DNA\*\*\* on a solid surface whose sequence is being sought. \*\*\*SBH\*\*\* relies on the specific base-pairing rules in duplex \*\*\*DNA\*\*\* to infer sequence information by the detection of hybridized \*\*\*DNA\*\*\*. The main variables in \*\*\*SBH\*\*\* are the length and composition of the \*\*\*oligonucleotide\*\*\*, the attachment of the \*\*\*DNA\*\*\* or the \*\*\*oligonucleotide\*\*\* to the solid surface, the method of labeling and detection of the hybridization, and the conditions for hybridization. There are two main formats for \*\*\*SBH\*\*\*: form 1 and form 2. With form 1, the \*\*\*DNA\*\*\* is attached to the solid surface and labeled individual \*\*\*oligonucleotide\*\*\* probes are free to seek out the DNAs during hybridization.<sup>1-3</sup>Footnote. Footnote. Footnote. With form 2, individual \*\*\*oligonucleotide\*\*\* probes are attached to the surface and labeled DNAs that have been sheared are free to seek out the \*\*\*oligonucleotides\*\*\*.<sup>4-8</sup>Footnote. Footnote. Footnote. Footnote. Footnote. Form 1 is useful for assessing a large number of DNAs for the presence of a number of short defined sequences. Form 2 is useful for sequencing a smaller number of \*\*\*DNA\*\*\* fragments.

TX(2) of 38. This paper reports a new approach to \*\*\*label\*\*\* and detect \*\*\*oligonucleotides\*\*\* in \*\*\*SBH\*\*\* form 1.<sup>9</sup>Footnote. Typically, large DNAs are attached to nylon membranes and hybridization/detection is performed by using radioactive phosphorus (<sup>32</sup>P) for labeling \*\*\*oligonucleotides\*\*\* and autoradiography for detection.<sup>2,3</sup> However, the use of radioactive materials has certain limitations, poses health hazards, and increases disposal. . . not very quantitative, has low spatial resolution, and requires long exposure time. Fluorescence labeling is another technique often employed in \*\*\*DNA\*\*\* studies. However, difficulties are encountered using fluorescence \*\*\*labels\*\*\* in \*\*\*SBH\*\*\* form 1 because of nonspecific binding and substrate fluorescence background. Employing nonradioactive enriched stable isotopes as \*\*\*labels\*\*\* and time-of-flight resonance ionization mass spectrometry (TOF-RIMS) as a detection method may allow sequencing of large numbers of DNAs faster. . . is possible with any other technique.<sup>10-14</sup>Footnote. Footnote. Footnote. Footnote. The major advantage of this new approach is that multiple \*\*\*oligonucleotides\*\*\* can be labeled with different enriched isotopes which can be simultaneously hybridized to immobilized \*\*\*DNA\*\*\* on a genosensor matrix. TOF-RIMS can then be used to detect them simultaneously with very high selectivity, sensitivity, and efficiency. By using isotopically enriched tin \*\*\*labels\*\*\*, up to 10 labeled \*\*\*oligonucleotides\*\*\* could be examined in a single hybridization to the \*\*\*DNA\*\*\* matrix. Furthermore, since these 10 isotopes of

tin do not decay, the labeled \*\*\*oligonucleotides\*\*\* may be stored indefinitely until time for their use. Ten enriched tin isotopes are available (Isotec, Inc., Miamisburg, OH) with. . . (>90% for most of them).15.Footnote. In addition to tin, it is possible to use rare earth and other elements for \*\*\*labels\*\*\*, thus tremendously expanding multiplexing possibilities.

TX(3) of 38. The new \*\*\*SBH\*\*\* method, employing stable isotopic labeling of probe \*\*\*oligonucleotides\*\*\* and detection by TOF-RIMS, will be a very extensive multiplexing method. It not only offers a significant increase in analysis. . . Additional experiments have evaluated the position effects of mismatched pairs as well. To evaluate such complexity, utilizing multiple enriched isotope \*\*\*labels\*\*\* will be advantageous so that the competition among several oligomers for hybridization at common sites may be studied.

TX(4) of 38. In addition to studying hybridization processes, multiplexing \*\*\*SBH\*\*\* form 1 procedures would be effective for production, organization, and storage of genome and cDNA libraries3,17.Footnote. as well as for screening genomic \*\*\*DNA\*\*\* from tens of thousands of individuals for several genetic mutations. This technique also includes, among its possibilities, error checking on \*\*\*DNA\*\*\* sequence data in combination with an alternative technique.3,18.Footnote. Again, the use of multiple isotopes would greatly expedite the error checking procedure. \*\*\*SBH\*\*\* could also be applied to supplement electrophoresis data so that compressions and other areas of difficulty could be analyzed by. . .

TX(5) of 38. RIMS . . . times higher than for the other elements in the sample.19.Footnote. Since the isotope shifts of most elements are small in \*\*\*comparison\*\*\* to the bandwidth of the RI lasers used in our experiments (7-12 GHz), all isotopes of a chosen element will. . .

TX(6) of 38. RI is also extremely sensitive and efficient. The \*\*\*intensity\*\*\* of modern pulsed dye lasers is sufficient to saturate both the bound-bound transitions and the ionization step, thereby assuring near-unit. . .

TX(7) of 38. RI . . . background can always be determined by detuning the laser wavelength by a few tenths of a nanometer and measuring the \*\*\*signal\*\*\* under the same experimental conditions.

TX(8) of 38. Much . . . method has not been studied as thoroughly

as SIRIMP and is often less quantitative because of shot-to-shot fluctuations in laser \*\*\*intensity\*\*\* and changes of the surface morphology with consecutive laser shots. LARIMP must continue to be refined so that the faster. . .

TX(9) of 38. EXPERIMENTAL . . . gun for charge compensation, an excimer laser system for sample atomization, a resonance postionization laser (repetition rate 30 Hz), a \*\*\*computer\*\*\* -controlled (x, y, z, .vphi.) sample manipulator, a high-resolution video imaging system for sample observation, and a TOF mass spectrometer detection. . . z with resolution of 1 .mu.m, and 360.degree. motion in .vphi.. The manipulator is positioned by stepper motors driven under \*\*\*computer\*\*\* control which can change positions at a speed of 10 000 steps/s. The sample holder is a carousel arrangement which. . . and positioning of this lens are accomplished by external manipulations. All the important instrument functions are controlled by an IBM-compatible \*\*\*computer\*\*\* .

TX(10) of 38. EXPERIMENTAL SECTION. Sample Preparation. M13mp18 single-stranded \*\*\*DNA\*\*\* was obtained from United States Biochemical Corp. (Cleveland, OH). Two plasmid vectors, pSP70 and pBR322, were obtained from Promega Corp. (Madison, WI). Tin-labeled 17-mer \*\*\*oligonucleotides\*\*\* were prepared at Oak Ridge National Laboratory (ORNL). Two \*\*\*oligonucleotide\*\*\* primers were prepared, M13(-20) and T7, with sequences of 5'-GTA AAA CGA CGG CCA GT-3' and 5'-AAT ACG ACT CAC TAT AG-3', respectively. The \*\*\*oligonucleotides\*\*\*, with hexylamine on the 5'-end, were purified and reacted with the N-hydroxysuccinimide (NHS) ester of triethylstannylpropionic acid (TESPA) that contained isotopically enriched tin (>90%).<sup>31</sup>Footnote. Separate preparations of each of the \*\*\*oligonucleotides\*\*\* were labeled with different isotopes of tin. Maximum Strength Nytran nylon membranes were obtained from Schleicher & Schuell, Inc. (Keene,. . .

TX(12) of 38. EXPERIMENTAL . . . solution of 0.5 M NaOH/1.5 M NaCl in H<sub>2</sub>O and were allowed to air-dry in the clean hood. The target \*\*\*DNA\*\*\*, diluted in the NaOH/NaCl solution, was pipetted onto the nylon. Generally, 4 .mu.L of the 4 fmol/.mu.L target \*\*\*DNA\*\*\* solution was pipetted in 1 .mu.L increments. The spots were .apprx.3 mm in diameter. The samples were air-dried, and then. . . applied. The membranes were placed under a UV lamp at 254 nm and 120 000 .mu.J/cm<sup>2</sup> to bind the \*\*\*DNA\*\*\* to the membrane. Next, they were dried loosely covered for 1 h in an oven at 80 .degree.C.

TX(14) of 38. RESULTS AND DISCUSSION. Determination of Detection and Hybridization Parameters. Nylon samples, spotted with Sn

isotope-labeled \*\*\*oligonucleotide\*\*\* primers (17-mer), were used to measure experimental parameters for SIRIMP and LARIMP analysis. To obtain a \*\*\*signal\*\*\* using either of these techniques, the bond between Sn and the organic molecule must be broken so that free Sn atoms are available for detection. For LARIMP, which uses a laser beam to vaporize and atomize the Sn-labeled \*\*\*oligonucleotide\*\*\*, 193 nm (ArF) atomization laser light results in acceptable Sn fragmentation, but longer wavelengths do not provide atomization necessary for. . . unstable resonator optics, but there is generally no advantage in using laser spot sizes smaller than the size of the \*\*\*DNA\*\*\* spot. Practically, the physical size of the \*\*\*DNA\*\*\* spot will be the determining factor.

TX(15) of 38. RESULTS AND DISCUSSION. Since the \*\*\*signal\*\*\* is dependent on the laser \*\*\*intensity\*\*\*, it is important that the laser \*\*\*intensity\*\*\* be uniform throughout the measurement. For this reason, a very small laser spot size is not desirable. With extremely small spot sizes, the depth of focus is very small and the laser \*\*\*intensity\*\*\* fluctuates as a function of displacement from the focal point (the distance to the nylon surface may deviate up to. . . = 0.08 (193 nm light) and a displacement of 0.5 mm, the beam diameter is .apprx.40 .mu.m, and the beam \*\*\*intensity\*\*\* is reduced by a factor of 180. However, by selecting the proper focal length so that  $do = 25 \text{ .mu.m}$ , . . .  $\theta = 0.01$  and the same displacement results in a beam diameter of 25.5 .mu.m, resulting in only a 4% \*\*\*intensity\*\*\* change. For this reason, we defocused the beam in these experiments.

TX(16) of 38. RESULTS AND DISCUSSION. We compared SIRIMP with LARIMP on tune-up samples. We achieved good Sn \*\*\*signals\*\*\* with both techniques, but the LARIMP \*\*\*signal\*\*\* was consistently higher than the SIRIMP \*\*\*signal\*\*\* (.apprx.50 times). This permitted quantifiable \*\*\*signal\*\*\* to be obtained averaging only five laser shots, while with SIRIMP, several hundred ion pulses were needed. Consequently, LARIMP was. . .

TX(17) of 38. RESULTS AND DISCUSSION. The general effect of hybridization and wash temperatures on the hybridization of \*\*\*DNA\*\*\* is well-known. At lower temperatures more mismatches occur, while at higher temperatures discrimination is increased.<sup>32</sup>Footnote. A study was initiated to determine at which hybridization temperature the best discrimination between hybridized [118Sn-M13(-20) \*\*\*signal\*\*\*] and nonhybridized sites (118Sn-T7 \*\*\*signal\*\*\* ) can be achieved. At the same time, the study was constructed to determine the discrimination differences for 2 and 20. . . for these two probes at 25 .degree.C with a hybridization time of 2 h. At 15 .degree.C, the noncomplementary 118Sn-T7

\*\*\*signal\*\*\* was much smaller than the \*\*\*signal\*\*\* from the complementary 118Sn-M13(-20) probe, but was still detectable. At 25 .degree.C, the discrimination between complementary and noncomplementary probes was nearly 700. Higher temperatures (35 and 45 .degree.C) resulted in the loss of \*\*\*signal\*\*\* for both the M13(-20) and the T7. Contrary to what was expected, the 20 h M13(-20) samples displayed lower 118Sn \*\*\*signals\*\*\* than the 2 h samples. One explanation could be that some of the bound \*\*\*DNA\*\*\* was released from the nylon over such a prolonged hybridization period. All remaining experiments were performed using a 2-h hybridization. . .

TX(18) of 38. RESULTS AND DISCUSSION. Studies were also performed in which the target \*\*\*DNA\*\*\* amount was kept at 17 fmol, but the amount of M13(-20) tin-labeled probe were varied in concentrations of 0.01, 0.05, . . . .mu.L was maintained for all of the samples. The samples prepared with probe concentrations of 10-1000 nM produced the highest \*\*\*signal\*\*\* for these conditions. The difference in the height of the \*\*\*signal\*\*\* between 10 and 1000 nM was small, but the \*\*\*signal\*\*\* was more consistent across the area of the spot at 100 nM than at 10 nM.

TX(19) of 38. RESULTS AND DISCUSSION. The M13mp18 target \*\*\*DNA\*\*\* amount was varied to determine the best concentration. The tin-labeled M13(-20) probe was maintained at 10 nM while the target \*\*\*DNA\*\*\* amounts of 0.1, 0.3, 0.7, 4, 8, 12, 17, 170, and 670 fmol were used. In order to achieve the desired amount of \*\*\*DNA\*\*\* on the nylon membrane, solution concentration and volume were varied. Concentrations of the target \*\*\*DNA\*\*\* solutions were varied between 4 and 85 fmol/.mu.L. The highest concentration of M13mp18 that was available was 4 fmol/.mu.L. For this reason, the samples with the two highest amounts of \*\*\*DNA\*\*\* (170 and 670 fmol) were made with \*\*\*DNA\*\*\* that was diluted in Tris-HCl buffer, rather than in the NaOH/NaCl mixture. The volume that was spotted varied between 1. . . . The samples were analyzed with LARIMP using a .apprx.50 .mu.m diameter laser beam spot size. When 17 fmol of target \*\*\*DNA\*\*\* was immobilized on a .apprx.3 mm diameter area, the maximum \*\*\*signal\*\*\* was obtained. The data demonstrate that LARIMP is extremely sensitive. The amount of target \*\*\*DNA\*\*\* in the atomization laser beam area (.apprx.0.2 mm<sup>2</sup>) is only 5 amol, and the amount of Sn-labeled \*\*\*DNA\*\*\* probe is even less in this area (hybridization efficiency <100%). These data and also the fact that during analysis only a fraction of the Sn-labeled \*\*\*DNA\*\*\* probe was removed by the atomization laser beam indicate that the LARIMP sensitivity is much smaller than 1 amol.

TX(20) of 38. RESULTS AND DISCUSSION. Multiplexing and Imaging.

Nylon matrices were prepared with three different types of  
\*\*\*DNA\*\*\* . A single-stranded 7249-mer control \*\*\*DNA\*\*\*  
(M13mp18), a double-stranded 2401 bp plasmid vector (pSP70), and a  
double-stranded 4300 bp \*\*\*DNA\*\*\* (pBR322) were all used as  
targets. M13mp18 has a binding site for M13(-20) but not for T7.  
Likewise, pSP70 has. . . After this, they were further diluted in  
the 0.5 M NaOH/1.5 M NaCl buffer which had been used for spotting  
\*\*\*DNA\*\*\* . For the spot that had a mixture of M13mp18 and pSP70,  
the solutions of the \*\*\*DNA\*\*\* were mixed before spotting.  
Concentrations for the individual DNAs after mixing were identical to  
solutions used to spot DNAs separately. Samples were hybridized with  
\*\*\*oligonucleotides\*\*\* that had been labeled separately with two  
different tin isotopes, 118Sn-M13(-20) and 120Sn-T7. These solutions  
were mixed at equal concentrations. . .

TX(21) of 38. RESULTS AND DISCUSSION. A total of four 3 mm spots of  
17 fmol each of target \*\*\*DNA\*\*\* were applied with a pipet and  
bound to a nylon membrane; these included the three individual DNAs  
and one spot. . . 2..Figure. Another nylon piece, 1 .times. 1.5  
cm, was prepared similarly, but with nine spots of the three types of  
\*\*\*DNA\*\*\* in an array, and was hybridized with 30 .mu.L of probe  
solution and analyzed as a whole piece. The resulting  
two-dimensional image of the isotope \*\*\*ratio\*\*\* is shown in  
Figure 3..Figure.

TX(22) of 38. RESULTS . . . and only M13mp18 (A) are located.  
Both the 118Sn and 120Sn peaks were present in the analysis of the  
mixed \*\*\*DNA\*\*\* spot, as expected.

TX(23) of 38. RESULTS AND DISCUSSION. The molar amounts for each  
\*\*\*DNA\*\*\* in the mixed spot were identical to the amounts of these  
DNAs in separate spots. The \*\*\*signal\*\*\* level of the mixed spot  
(A/B) 118Sn peak, which corresponds to the M13mp18 \*\*\*DNA\*\*\* , is  
.apprx.80% of the 118Sn \*\*\*signal\*\*\* on the M13mp18 single spot.  
The \*\*\*signal\*\*\* level of the 120Sn peak, which corresponds to  
the pSP70 \*\*\*DNA\*\*\* , is .apprx.70% of the pSP70 single spot. The  
\*\*\*signal\*\*\* levels of the 120Sn peaks are generally about half  
that of the 118Sn peaks. This may be because the M13mp18 \*\*\*DNA\*\*\*  
is single stranded and the pSP70 \*\*\*DNA\*\*\* is double stranded.  
The temperature used for denaturing (45 .degree.C) may not have been  
high enough to completely separate the \*\*\*DNA\*\*\* into single  
strands, which is necessary for hybridization.

TX(24) of 38. RESULTS . . . Sn-labeled primers used in our  
experiments. Based on the enrichment, for example, 118Sn-labeled  
M13(-20) was expected to have a 118Sn/120Sn \*\*\*ratio\*\*\* of 59:1.  
However, a \*\*\*ratio\*\*\* of only 25:1 was measured when a

concentrated solution of the labeled primer (10 pmol/.mu.L) was pipetted onto a nylon. . . be detected at the location of the immobilized M13mp18. For complex hybridization experiments, the enrichment factors for each of the \*\*\*labels\*\*\* can be measured and calculations can be performed to correct for the contamination error. Also, isotope \*\*\*ratio\*\*\* measurements can be used to discriminate \*\*\*signal\*\*\* from background.

TX(25) of 38. RESULTS . . . used in these experiments and not due to cross hybridization. To obtain a quantitative assessment of the cross hybridization, a \*\*\*ratio\*\*\* comparing the amount of complementary and noncomplementary hybridization (the discrimination) is used. Because of the isotopic contamination, the best means of obtaining numbers to determine the discrimination in this multiplexing experiment is to compare the \*\*\*signal\*\*\* for the isotope on the complementary spot to the \*\*\*signal\*\*\* on the spot for the pBR322, which was not complementary to either probe. The complementary/noncomplementary discrimination \*\*\*ratio\*\*\* is 130:1 for 118Sn-M13(-20) in the M13mp18 spot versus 118Sn-M13(-20) in the pBR322 spot.

TX(26) of 38. RESULTS . . . of a nine-spot matrix is shown at the top of Figure 3. A two-dimensional spectral LARIMP image of the isotope \*\*\*ratio\*\*\* of 118Sn/120Sn is seen in the bottom. In this image, it can be clearly seen that, in a multiplexed experiment, the two different probes hybridized specifically to the complementary \*\*\*DNA\*\*\* and were readily detected with LARIMP. In the image, the 118Sn isotope dominates in the M13mp18 spots (green) and the . . . dominates in the pSP70 spots (yellow), as expected. As seen previously in the four-dot samples, the 120Sn was lower in \*\*\*intensity\*\*\* than the 118Sn for the corresponding spots where they hybridize.

TX(27) of 38. RESULTS AND DISCUSSION. The ability to \*\*\*sequence\*\*\* large numbers of DNAs has been greatly improved by the preparation of membranes with \*\*\*DNA\*\*\* matrices using a robotic procedure developed by Drmanac. 18 This procedure can produce a \*\*\*DNA\*\*\* matrix, containing hundreds or thousands of DNAs on one membrane. Typically, these matrices are used with 32P-labeled \*\*\*oligonucleotides\*\*\*. In addition, Drmanac's laboratory at Hyseq, Inc., has developed extensive mathematical models for handling data and strategies for using \*\*\*oligonucleotides\*\*\* to determine \*\*\*sequence\*\*\* in the DNAs attached to the membranes. We used these preparation techniques to test the feasibility of using tin-labeled \*\*\*oligonucleotides\*\*\* for hybridization and LARIMP for detection on these robotically produced membranes. Two different test matrices were obtained, both



with several \*\*\*arrays\*\*\* containing 300 .mu.m diameter individual spots of \*\*\*DNA\*\*\*. The DNAs used by Drmanac to prepare the \*\*\*arrays\*\*\*, M13mp18, pSP70, and pBR322, were provided by Atom Sciences and were identical to those used in Figures 2 and 3.. . .

TX(28) of 38. RESULTS AND DISCUSSION. Figure 4 depicts a spectral and a three-dimensional LARIMP image of the 118Sn \*\*\*signal\*\*\* of the stainless steel pin-punched sample that was hybridized with 118Sn-M13(-20) alone. A 118Sn \*\*\*signal\*\*\* was detected on the M13mp18 spots, indicating that the 118Sn-M13(-20) hybridized to it, as expected. The 118Sn \*\*\*signal\*\*\* decreased proportionally with decreasing amounts of immobilized \*\*\*DNA\*\*\*, demonstrating the quantitative property of this technique. Repetitive sequences, which occur in eukaryotic \*\*\*DNA\*\*\*, are the primary source of errors in \*\*\*SBH\*\*\* and cannot be analyzed quantitatively with autoradiographic techniques. 3 Target \*\*\*DNA\*\*\* containing two identical sequences would likely hybridize to twice the amount of labeled probe, producing twice the LARIMP \*\*\*signal\*\*\* that a target \*\*\*DNA\*\*\* with a single matching sequence would produce. The indication that LARIMP can detect quantitative differences may mean that it can. . .

TX(29) of 38. RESULTS AND DISCUSSION. Figure 5 shows a two-dimensional LARIMP image of the 118Sn/120Sn \*\*\*ratio\*\*\* for the simultaneous multiplexing experiment of the stainless steel pin-punched matrix. The results were fully consistent with specific hybridization of. . . spots of pBR322, which should not have hybridized with either probe and the areas of the nylon membrane in which \*\*\*DNA\*\*\* was not immobilized are blue. This blue area displays either the natural \*\*\*ratio\*\*\* of 118Sn/120Sn (.apprx.0.75) or a tin \*\*\*signal\*\*\* that is below detectable levels. Most of the blue area observed in Figure 5 represents the absence of a detectable tin \*\*\*signal\*\*\*, and the level of noise can be seen in Figure 4.

TX(30) of 38. RESULTS . . . pipetting or robotic punching techniques and specifically hybridized with probes labeled with different enriched tin isotopes. The application of the \*\*\*DNA\*\*\* with pins afforded a much smaller and better defined spot than with the pipetted samples. Smaller spots will allow for. . . creating a cost savings for routine analysis due to reduced analysis time and reagents. The data indicate that this new \*\*\*SBH\*\*\* method, employing stable isotopic labeling and detection by LARIMP, will be a very versatile and extensive multiplexing method.

TX(31) of 38. CONCLUSION. A new \*\*\*DNA\*\*\* sequence analysis

system has been developed that uses TOF-RIMS to provide a rapid method of analyzing stable isotope-labeled \*\*\*oligonucleotides\*\*\* in \*\*\*SBH\*\*\* form 1. We demonstrated that DNAs can be immobilized on nylon surfaces and hybridized to probes labeled with specific enriched. . . complementary and noncomplementary sites of better than 100 was obtained in multiplexed samples. TOF-RIMS was adapted for detecting hybridized Sn-labeled \*\*\*oligonucleotides\*\*\* to immobilized \*\*\*DNA\*\*\* on nylon. RI can be used in two modes, LARIMP or SIRIMP. We have found that LARIMP offers quantitative analysis and is superior for the detection of Sn-labeled \*\*\*oligonucleotides\*\*\* on nylon.

TX(32) of 38. CONCLUSION. . . . and selectivity and detecting subattomole quantities with excellent lateral resolution. The major advantage of the TOF-RIMS instrument is that multiple \*\*\*oligonucleotides\*\*\* labeled with different enriched isotopes can be measured simultaneously. Tin has 10 isotopes and 2 of these have been used. . . .

TX(33) of 38. CONCLUSION. To improve detection sensitivity further, \*\*\*oligonucleotide\*\*\* probes that are labeled with tin using the starburst dendrimer method developed by Tomalia<sup>34</sup>.Footnote. are being developed. Using this method, each \*\*\*oligonucleotide\*\*\* could be labeled with four, eight, or more tin atoms. This would substantially increase the sensitivity of the method. Also, . . . than the number of isotopes for each element. A set of simultaneous equations can be constructed from the measured isotope \*\*\*ratios\*\*\* to determine the background contamination and subtract it from the measured values. It may be possible to analyze human genomic \*\*\*DNA\*\*\* directly using starburst dendrimer and background subtraction technologies, if discrimination and repetitive sequences can be managed satisfactorily.

TX(34) of 38. CONCLUSION. In order to test the feasibility of using tin-labeled \*\*\*oligonucleotides\*\*\* for \*\*\*SBH\*\*\* form 1, a simple hybridization system and two labeled probes were used. In future experiments, however, discrimination will be determined. . . . complementary pairs; each probe will be labeled with a different enriched isotope of tin. More complex hybrid formations, such as \*\*\*single\*\*\* \*\*\*base\*\*\* pair mismatches in different locations and varied A + T content will be included in the experiments. 32

TX(35) of 38. CONCLUSION. In the above described \*\*\*SBH\*\*\* procedure, the speed of \*\*\*DNA\*\*\* analysis is a strong function of the sensitivity, repetition rate, multiplexing extent, and miniaturization of the \*\*\*DNA\*\*\* -containing matrix. An excimer-based RI instrument can operate at 400 Hz. Triplicate

sampling of each \*\*\*pixel\*\*\* by the laser beam will allow an analysis rate of 133 \*\*\*pixels\*\*\* /s. Analysis for enriched stable isotopes of a 50 .times. 200 matrix (10 4 target sites with different DNAs) will require. . . sample translation. Furthermore, by using 21 enriched isotopes (8 Sn, 7 Nd, and 6 Gd isotopes), instead of 1, the \*\*\*DNA\*\*\* analysis speed would increase by a factor of 21. The speed of this technique far exceeds other detection methods currently. . .

TX(36) of 38. CONCLUSION. We conclude that enriched stable isotope-labeled \*\*\*DNA\*\*\* probes and LARIMP analysis has the potential to make a strong contribution to sequencing, diagnostics, mapping, and any other method. . .

TX(37) of 38. Acknowledgment. . . . Sciences, Inc. and M. J. Doktycz, Oak Ridge National Laboratory for helpful discussions and R. Drmanac, Hyseq, Inc. for attaching \*\*\*DNA\*\*\* to nylon membranes.

CP CP(2) of 5. Figure 2. Hybridization specificity with demonstration of simultaneous multiplexing. Hybridization was simultaneously carried out with two enriched Sn-labeled \*\*\*oligonucleotides\*\*\* . The top two drawings show an array design used to prepare multiplexing samples. (A) M13mp18 \*\*\*DNA\*\*\* , which contains a binding site for the 118Sn-M13(-20) \*\*\*oligonucleotide\*\*\* probe and not for the 120Sn-T7 probe. (B) pSP70 \*\*\*DNA\*\*\* , which has a binding site to the 120Sn-T7 probe and not for the 118Sn-M13(-20) probe. (C) pBR322 \*\*\*DNA\*\*\* , which does not have binding sites for either probe. In the bottom left, the results for the line scan of. . . array are shown. Enriched tin is not detected on the left side of the scan at the position where pBR322 \*\*\*DNA\*\*\* is bound. The peak on the right corresponds to 120Sn-T7, which binds to pSP70. Results for the right side of. . .

CP(3) of 5. Figure 3. Two-dimensional image of hybridized \*\*\*oligonucleotides\*\*\* labeled with two enriched tin isotopes. Hybridization was simultaneously carried out with both Sn-labeled \*\*\*oligonucleotides\*\*\* . The array design used to prepare nine-dot multiplexing samples is shown on top. The immobilized \*\*\*DNA\*\*\* and the Sn-labeled \*\*\*oligonucleotides\*\*\* are described in Figure 2. A two-dimensional image of the 118Sn/120Sn \*\*\*ratio\*\*\* on the nine-dot array is displayed at the bottom of the Figure. At the positions where M13mp18 (A in the top diagram) is bound, 118Sn dominates, giving a high 118Sn/120Sn \*\*\*ratio\*\*\* (green). Similarly, at positions where the pSP70 (B in the top diagram) is bound, 120Sn dominates, giving a low 118Sn/120Sn \*\*\*ratio\*\*\* (yellow). Natural isotopic \*\*\*ratios\*\*\* (118Sn/120Sn 0.75) were detected where the pBR322 \*\*\*DNA\*\*\* is located (C in the top

diagram) and over the remainder of the matrix.

CP(4) of 5. Figure . . . An array design used by Drmanac to prepare multiplexing samples is shown at the top of the figure. The immobilized \*\*\*DNA\*\*\* is described in Figure 2, and the 118Sn-M13(-20) probe was employed for hybridization. The numbers after the letters refer to. . . for pBR322, and 25 fmol/.mu.L for pSP70. Blank spots are marked with "xx". A two-dimensional spectral image of the 118Sn \*\*\*signal\*\*\* is displayed in the middle of the figure. The sample was hybridized with 118Sn-M13(-20). At the three positions where the M13mp18 is bound, 118Sn is detected at levels correlated to the amount of \*\*\*DNA\*\*\* bound to the nylon. No enriched Sn was observed at the pBR322 or pSP70 sites. The data shown in the middle is displayed three-dimensionally on the bottom of the Figure. The three peaks (in order of decreasing \*\*\*intensity\*\*\* ) correspond to decreasing M13mp18 sites concentrations of 84, 42, and 8 fmol/.mu.L.

CP(5) of 5. Figure 5. Hybridization specificity using three concentrations of three immobilized DNAs, robotically applied, and two different tin-labeled \*\*\*oligonucleotides\*\*\* . An array design used by Drmanac to prepare multiplexing samples is shown on top. The identities and concentrations of immobilized \*\*\*DNA\*\*\* are defined in Figure 4. Hybridization was carried out simultaneously using 118Sn-M13(-20) and 120Sn-T7. A two-dimensional image of the 118Sn/120Sn \*\*\*ratio\*\*\* in the \*\*\*DNA\*\*\* matrix prepared by Drmanac is displayed on the bottom. At the positions where the M13mp18 is bound, 118Sn is detected,. . . similarly, at the positions where the pSP70 is bound, 120Sn-T7 is detected. Enriched Sn is not detected where the pBR322 \*\*\*DNA\*\*\* is located. The level of \*\*\*signal\*\*\* is dependent upon the amount of \*\*\*DNA\*\*\* bound to the nylon.

AN 96:85030 USPATFULL

TI Oligoprobe designstation: a computerized method for designing optimal \*\*\*DNA\*\*\* probes

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DT Utility

EXNAM Primary Examiner: Jones, W. Gray; Assistant Examiner: Tran, Paul  
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LREP Wagner &amp; Middlebrook

CLMN Number of Claims: 97

ECL Exemplary Claim: 52

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CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB There is disclosed herein an invention which relates to the fields of genetic engineering, microbiology, and \*\*\*computer\*\*\* science, that allows a user, whether they be a molecular biologist or a clinical diagnostician, to calculate and design extremely specific \*\*\*oligonucleotide\*\*\* probes for \*\*\*DNA\*\*\* and mRNA hybridization procedures. The probes designed with this invention may be used for medical diagnostic kits, \*\*\*DNA\*\*\* identification, and potentially continuous monitoring of metabolic processes in human beings. The key features design \*\*\*oligonucleotide\*\*\* probes based on the GenBank database of \*\*\*DNA\*\*\* and mRNA sequences and examine candidate probes for specificity or commonality with respect to a user-selected experimental preparation. Two models are available: a \*\*\*Mismatch\*\*\* Model, that employs hashing and continuous seed filtration, and an H-Site Model, that analyzes candidate probes for their binding specificity relative to some known set of mRNA or \*\*\*DNA\*\*\* sequences. The preferred embodiment of this computerized design tool is written in the Borland.RTM. C++ language and runs under Microsoft.RTM. Windows.TM. on IBM.RTM. compatible personal \*\*\*computers\*\*\*.

TI Oligoprobe designstation: a computerized method for designing optimal \*\*\*DNA\*\*\* probes

AB There is disclosed herein an invention which relates to the fields of genetic engineering, microbiology, and \*\*\*computer\*\*\*

science, that allows a user, whether they be a molecular biologist or a clinical diagnostician, to calculate and design extremely specific \*\*\*oligonucleotide\*\*\* probes for \*\*\*DNA\*\*\* and mRNA hybridization procedures. The probes designed with this invention may be used for medical diagnostic kits, \*\*\*DNA\*\*\* identification, and potentially continuous monitoring of metabolic processes in human beings. The key features design \*\*\*oligonucleotide\*\*\* probes based on the GenBank database of \*\*\*DNA\*\*\* and mRNA sequences and examine candidate probes for specificity or commonality with respect to a user-selected experimental preparation. Two models are available: a \*\*\*Mismatch\*\*\* Model, that employs hashing and continuous seed filtration, and an H-Site Model, that analyzes candidate probes for their binding specificity relative to some known set of mRNA or \*\*\*DNA\*\*\* sequences. The preferred embodiment of this computerized design tool is written in the Borland.RTM. C++ language and runs under Microsoft.RTM. Windows.TM. on IBM.RTM. compatible personal \*\*\*computers\*\*\* .

SUMM . . . three sheets of microfiche with a

PATENT APPLICATION - PATENTANMELDUNG - DEMANDE DE BREVET

AN 721016 EUROPATFULL UP 19970408 EW 9628 FS OS  
STA R

TIEN \*\*\*Nucleic\*\*\* \*\*\*acid\*\*\* library \*\*\*arrays\*\*\* , methods  
for synthesizing them and methods for \*\*\*sequencing\*\*\* and  
sample screening using them.

TIDE Immobilisierte Nukleinsaeure-Banken, Verfahren fuer ihre  
Herstellung und ihre Verwendung in Sequenzierungs- und  
Screeningsverfahren.

TIFR Banque d'acides nucleiques immobilisees, procedes pour leur  
fabrication et procedes de sequencage et de screening les  
utilisant.

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LA Anmeldung in Englisch; Veroeffentlichung in Englisch

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US 94-327687 941024  
US 95-533582 951018

ABEN Methods for discriminating between fully complementary hybrids and  
those that differ by one or more base pairs and libraries of  
unimolecular, double-stranded \*\*\*oligonucleotides\*\*\* on a  
solid support. In one embodiment, the present invention provides  
methods of using nuclease treatment to improve the quality of  
hybridization \*\*\*signals\*\*\* on high density  
\*\*\*oligonucleotide\*\*\* arrays. In another embodiment, the present  
invention provides methods of using ligation reactions to improve

the quality of hybridization \*\*\*signals\*\*\* on high density  
\*\*\*oligonucleotide\*\*\* arrays. In yet another embodiment, the  
present invention provides libraries of unimolecular or  
intermolecular, double-stranded \*\*\*oligonucleotides\*\*\* on a  
solid support. These libraries are useful in pharmaceutical  
discovery for the screening of numerous biological samples for  
specific interactions between the double-stranded  
\*\*\*oligonucleotides\*\*\*, and peptides, proteins, drugs and RNA.  
In a related aspect, the present invention provides libraries of  
conformationally restricted probes on a solid support. The probes  
are restricted in their movement and flexibility using  
double-stranded \*\*\*oligonucleotides\*\*\* as scaffolding. The  
probes are also useful in various screening procedures associated  
with drug discovery and diagnosis. The present invention further  
provides methods for the preparation and screening of the above  
libraries. <image>



The 5' half of the 20-mer target is complementary to the probes on the chip for which N is a G. The probe:target hybrids for the other three probes have a \*\*\*single\*\*\* \*\*\*base\*\*\* \*\*\*mismatch\*\*\* one base in from the 5' end of the probe. The ligatable 6-mer is complementary to the 3' overhang of. . . The . . . in a 1 ml flow cell containing 10 nM target oligo, 20 nM ligatable 6-mer, and 4000 units of T4 \*\*\*DNA\*\*\* Ligase (New England Biolabs). The buffer is the buffer recommended by the manufacturer plus 150 mM NaCl. The reaction is. . . after which the chip is vigorously washed with water at 50.degree.C to remove the labelled target molecules. The only fluorescent \*\*\*label\*\*\* remaining after washing is that of the ligatable 6-mers that have been covalently attached to the probes via the ligation. . . the 5' end (see, supra). For the target used here, G is the complementary base. HYB and LIG are the \*\*\*signals\*\*\* (fluorescence counts) for the different probes following hybridization and ligation, respectively. HDF and LDF are the discrimination factors (defined as the \*\*\*ratio\*\*\* of the fluorescence \*\*\*signal\*\*\* with the perfect match, G, to the \*\*\*signal\*\*\* with the specified \*\*\*mismatch\*\*\* base) following hybridization and ligation, respectively. It . . . after hybridization, the extent of target hybridization is very similar for the perfectly complementary probe and the probes containing a \*\*\*mismatch\*\*\* near the 5' end. The A and C mismatches differ by only 10%, and the maximum difference is only 40%. . . with the minimum discrimination factor greater than 4. These data indicate that ligation reactions can be performed on covalently attached \*\*\*oligonucleotide\*\*\* probes on the chip surface, that these reactions are specific for correctly base-paired probe:target hybrids, and that the reaction can be used to improve the discrimination between perfect matches and \*\*\*single\*\*\* \*\*\*base\*\*\* mismatches. In this example, a chip was made with probes having the following \*\*\*sequences\*\*\* :

P-P-A-A-CGCGCATTCN-5' (denoted CG)

P-P-A-A-ATATAATTCN-5' (denoted AT)

A, T, C, G and N have the same definitions as those set forth in Example I, supra. These probes contain a perfect match and the \*\*\*single\*\*\* - \*\*\*base\*\*\* \*\*\*mismatch\*\*\* \*\*\*sequences\*\*\* for the following 22-mer target oligos (listed 5' to 3'):

F1-GCGCGTAAGGCCTTCGACGTAG (denoted OH1)

F1-TATATTAAGGCCTTCGACGTAG (denoted OH2)

The 5' end of. . . of OH2 is complementary to the AT probes with N = C. Both OH1 and OH2 have the same 12-mer \*\*\*sequence\*\*\* at the 3' end. The labelled, ligatable 6-mer used in this example (appropriate for both OH1 and OH2 when hybridized to the CG and AT regions of the chip, respectively) has the following

\*\*\*sequence\*\*\* :

F1-CGAAGG (denoted L6B).

Prior . . . ligation conditions are the same as those used in Example I unless otherwise specified. In particular, 2000 units of T4 \*\*\*DNA\*\*\* Ligase are used for the reaction here, and the concentration of the ligatable 6-mer is 10 nM rather than 20. .

The . . . of hybridization to both the CG and AT regions of the chip is analyzed. It is found that the fluorescence \*\*\*signal\*\*\* in the CG regions (OH1 hybrids) is larger than in the AT regions (OH2 hybrids) by more than a factor of 14. In fact, the perfect match \*\*\*signal\*\*\* in the CG region is quite strong, but the \*\*\*signal\*\*\* in the AT region is only slightly greater than twice the background. <table>

Following . . . the chip by combining OH1, OH2, and L6B in 1 ml of ligation buffer and adding 2000 units of T4 \*\*\*DNA\*\*\* Ligase. The reaction is allowed to proceed for 34 hours at 22.degree.C, and then for another 24 hours at 8.degree.C.. . . It is striking that after the ligation reaction at 8.degree.C, the \*\*\*signals\*\*\* for OH1 and OH2 differ by only a factor of 1.4, ten times less than the factor of 14 that. . .

In order for the ligation strategy to be useful for unknown or more complex \*\*\*DNA\*\*\* targets, it is necessary to use a pool of all possible (4096) 6-mers instead of a specific ligatable 6-mer. The. . . are performed using a mixture of A, C, G, and T phosphoramidite, producing a mixture of all possible five base \*\*\*sequences\*\*\* on each of the four columns. The 6-mers are labelled with fluorescein at the 5' end as the last step. . . 260 nm. The appropriate amounts of each pool is mixed to make a solution that contains all 4096 labelled 6-mer \*\*\*oligonucleotides\*\*\* .

A chip is made containing 10-mer probes having the following \*\*\*sequences\*\*\*

P-P-C-G-C-G-N.sub1.-N.sub2.-N.sub3.-N.sub4.-N.sub5.-N.sub6.-5'

wherein: N.subi. are A, C, G, or T. In other words, the chip contains 10-mers with all possible (4096). . . the synthesis of the chip, prior to deprotection of the bases. The target oligo is a 22-mer having the following \*\*\*sequence\*\*\* (listed 5' to 3'):

F1-GCGCGTAAGGCCTTCGACGTAG (OH1)

The chip was initially hybridized with 10 nM OH1 in 6XSSP-T at 22.degree.C for. . . chip is read and analyzed. The only perfect match probe for this target (i.e., PP-CGCGCATTC-5') has the second highest hybridization \*\*\*signal\*\*\*. Eight other probes have hybridization \*\*\*signals\*\*\* that are within a factor of 4 of the perfect match \*\*\*signal\*\*\*. The other three

probes with a \*\*\*single\*\*\* \*\*\*base\*\*\* \*\*\*mismatch\*\*\*  
at the 5' end have discrimination factors of 2.0, 2.6, and 3.5,  
for G, A, and T, respectively. Other \*\*\*single\*\*\* \*\*\*base\*\*\*  
mismatches at positions in from the 5' end of the probe give  
\*\*\*signals\*\*\* that are considerably smaller. The chip is washed  
with water to remove the hybridized target.

The . . . the ligation buffer for 11 hours at 22.degree.C (no  
ligase at this stage). The perfect match probe gives the highest  
\*\*\*signal\*\*\* by a factor of 2.4. Five probes have  
\*\*\*signals\*\*\* within a factor of 4 of the perfect match  
\*\*\*signal\*\*\*. The other three probes with a \*\*\*single\*\*\*  
\*\*\*base\*\*\* \*\*\*mismatch\*\*\* at the 5' end have discrimination  
factors of 3.0, 3.6, and 8.0, for G, A, and T, respectively.  
The ligation reaction is initiated by the addition of 2000 units  
of T4 \*\*\*DNA\*\*\* ligase to the solution containing OH1 and the  
pool of 6-mers. The reaction is allowed to proceed for 23 hours.  
. . . chip with water at about 45.degree.C for five minutes, the  
chip is read. After ligation, no other probes have hybridization  
\*\*\*signals\*\*\* that are within a factor of 4 of the perfect match  
\*\*\*signal\*\*\*. The three 5' \*\*\*single\*\*\* \*\*\*base\*\*\*  
\*\*\*mismatch\*\*\* probes all have discrimination factors greater  
than 12. Thus, with a complex chip containing 4096 probes with all  
possible 6-mer \*\*\*sequences\*\*\* at the 5' end, and using a pool  
of all possible ligatable 6-mers, the ligation reaction is still  
specific for the perfectly complementary probe and affords  
considerable increases in the discrimination between perfect  
matches and \*\*\*single\*\*\* - \*\*\*base\*\*\* mismatches.

In . . . example, a chip was made using the tiling strategy (A,  
C, G, T -containing probes for each base in the \*\*\*sequence\*\*\*  
) described above that covers a 50 base region of the protease  
\*\*\*gene\*\*\* of HIV-1 (SF2 strain). The probes are 11-mers, linked  
to the glass support by three PEG linkers. The substitution  
position. . . and ligation, the chip is phosphorylated using T4  
polynucleotide kinase for 5 hours at 37.degree.C. The target is a  
75-mer \*\*\*oligonucleotide\*\*\* (denoted Hpro1), labelled at the  
5' end with fluorescein, that spans the complementary 50 base  
region on the chip.

The . . . then carried out with 10 nM Hpro1, 1.6 .mu.M 6-mer  
pool (0.4 nM per oligo), and 2000 units of T4 \*\*\*DNA\*\*\* Ligase  
in 1 ml of ligation buffer. The ligation reaction is allowed to  
proceed for 25 hours at 8.degree.C, then. . . and finally 4  
days at 8.degree.C. At intervals of 1 to 2 days, the solution is  
supplemented with additional T4 \*\*\*DNA\*\*\* Ligase. Following  
the ligation reaction, the chip is washed vigorously with water at  
about 45.degree.C for 10 minutes, leaving only. . .

The . . . hybridization and ligation reactions are analyzed in  
terms of the ability to make a correct base call from the

fluorescence . \*\*\*signal\*\*\* measured on the chip. In particular, the \*\*\*signal\*\*\* is compared between the four probes that differ by a \*\*\*single\*\*\* \*\*\*base\*\*\* at a given position within the 11-mer, with the rest of the 11-mer being perfectly complementary to a specific region of the target \*\*\*sequence\*\*\*. For the purposes of this experiment, a base identification is said to be made if the \*\*\*signal\*\*\* in at least one of the four probe regions is greater than the \*\*\*signal\*\*\* in a nearby region that has no \*\*\*oligonucleotide\*\*\* probes (the background) by at least 5 counts (the background counts are usually about 2 - 6 counts), and if the \*\*\*signal\*\*\* in one of the four regions is greater than that in the other three related regions by at least a factor of 1.2. If none of the four \*\*\*signals\*\*\* are larger than the other three by a factor of at least 1.2, a multiple base ambiguity results. If the most intense hybridization \*\*\*signal\*\*\* (by a factor of at least 1.2) is for a probe that is not perfectly complementary to the target \*\*\*sequence\*\*\*, then a miscall results.

Following . . . or miscalls. These results indicate that the ligation reaction with the full pool of 6-mers can be used to specifically \*\*\*label\*\*\* hybrids between relatively complex targets and \*\*\*arrays\*\*\* of \*\*\*oligonucleotide\*\*\* probes. It is interesting to note that the pattern of ligation (stronger or weaker \*\*\*signals\*\*\*, better or worse discrimination) is not in general the same as the pattern of hybridization. This suggests that these two approaches may be used as complementary tools to obtain \*\*\*sequence\*\*\* information with \*\*\*arrays\*\*\* of \*\*\*oligonucleotide\*\*\* probes. For example, probes that produce large hybridization \*\*\*signals\*\*\*, but are poorly discriminated may be better treated using a ligation step. And probes that do not hybridize well to a particular complementary target (leading to a \*\*\*signal\*\*\* that is too small relative to the background) may ligate well enough to be clearly detected (as also suggested by. . .

#### C. PREPARATION OF UNIMOLECULAR, DOUBLE-STRANDED

##### \*\*\*OLIGONUCLEOTIDES\*\*\*

This example illustrates the general synthesis of an \*\*\*array\*\*\* of unimolecular, double-stranded \*\*\*oligonucleotides\*\*\* on a solid support.

Unimolecular double stranded \*\*\*DNA\*\*\* molecules were synthesized on a solid support using standard light-directed methods (VLSIPS.trade. protocols). Two hexaethylene glycol (PEG) linkers were used to covalently attach the synthesized \*\*\*oligonucleotides\*\*\* to the derivatized glass surface. Synthesis of the first (inner) strand proceeded one nucleotide at a time using repeated cycles. . . Upon completion of the inner strand, another MeNPoc-protected PEG linker was covalently

attached to the 5' end of the surface-bound  
\*\*\*oligonucleotide\*\*\*. After addition of the internal PEG  
linker, the PEG is photodeprotected, and the synthesis of the  
second strand proceeded in the normal fashion. Following the  
synthesis cycles, the \*\*\*DNA\*\*\* bases were deprotected using  
standard protocols. The \*\*\*sequence\*\*\* of the second (outer)  
strand, being complementary to that of the inner strand, provided  
molecules with short, hydrogen bonded, unimolecular. . .  
An \*\*\*array\*\*\* of 16 different molecules were synthesized on a  
derivatized glass slide in order to determine whether short,  
unimolecular \*\*\*DNA\*\*\* structures could be formed on a surface  
and whether they could adopt structures that are recognized by  
proteins. Each of. . . S is the solid surface having silyl  
groups, P is a PEG linker, A, C, G, and T are the \*\*\*DNA\*\*\*  
nucleotides, and F is a fluorescent tag. The \*\*\*DNA\*\*\*  
\*\*\*sequence\*\*\* is listed from the 3' to the 5' end (the 3' end  
of the \*\*\*DNA\*\*\* molecule is attached to the solid surface via  
a silyl group and 2 PEG linkers). The sixteen molecules  
synthesized on. . .

This example illustrates the ability of a library of  
surface-bound, unimolecular, double-stranded  
\*\*\*oligonucleotides\*\*\* to exist in duplex form and to be  
recognized and bound by a protein.

A . . . complementary to the inner strand (these molecules will  
be referred to as DS, double-stranded, below). One of the four DS  
\*\*\*oligonucleotides\*\*\* has a \*\*\*sequence\*\*\* that is  
recognized by the restriction enzyme EcoR1. If the molecule can  
loop back and form a \*\*\*DNA\*\*\* duplex, it should be recognized  
and cut by the restriction enzyme, thereby releasing the  
fluorescent tag. Thus, the action of the enzyme provided a  
functional test for \*\*\*DNA\*\*\* structure, and also served to  
demonstrate that these structures can be recognized at the surface  
by proteins. The remaining 12. . . (referred to as SS,  
single-stranded, below). Of these, three had an outer strand and  
three had an inner strand whose \*\*\*sequence\*\*\* was an EcoR1  
half-site (the \*\*\*sequence\*\*\* on one strand was correct for  
the enzyme, but the other half was not). The solid support with an  
\*\*\*array\*\*\* of molecules on the surface is referred to as a  
"chip" for the purposes of the following discussion. The presence.  
. . . fluorescence from the molecules on the chip surface (e.g.  
"reading" the chip) upon treatment with enzymes that can cut the  
\*\*\*DNA\*\*\* and release the fluorescent tag at the 5' end.  
The . . . different enzymes used to characterize the structure  
of the molecules on the chip were:

- 1) Mung Bean Nuclease - \*\*\*sequence\*\*\* independent,  
single-strand specific \*\*\*DNA\*\*\* endonuclease;
- 2) DNase I - \*\*\*sequence\*\*\* independent, double-strand

specific endonuclease;

3) EcoR1 - restriction endonuclease that recognizes the  
\*\*\*sequence\*\*\* (5'-3')

GAATTC in double stranded \*\*\*DNA\*\*\*, and cuts between the  
G and the first A. Mung Bean Nuclease and EcoR1 were obtained from  
New England Biolabs, . . .

Upon treatment of the chip with the enzyme EcoR1, the fluorescence  
\*\*\*signal\*\*\* in the DS EcoR1 region and the 3 SS regions with  
the EcoR1 half-site on the outer strand was reduced. . . least  
5 times greater than for the other regions of the chip, indicating  
that the action of the enzyme is \*\*\*sequence\*\*\* specific on  
the chip. It was not possible to determine if the factor is  
greater than 5 in these preliminary. . .

The reduction in \*\*\*signal\*\*\* in the 3 SS regions with the  
EcoR1 half-site on the outer strand indicated either that the  
enzyme cuts single-stranded \*\*\*DNA\*\*\* with a particular  
\*\*\*sequence\*\*\*, or that these molecules formed a double-stranded  
structure that was recognized by the enzyme. The molecules on the  
chip surface. . . 3 SS regions with the EcoR1 half-site on the  
outer strand, such a bimolecular double-stranded region would have  
the correct \*\*\*sequence\*\*\* and structure to be recognized by  
EcoR1. However, it would differ from the unimolecular  
double-stranded molecules in that the inner. . . by a  
single-strand specific endonuclease such as Mung Bean Nuclease.  
Therefore, it was possible to distinguish unimolecular from  
bimolecular double-stranded \*\*\*DNA\*\*\* molecules on the surface  
by their ability to be cut by single and double-strand specific  
endonucleases.

In . . . to identify unimolecular double-stranded molecules,  
the chip was first exhaustively treated with Mung Bean Nuclease.  
The reduction in the fluorescence \*\*\*signal\*\*\* was greater by  
about a factor of 2 for the SS regions of the chip, including  
those with the EcoR1. . . I (which cuts all remaining  
double-stranded molecules) or EcoR1 (which should cut only the  
remaining double-stranded molecules with the correct  
\*\*\*sequence\*\*\*). Upon treatment with DNase I, the fluorescence  
\*\*\*signal\*\*\* in the 4 DS regions was reduced by at least 5-fold  
more than the \*\*\*signal\*\*\* in the SS regions. Upon EcoR1  
treatment, the \*\*\*signal\*\*\* in the single DS region with the  
correct EcoR1 \*\*\*sequence\*\*\* was reduced by at least a factor  
of 3 more than the \*\*\*signal\*\*\* in any other region on the  
chip. Taken together, these results indicated that the  
surface-bound molecules synthesized with two complementary. . .  
structures that were resistant to a single-strand specific  
endonuclease and were recognized by both a double-strand specific  
endonuclease, and a \*\*\*sequence\*\*\*-specific restriction

enzyme.

A glass coverslip having aminopropylsilane spacer groups can be further derivatized on the amino groups with a poly-A

\*\*\*oligonucleotide\*\*\* comprising nine adenosine monomers using VLSIPS.trade. ("light-directed") methods. The tenth adenine monomer to be added will be a 5'-aminopropyl-functionalized phosphoramidite. . . .

The present invention provides greatly improved methods and apparatus for the study of nucleotide \*\*\*sequences\*\*\* and \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* interactions with other molecules. It is to be understood that the above description is intended to be illustrative and not. . . .

- CLMEN 1. A method for \*\*\*sequencing\*\*\* a target \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*, said method comprising:
- (a) combining:
    - (i) a substrate comprising an \*\*\*array\*\*\* of chemically synthesized and positionally distinguishable \*\*\*oligonucleotides\*\*\* each of which is complementary to a defined subsequence of preselected length; and
    - (ii) a target \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*; thereby forming target- \*\*\*oligonucleotide\*\*\* hybrid complexes of complementary subsequences of known \*\*\*sequence\*\*\*;

. A \*\*\*computer\*\*\* 100 is used to design \*\*\*arrays\*\*\* of biological polymers such as RNA or \*\*\*DNA\*\*\*. The \*\*\*computer\*\*\* 100 may be, for example, an appropriately programmed Sun Workstation or personal \*\*\*computer\*\*\* or workstation, such as an IBM PC equivalent, including appropriate memory and a CPU as shown in Figs. 1 and 2. The \*\*\*computer\*\*\* system 100 obtains inputs from a user regarding characteristics of a \*\*\*gene\*\*\* of interest, and other inputs regarding the desired features of the \*\*\*array\*\*\*. Optionally, the \*\*\*computer\*\*\* system may obtain information regarding a specific genetic \*\*\*sequence\*\*\* of interest from an external or internal database 102 such as GenBank. The output of the \*\*\*computer\*\*\* system 100 is a set of chip design \*\*\*computer\*\*\* files 104 in the form of, for example, a switch matrix, as described in PCT application WO 92/10092, and other associated \*\*\*computer\*\*\* files.

The chip design files are provided to a system 106 that designs the lithographic masks used in the fabrication of \*\*\*arrays\*\*\* of molecules such as \*\*\*DNA\*\*\*. The system or process 106 may include the hardware necessary to manufacture masks 110 and also the necessary \*\*\*computer\*\*\* hardware and \*\*\*software\*\*\* 108 necessary to lay the mask patterns out on the mask in an efficient manner. As with the other features. . . system 106 generates masks 110 or other synthesis patterns such as chrome-on-glass masks for use in the fabrication of polymer \*\*\*arrays\*\*\*.

The . . . the chips from system 100, are used in a synthesis system 112. Synthesis system 112 includes the necessary hardware and \*\*\*software\*\*\* used to fabricate \*\*\*arrays\*\*\* of polymers on a substrate or chip 114. For example, synthesizer 112 includes a light source 116 and a chemical. . . to deprotected regions, as well as for washing and other operations. All operations are preferably directed by an appropriately programmed \*\*\*computer\*\*\* 119, which may or may not be the same \*\*\*computer\*\*\* as the \*\*\*computer\*\*\* (s) used in mask design and mask making.

The . . . may not be complementary to one or more of the molecules on the substrate. The receptors are marked with a \*\*\*label\*\*\* such as a fluorescein \*\*\*label\*\*\* (indicated by an asterisk in Fig. 3) and placed in scanning system 120. Scanning system 120 again operates under the direction of an appropriately programmed digital \*\*\*computer\*\*\* 122, which also may or may not be the same \*\*\*computer\*\*\* as the \*\*\*computers\*\*\* used in synthesis, mask making, and mask design. The scanner 120 includes a detection device 124 such as a confocal. . . The output of scanner 120 is an image file(s) 124 indicating, in the case of fluorescein labeled receptor, the fluorescence



\*\*\*intensity\*\*\* (photon counts or other related measurements, such as voltage) as a function of position on the substrate. Since higher photon counts will be observed where the labeled receptor has bound more strongly to the \*\*\*array\*\*\* of polymers, and since the monomer \*\*\*sequence\*\*\* of the polymers on the substrate is known as a function of position, it becomes possible to determine the \*\*\*sequence\*\*\* (s) of polymer(s) on the substrate that are complementary to the receptor.

The . . . and analysis methods of the present invention. Again, the analysis system may be any one of a wide variety of \*\*\*computer\*\*\* system(s), but in a preferred embodiment the analysis system is based on a Sun Workstation or equivalent. The present invention. . . providing appropriate output 128. The present invention may further be used to identify specific mutations in a receptor such as \*\*\*DNA\*\*\* or RNA.

Fig. 4 provides a simplified illustration of the overall \*\*\*software\*\*\* system used in the operation of one embodiment of the invention. As shown in Fig. 4, in some cases (such as \*\*\*sequence\*\*\* checking systems) the system first identifies the genetic \*\*\*sequence\*\*\* (s) or targets that would be of interest in a particular analysis at step 202. The \*\*\*sequences\*\*\* of interest may, for example, be normal or mutant portions of a \*\*\*gene\*\*\*, \*\*\*genes\*\*\* that identify heredity, or provide forensic information, or be all possible n-mers (where n represents the length of the \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*). \*\*\*Sequence\*\*\* selection may be provided via manual input of text files or may be from external sources such as GenBank. At step 204 the system evaluates the \*\*\*gene\*\*\* to determine or assist the user in determining which probes would be desirable on the chip, and provides an appropriate "layout" on the chip for the probes. The chip usually includes probes that are complementary to a reference \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* which has a known \*\*\*sequence\*\*\*. A wild-type probe is a probe that will ideally hybridize with the reference \*\*\*sequence\*\*\* and thus a wild-type \*\*\*gene\*\*\* (also called the chip wild-type) would ideally hybridize with wild-type probes on the chip. The target \*\*\*sequence\*\*\* is substantially similar to the reference \*\*\*sequence\*\*\* except for the presence of mutations, insertions, deletions, and the like. The layout implements desired characteristics such as arrangement on the chip that permits "reading" of genetic \*\*\*sequence\*\*\* and/or minimization of edge effects, ease of synthesis, and the like. Fig. 5 illustrates the global layout of a chip in a particular embodiment used for \*\*\*sequence\*\*\* checking applications. Chip 114 is composed of multiple units where each unit may contain different tilings for the chip wild-type \*\*\*sequence\*\*\*. Unit 1 is shown in greater detail and shows that each unit is composed

of multiple cells which are areas. . . a "blank" cell 224. Cell 220 contains a wild-type probe that is the complement of a portion of the wild-type \*\*\*sequence\*\*\*. Cells 222 contain "mutation" probes for the wild-type \*\*\*sequence\*\*\*. For example, if the wild-type probe is 3'-ACGT, the probes 3'-ACAT, 3'-ACCT, 3'-ACGT, and 3'-ACTT may be the "mutation" probes.. . the chip in this area. Thus, the blank cell provides an area that can be used to measure the background \*\*\*intensity\*\*\*.

In one embodiment, numerous tiling processes are available including \*\*\*sequence\*\*\* tiling, block tiling, and opt-tiling, as described below. Of course a wide range of layout strategies may be used according. . . of the invention. For example, the probes may be tiled on a substrate in an apparently random fashion where a \*\*\*computer\*\*\* system is utilized to keep track of the probe locations and correlate the data obtained from the substrate.

Opt-tiling is the process of tiling additional probes for suspected mutations. As a simple example of opt-tiling, suppose the wild-type target \*\*\*sequence\*\*\* is 5'-ACGTATGCA-3' and it is suspected that a mutant \*\*\*sequence\*\*\* has a possible T base mutation at the underlined base position. Suppose further that the chip will be synthesized with. . .

In . . . row of the probes (along with one probe below each of the four wild-type probes) should bind to the target \*\*\*DNA\*\*\* \*\*\*sequence\*\*\*. However, if the target \*\*\*sequence\*\*\* has a T base mutation as suspected, the labeled mutant \*\*\*sequence\*\*\* will not bind that strongly to the probes in the columns around column 3. For example, the mutant receptor that. . .

Again referring to Fig. 4, at step 206 the masks for the synthesis are designed. At step 208 the \*\*\*software\*\*\* utilizes the mask design and layout information to make the \*\*\*DNA\*\*\* or other polymer chips. This \*\*\*software\*\*\* 208 will control relative translation of a substrate and the mask, the flow of desired reagents through a flow cell, the synthesis temperature of the flow cell, and other parameters. At step 210, another piece of \*\*\*software\*\*\* is used in scanning a chip thus synthesized and exposed to a labeled receptor. The \*\*\*software\*\*\* controls the scanning of the chip, and stores the data thus obtained in a file that may later be utilized to extract \*\*\*sequence\*\*\* information.

At step 212 a \*\*\*computer\*\*\* system according to the present invention utilizes the layout information and the fluorescence information to evaluate the hybridized \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes on the chip. Among the important pieces of information obtained from probe \*\*\*arrays\*\*\* are the identification of mutant receptors and determination of genetic \*\*\*sequence\*\*\* of a particular receptor.

Fig. 6 illustrates the binding of a particular target **\*\*\*DNA\*\*\*** to an **\*\*\*array\*\*\*** of **\*\*\*DNA\*\*\*** probes 114. As shown in this simple example, the following probes are formed in the **\*\*\*array\*\*\*** (only one probe is shown for the wild-type probe):  
 <image> As shown, the set of probes differ by only one base so the probes are designed to determine the identity of the base at that position in the **\*\*\*nucleic\*\*\*** **\*\*\*acid\*\*\*** **\*\*\*sequence\*\*\***.

When a fluorescein-labeled (or otherwise marked) target with the **\*\*\*sequence\*\*\*** 5'-TCTTGCA is exposed to the **\*\*\*array\*\*\***, it is complementary only to the probe 3'-AGAACGT, and fluorescein will be primarily found on the surface of the chip. . . . located. Thus, for each set of probes that differ by only one base, the image file will contain four fluorescence **\*\*\*intensities\*\*\***, one for each probe. Each fluorescence **\*\*\*intensity\*\*\*** can therefore be associated with the base of each probe that is different from the other probes. Additionally, the image file will contain a "blank" cell which can be used as the fluorescence **\*\*\*intensity\*\*\*** of the background. By analyzing the five fluorescence **\*\*\*intensities\*\*\*** associated with a specific base location, it becomes possible to extract **\*\*\*sequence\*\*\*** information from such **\*\*\*arrays\*\*\*** using the methods of the invention disclosed herein.

Fig. 7 illustrates probes arranged in lanes on a chip. A reference **\*\*\*sequence\*\*\*** is shown with five interrogation positions marked with number subscripts. An interrogation position is a base position in the reference **\*\*\*sequence\*\*\*** where the target **\*\*\*sequence\*\*\*** may contain a mutation or otherwise differ from the reference **\*\*\*sequence\*\*\***. The chip may contain five probe cells that correspond to each interrogation position. Each probe cell contains a set of. . . . probes that have a common base at the interrogation position. For example, at the first interrogation position, I.sub1., the reference **\*\*\*sequence\*\*\*** has a base T. The wild-type probe for this interrogation position is 3'-TGAC where the base A in the probe is complementary to the base at the interrogation position in the reference **\*\*\*sequence\*\*\***.

Similarly, . . . I.sub1.. The four mutant probes are 3'-TGAC, 3'-TGCC, 3'-TGGC, and 3'-TGTC. Each of the four mutant probes vary by a **\*\*\*single\*\*\*** **\*\*\*base\*\*\*** at the interrogation position. As shown, the wild-type and mutant probes are arranged in lanes on the chip. One of. . . .

Fig. 8 illustrates a hybridization pattern of a target on a chip with a reference **\*\*\*sequence\*\*\*** as in Fig. 7. The reference **\*\*\*sequence\*\*\*** is shown along the top of the chip for **\*\*\*comparison\*\*\***. The chip includes a WT-lane (wild-type), an A-lane, a C-lane, a G-lane, and a T-lane (or U). Each lane is a

row of cells containing probes. The cells in the WT-lane contain probes that are complementary to the reference \*\*\*sequence\*\*\*. The cells in the A-, C-, G-, and T-lanes contain probes that are complementary to the reference \*\*\*sequence\*\*\* except that the named base is at the interrogation position.

In one embodiment, the hybridization of probes in a cell is determined by the fluorescent \*\*\*intensity\*\*\* (e.g., photon counts) of the cell resulting from the binding of marked target \*\*\*sequences\*\*\*. The fluorescent \*\*\*intensity\*\*\* may vary greatly among cells. For simplicity, Fig. 8 shows a high degree of hybridization by a cell containing a. . .

In practice, the fluorescent \*\*\*intensities\*\*\* of cells near an interrogation position having a mutation are relatively dark creating "dark regions" around a mutation. The lower fluorescent \*\*\*intensities\*\*\* result because the cells at interrogation positions near a mutation do not contain probes that are perfectly complementary to the target \*\*\*sequence\*\*\*; thus, the hybridization of these probes with the target \*\*\*sequence\*\*\* is lower. For example, the relative \*\*\*intensity\*\*\* of the cells at interrogation positions I.sub3. and I.sub5. may be relatively low because none of the probes therein are complementary to the target \*\*\*sequence\*\*\*.

II. \*\*\*Intensity\*\*\* \*\*\*Ratio\*\*\* Method  
The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method is a method of calling bases in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method is most accurate when there is good discrimination between the fluorescence \*\*\*intensities\*\*\* of hybrid matches and hybrid mismatches. If there is insufficient discrimination, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method assigns a corresponding ambiguity code to the unknown base.

For simplicity, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method will be described as being used to identify one unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. In practice, the method is used to identify many or all the bases in a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*.

The . . . location where a labeled receptor should not bind to the chip since no probe is present. For example, suppose a \*\*\*DNA\*\*\* \*\*\*sequence\*\*\* of interest or target

\*\*\*sequence\*\*\* contains the \*\*\*sequence\*\*\* 5'-AGAACCTGC-3' with a possible mutation at the underlined base position. Suppose that 5-mer probes are to be synthesized for the target \*\*\*sequence\*\*\*. A representative wild-type probe of 5'-TTGGA is complementary to the region of the \*\*\*sequence\*\*\* around the possible mutation. The "mutation" probes will be the same as the wild-type probe except for a different base. . .

If the fluorescently marked sample \*\*\*sequence\*\*\* is exposed

to the above four mutation probes, the **\*\*\*intensity\*\*\*** should be highest for the probe that binds most strongly to the sample **\*\*\*sequence\*\*\***. Therefore, if the probe 3'-TTTGA shows the highest **\*\*\*intensity\*\*\***, the unknown base in the sample will generally be called an A mutation because the probes are complementary to the sample **\*\*\*sequence\*\*\***.

The identity of the unknown base is preferably determined by evaluating the relative fluorescence **\*\*\*intensities\*\*\*** of up to four of the mutation probes, and the "blank" cell. Because each mutation probe is identifiable by the mutation base, a mutation probe's **\*\*\*intensity\*\*\*** will be referred to as the "base **\*\*\*intensity\*\*\***" of the mutation base.

As a simple example of the **\*\*\*intensity\*\*\*** **\*\*\*ratio\*\*\*** method, suppose a **\*\*\*gene\*\*\*** of interest (target) is an HIV protease **\*\*\*gene\*\*\*** with the **\*\*\*sequence\*\*\*** 5'-ATGTGGACAGTTGTA-3' (SEQ ID NO:1). Suppose further that a sample **\*\*\*sequence\*\*\*** is suspected to have the same **\*\*\*sequence\*\*\*** as the target **\*\*\*sequence\*\*\*** except for a mutation of base C to base T at the underlined base position. Although hundreds of probes may be synthesized on the chip, the complementary mutation probes synthesized to detect a mutation in the sample **\*\*\*sequence\*\*\*** at the suspected mutation position may be as follows:

- 3'-TATC
- 3'-TCTC
- 3'-TGTC (wild-type)
- 3'-TTTC

The mutation probe 3'-TGTC is also the wild-type probe as it should bind most strongly with the target **\*\*\*sequence\*\*\***. After the sample **\*\*\*sequence\*\*\*** is labeled, hybridized on the chip, and scanned, suppose the following fluorescence **\*\*\*intensities\*\*\*** were obtained:

- 3'-TATC -> 45
- 3'-TCTC -> 8
- 3'-TGTC -> 32
- 3'-TTTC -> 12

where the **\*\*\*intensity\*\*\*** is measured by the photon count detected by the scanner. The "blank" cell had a fluorescence **\*\*\*intensity\*\*\*** of 2. The photon counts in the examples herein are representative (not actual data) and provided for illustration purposes. In. . .

Although each fluorescence **\*\*\*intensity\*\*\*** is from a probe, the probes may be characterized by their unique mutation base so the bases may be said to have the following **\*\*\*intensities\*\*\*** :

- A -> 45
- C -> 8
- G -> 32

T -> 12

Thus, base A will be described as having an \*\*\*intensity\*\*\* of 45, which corresponds to the \*\*\*intensity\*\*\* of the mutation probe with the mutation base A.

Initially, each mutation base \*\*\*intensity\*\*\* is reduced by the background or "blank" cell \*\*\*intensity\*\*\*. This is done as follows:  $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  Then, the base \*\*\*intensities\*\*\* are sorted in descending order of \*\*\*intensity\*\*\*. The above bases would be sorted as follows:

A -> 43

G -> 30

T -> 10

C -> 6

Next, the highest \*\*\*intensity\*\*\* base is compared to the second highest \*\*\*intensity\*\*\* base. Thus, the \*\*\*ratio\*\*\* of the \*\*\*intensity\*\*\* of base A to the \*\*\*intensity\*\*\* of base G is calculated as follows:  $A:G = 43 / 30 = 1.4$ . The \*\*\*ratio\*\*\* A:G is then compared to a predetermined \*\*\*ratio\*\*\* cutoff, which is a number that specifies the \*\*\*ratio\*\*\* required to identify the unknown base. For example, if the \*\*\*ratio\*\*\* cutoff is 1.2, the \*\*\*ratio\*\*\* A:G is greater than the \*\*\*ratio\*\*\* cutoff ( $1.4 > 1.2$ ) and the unknown base is called by the mutation probe containing the mutation A. As probes are complementary to the sample \*\*\*sequence\*\*\*, the sample \*\*\*sequence\*\*\* is called as having a mutation T, resulting in a called sample \*\*\*sequence\*\*\* of 5'-ATGTGGATAGTTGTA-3' (SEQ ID NO:2).

As another example, suppose everything else is the same as in the previous example except that the sorted background adjusted \*\*\*intensities\*\*\* were as follows:

C -> 42

A -> 40

G -> 10

T -> 8

The \*\*\*ratio\*\*\* of the highest \*\*\*intensity\*\*\* base to the second highest \*\*\*intensity\*\*\* base (C:A) is 1.05. Because this \*\*\*ratio\*\*\* is not greater than the \*\*\*ratio\*\*\* cutoff of 1.2, the unknown base will be called as being ambiguously one of two or more bases as follows. . . . The second highest \*\*\*intensity\*\*\* base is then compared to the third highest base. The \*\*\*ratio\*\*\* of A:G is 4. The \*\*\*ratio\*\*\* of A:G is then compared to the \*\*\*ratio\*\*\* cutoff of 1.2. As the \*\*\*ratio\*\*\* A:G is greater than the \*\*\*ratio\*\*\* cutoff ( $4 > 1.2$ ), the unknown base is called by the mutation probes containing the mutations C or A. As probes are

complementary to the sample **\*\*\*sequence\*\*\*** , the sample **\*\*\*sequence\*\*\*** is called as having either a mutation G or T, resulting in a sample **\*\*\*sequence\*\*\*** of 5'-ATGTGGAKAGTTGTA-3' (SEQ ID NO:3) where K is the IUPAC code for G or T(U).

The **\*\*\*ratio\*\*\*** cutoff in the previous examples was equal to 1.2. However, the **\*\*\*ratio\*\*\*** cutoff will generally need to be adjusted to produce optimal results for the specific chip design and wild-type target. Also, although the **\*\*\*ratio\*\*\*** cutoff used has been the same for each **\*\*\*ratio\*\*\*** **\*\*\*comparison\*\*\*** , the **\*\*\*ratio\*\*\*** cutoff may vary depending on whether the **\*\*\*ratio\*\*\*** **\*\*\*comparisons\*\*\*** involve the highest, second highest, third highest, etc. **\*\*\*intensity\*\*\*** base.

Fig. 9 illustrates the high level flow of the **\*\*\*intensity\*\*\*** **\*\*\*ratio\*\*\*** method. At step 302 the four base **\*\*\*intensities\*\*\*** are adjusted by subtracting the background or "blank" cell **\*\*\*intensity\*\*\*** from each base **\*\*\*intensity\*\*\*** . Preferably, if a base **\*\*\*intensity\*\*\*** is then less than or equal to zero, the base **\*\*\*intensity\*\*\*** is set equal to a small positive number to prevent division by zero or negative numbers in future calculations.

At step 304 the base **\*\*\*intensities\*\*\*** are sorted by **\*\*\*intensity\*\*\*** . Each base is then associated with a number from 1 to 4. The base with the highest **\*\*\*intensity\*\*\*** is 1, second highest 2, third highest 3, and fourth highest 4. Thus, the **\*\*\*intensity\*\*\*** of base 1  $\geq$  base 2  $\geq$  base 3  $\geq$  base 4.

At step 306 the highest **\*\*\*intensity\*\*\*** base (base 1) is checked to see if it has sufficient **\*\*\*intensity\*\*\*** to call the unknown base. The **\*\*\*intensity\*\*\*** is checked by determining if the **\*\*\*intensity\*\*\*** of base 1 is greater than a predetermined background difference cutoff. The background difference cutoff is a number that specifies the **\*\*\*intensity\*\*\*** a base **\*\*\*intensity\*\*\*** must be over the background **\*\*\*intensity\*\*\*** in order to correctly call the unknown base. Thus, the background adjusted base **\*\*\*intensity\*\*\*** must be greater than the background difference cutoff or the unknown is not callable.

If the **\*\*\*intensity\*\*\*** of base 1 is not greater than the background difference cutoff, the unknown base is assigned the code N (insufficient **\*\*\*intensity\*\*\*** ) as shown at step 308. Otherwise, the **\*\*\*ratio\*\*\*** of the **\*\*\*intensity\*\*\*** of base 1 to base 2 is calculated as shown at step 310.

At step 312 the **\*\*\*ratio\*\*\*** of **\*\*\*intensity\*\*\*** of bases 1:2 is compared to the **\*\*\*ratio\*\*\*** cutoff. If the **\*\*\*ratio\*\*\*** 1:2 is greater than the **\*\*\*ratio\*\*\*** cutoff, the unknown base is called as the complement of the highest **\*\*\*intensity\*\*\*** base (base 1) as shown at step 314. Otherwise, the **\*\*\*ratio\*\*\*** of the **\*\*\*intensity\*\*\*** of base 2 to base 3

is calculated as shown at step 316.

At step 318 the \*\*\*ratio\*\*\* of \*\*\*intensity\*\*\* of bases 2:3 is compared to the \*\*\*ratio\*\*\* cutoff. If the \*\*\*ratio\*\*\* 2:3 is greater than the \*\*\*ratio\*\*\* cutoff, the unknown base is called as being an ambiguity code specifying the complements of the highest or second highest \*\*\*intensity\*\*\* bases (base 1 or 2) as shown at step 320. Otherwise, the \*\*\*ratio\*\*\* of the \*\*\*intensity\*\*\* of base 3 to base 4 is calculated as shown at step 322.

At step 324 the \*\*\*ratio\*\*\* of \*\*\*intensity\*\*\* of bases 3:4 is compared to the \*\*\*ratio\*\*\* cutoff. If the \*\*\*ratio\*\*\* 3:4 is greater than the \*\*\*ratio\*\*\* cutoff, the unknown base is called as being an ambiguity code specifying the complements of the highest, second highest, or. . .

The advantage of the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method is that it is very accurate when there is good discrimination between the fluorescence \*\*\*intensities\*\*\* of hybrid matches and hybrid mismatches. However, if the base corresponding to a correct hybrid gives a lower \*\*\*intensity\*\*\* than a \*\*\*mismatch\*\*\* (e.g., as a result of cross-hybridization), incorrect identification of the base will result. For this reason, however, the method is useful for comparative assessment of hybridization quality and as an indicator of \*\*\*sequence\*\*\* -specific problem spots. For example, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method has been used to determine that ambiguities and miscalls tend to be very different from \*\*\*sequence\*\*\* to \*\*\*sequence\*\*\*, and reflect predominantly the composition and repetitiveness of the \*\*\*sequence\*\*\*. It has also been used to assess

improvements obtained by varying hybridization conditions, sample preparation, and post-hybridization treatments (e.g., RNase. . .

The reference method is a method of calling bases in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. The reference method depends very little on discrimination between the fluorescence \*\*\*intensities\*\*\* of hybrid matches and hybrid mismatches, and therefore is much less sensitive to cross-hybridization. The method compares the probe

\*\*\*intensities\*\*\* of a reference \*\*\*sequence\*\*\* to the probe \*\*\*intensities\*\*\* of a sample \*\*\*sequence\*\*\*. Any significant changes are flagged as possible mutations. There are two implementations of the reference method disclosed herein. For simplicity, the reference method will be described as being used to identify one unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. In practice, the method is used to identify many or all the bases in a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*.

The unknown base will be called by comparing the probe

\*\*\*intensities\*\*\* of a reference \*\*\*sequence\*\*\* to the probe



\*\*\*intensities\*\*\* of a sample \*\*\*sequence\*\*\*. Preferably, the probe \*\*\*intensities\*\*\* of the reference \*\*\*sequence\*\*\* and the sample \*\*\*sequence\*\*\* are from chips having the same chip wild-type. However, the reference \*\*\*sequence\*\*\* may or may not be exactly the same as the chip wild-type, as it may have mutations.

The bases at the same position in the reference and sample \*\*\*sequences\*\*\* will each be associated with up to four mutation probes and a "blank" cell. The unknown base in the sample \*\*\*sequence\*\*\* is called by comparing probe \*\*\*intensities\*\*\* of the sample \*\*\*sequence\*\*\* to probe \*\*\*intensities\*\*\* of the reference \*\*\*sequence\*\*\*. For example, suppose the chip wild-type contains the \*\*\*sequence\*\*\* 5'-AGACCTTGC-3' and it is suspected that the sample has a possible mutation at the underlined base position, which is the unknown base that will be called by the reference method. The "mutation" probes for the sample \*\*\*sequence\*\*\* may be as follows: 3'-GAAA, 3'-GCAA, 3'-GGAA, and 3'-GTAA, where 3'-GGAA is the wild-type probe. Suppose further that a reference \*\*\*sequence\*\*\*, which differs from the chip wild-type by one base mutation, has the \*\*\*sequence\*\*\* 5'-AGACATTGC-3' where the mutation base is underlined. The "mutation" probes for the reference \*\*\*sequence\*\*\* may be as follows: 3'-TGAAA, 3'-TGCAA, 3'-TGGAA, and 3'-TGTA, where 3'-TGTA is the reference wild-type probe since the reference \*\*\*sequence\*\*\* is known. Although generally the sample and reference \*\*\*sequences\*\*\* were tiled with the same chip wild-type, this is not required, and the tiling methods do not have to be. . . probe lengths in the example. Thus, the unknown base will be called by comparing the "mutation" probes of the sample \*\*\*sequence\*\*\* to the "mutation" probes of the reference \*\*\*sequence\*\*\*. As before, because each mutation probe is identifiable by the mutation base, the mutation probes' \*\*\*intensities\*\*\* will be referred to as the "base \*\*\*intensities\*\*\*" of their respective mutation bases.

As a simple example of one implementation of the reference method, suppose a \*\*\*gene\*\*\* of interest (target) has the \*\*\*sequence\*\*\* 5'-AAACTGAAAA-3' (SEQ ID NO:4). Suppose a reference \*\*\*sequence\*\*\* has the \*\*\*sequence\*\*\* 5'-AAACCGAAAA-3' (SEQ ID NO:5), which differs from the target \*\*\*sequence\*\*\* by the underlined base. The reference \*\*\*sequence\*\*\* is marked and exposed to probes on a chip with the target \*\*\*sequence\*\*\* being the chip wild-type. Suppose further that a sample \*\*\*sequence\*\*\* is suspected to have the same \*\*\*sequence\*\*\* as the target \*\*\*sequence\*\*\* except for a mutation at the underlined base position in 5'-AAACTGAAAA-3' (SEQ ID NO:4). The sample \*\*\*sequence\*\*\* is also marked and exposed to probes on a chip with the target

\*\*\*sequence\*\*\* being the chip wild-type. After hybridization and scanning, the following probe \*\*\*intensities\*\*\* (not actual data) were found for the respective complementary probes: <table> Although each fluorescence \*\*\*intensity\*\*\* is from a probe, the probes may be identified by their unique mutation base so the bases may be said to have the following \*\*\*intensities\*\*\* : <table> Thus, base A of the reference \*\*\*sequence\*\*\* will be described as having an \*\*\*intensity\*\*\* of 12, which corresponds to the \*\*\*intensity\*\*\* of the mutation probe with the mutation base A. The reference method will now be described as calling the unknown base in the sample \*\*\*sequence\*\*\* by using these \*\*\*intensities\*\*\* .

At step 402 the four base \*\*\*intensities\*\*\* of the reference and sample \*\*\*sequences\*\*\* are adjusted by subtracting the background or "blank" cell \*\*\*intensity\*\*\* from each base \*\*\*intensity\*\*\* . Each set of "mutation" probes has an associated "blank" cell. Suppose that the reference "blank" cell \*\*\*intensity\*\*\* is 1 and the sample "blank" cell \*\*\*intensity\*\*\* is 2. The base \*\*\*intensities\*\*\* are then background subtracted as follows: <table> Preferably, if a base \*\*\*intensity\*\*\* is then less than or equal to zero, the base \*\*\*intensity\*\*\* is set equal to a small positive number to prevent division by zero or negative numbers in future calculations.

For identification, the position of each base of interest in the reference and sample \*\*\*sequences\*\*\* is placed in column 1 of the analysis table. Also, since the reference \*\*\*sequence\*\*\* is a known \*\*\*sequence\*\*\* , the base at this position is known and is referred to as the reference wild-type. The reference wild-type is placed. . .

At step 404 the base \*\*\*intensity\*\*\* associated with the reference wild-type (column 2 of the analysis table) is checked to see if it has sufficient \*\*\*intensity\*\*\* to call the unknown base. In this example, the reference wild-type is C. However, the base \*\*\*intensity\*\*\* associated with the wild-type is the G base \*\*\*intensity\*\*\* , which is 79 in this example. This is because the base \*\*\*intensities\*\*\* actually represent the complementary "mutation" probes. The G base \*\*\*intensity\*\*\* is checked by determining if its \*\*\*intensity\*\*\* is greater than a predetermined background difference cutoff. The background difference cutoff is a number that specifies the \*\*\*intensity\*\*\* the base \*\*\*intensities\*\*\* must be above the background \*\*\*intensity\*\*\* in order to correctly call the unknown base. Thus, the base \*\*\*intensity\*\*\* associated with the reference wild-type must be greater than the background difference cutoff or the unknown base is not callable.. . .

If the background difference cutoff is 5, the base  
 \*\*\*intensity\*\*\* associated with the reference wild-type has  
 sufficient \*\*\*intensity\*\*\* ( $79 > 5$ ) so a P (pass) is placed in  
 column 3 of the analysis table as shown at step. . .  
 At step 408 the \*\*\*ratio\*\*\* of the base \*\*\*intensity\*\*\*  
 associated with the reference wild-type to each of the possible  
 bases are calculated. The \*\*\*ratio\*\*\* of the base  
 \*\*\*intensity\*\*\* associated with the reference wild-type to  
 itself will be 1 and the other \*\*\*ratios\*\*\* will usually be  
 greater than 1. The base \*\*\*intensity\*\*\* associated with the  
 reference wild-type is G so the following \*\*\*ratios\*\*\* are  
 calculated: <mathematical formula> <mathematical formula>  
 <mathematical formula> <mathematical formula> <mathematical  
 formula> These \*\*\*ratios\*\*\* are placed in columns 4 through 7  
 of the analysis table, respectively.  
 At step 410 the highest base \*\*\*intensity\*\*\* associated with  
 the sample \*\*\*sequence\*\*\* is checked to see if it has  
 sufficient \*\*\*intensity\*\*\* to call the unknown base. The  
 highest base \*\*\*intensity\*\*\* is checked by determining if the  
 \*\*\*intensity\*\*\* is greater than the background difference  
 cutoff. Thus, the highest base \*\*\*intensity\*\*\* must be greater  
 than the background difference cutoff or the unknown base is not  
 callable.  
 Again, if the background difference cutoff is 5, the highest base  
 \*\*\*intensity\*\*\*, which is G in this example, has sufficient  
 \*\*\*intensity\*\*\* ( $58 > 5$ ) so a P (pass) is placed in column 8 of  
 the analysis table as shown at step. . .  
 At step 414 the \*\*\*ratios\*\*\* of the highest base  
 \*\*\*intensity\*\*\* of the sample to each of the possible bases are  
 calculated. The \*\*\*ratio\*\*\* of the highest base  
 \*\*\*intensity\*\*\* to itself will be 1 and the other \*\*\*ratios\*\*\*  
 will usually be greater than 1. Thus, the highest base  
 \*\*\*intensity\*\*\* is G so the following \*\*\*ratios\*\*\* are  
 calculated: <mathematical formula> <mathematical formula>  
 <mathematical formula> <mathematical formula> <mathematical  
 formula> These \*\*\*ratios\*\*\* are placed in columns 9 through  
 12 of the analysis table, respectively.  
 At step 416 if both the reference and sample \*\*\*sequence\*\*\*  
 probes failed to have sufficient \*\*\*intensity\*\*\* to call the  
 unknown base, meaning there is an 'F' in columns 3 and 8 of the  
 analysis table, the unknown base is assigned the code N  
 (insufficient \*\*\*intensity\*\*\* ) as shown at step 418. An 'N' is  
 placed in column 17 of the analysis table. Additionally, a  
 confidence code. . .  
 At step 420 if only the reference \*\*\*sequence\*\*\* probes failed  
 to have sufficient \*\*\*intensity\*\*\* to call the unknown base,  
 meaning there is an 'F' in column 3 and a 'P' in column 8 of the

analysis table, the unknown base is assigned the code N (insufficient \*\*\*intensity\*\*\* ) as shown at step 422. An 'N' is placed in column 17 and a confidence code of 4 is placed. . . . At step 424 if only the sample \*\*\*sequence\*\*\* probes failed to have sufficient \*\*\*intensity\*\*\* to call the unknown base, meaning there is a 'P' in column 3 and a 'F' in column 8 of the analysis table, the unknown base is assigned the code N (insufficient \*\*\*intensity\*\*\* ) as shown at step 426. An 'N' is placed in column 17 and a confidence code of 2 is placed. . . . In this example, both the reference and sample \*\*\*sequence\*\*\* probes have sufficient \*\*\*intensity\*\*\* to call the unknown base. At step 428 the \*\*\*ratios\*\*\* of the reference \*\*\*ratios\*\*\* to the sample \*\*\*ratios\*\*\* for each base type are calculated. Thus, the \*\*\*ratio\*\*\* A:A (column 4 to column 9) is placed in column 13 of the analysis table. The \*\*\*ratio\*\*\* C:C (column 5 to column 10) is placed in column 14 of the analysis table. The \*\*\*ratio\*\*\* G:G (column 6 to column 11) is placed in column 15 of the analysis table. Lastly, the \*\*\*ratio\*\*\* T:T (column 7 to column 12) is placed in column 16 of the analysis table. These \*\*\*ratios\*\*\* are calculated as follows:

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

The unknown base is called by comparing these \*\*\*ratios\*\*\* of \*\*\*ratios\*\*\* to two predetermined values as follows.

At step 430 if all the \*\*\*ratios\*\*\* of \*\*\*ratios\*\*\* (columns 13 to 16 of the analysis table) are less than a predetermined lower \*\*\*ratio\*\*\* cutoff, the unknown base is assigned the code of the reference wild-type as shown at step 432. Thus, the code. . . .

At step 434 if all the \*\*\*ratios\*\*\* of \*\*\*ratios\*\*\* are less than a predetermined upper \*\*\*ratio\*\*\* cutoff, the unknown base is assigned an ambiguity code that indicates the unknown base may be any one of the bases that has a complementary \*\*\*ratio\*\*\* of \*\*\*ratios\*\*\* greater than the lower \*\*\*ratio\*\*\* cutoff and less than the upper \*\*\*ratio\*\*\* cutoff as shown at step 436. Thus, if the \*\*\*ratio\*\*\* of \*\*\*ratios\*\*\* for A:A, C:C and G:G are all greater than the lower \*\*\*ratio\*\*\* cutoff and less than the upper \*\*\*ratio\*\*\* cutoff, the unknown base would be assigned the code B (meaning "not A"). This is because the \*\*\*ratios\*\*\* of \*\*\*ratios\*\*\* are complementary to their respective base as follows:

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

$$\frac{\text{reference intensity}}{\text{sample intensity}}$$

so the unknown base. . . .

At step 438 at least one of the \*\*\*ratios\*\*\* of \*\*\*ratios\*\*\* is greater than the upper \*\*\*ratio\*\*\* cutoff and the unknown base is called as the base complementary to the highest \*\*\*ratio\*\*\* of \*\*\*ratios\*\*\*. The code for the base

complementary to the highest **\*\*\*ratio\*\*\*** of **\*\*\*ratios\*\*\*** would be placed in column 17 and a confidence code of 1 would be placed in column 18 of the. . .

Assume for the purposes of this example that the lower **\*\*\*ratio\*\*\*** cutoff is 1.5 and the upper **\*\*\*ratio\*\*\*** cutoff is 3. Again, the **\*\*\*ratios\*\*\*** of **\*\*\*ratios\*\*\*** are as follows:  $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  As all the **\*\*\*ratios\*\*\*** of **\*\*\*ratios\*\*\*** are not less than the upper **\*\*\*ratio\*\*\*** cutoff, the unknown base is called the base complementary to the highest **\*\*\*ratio\*\*\*** of **\*\*\*ratios\*\*\***. The highest **\*\*\*ratio\*\*\*** of **\*\*\*ratios\*\*\*** is C:C, which has a complementary base G. Thus, the unknown base is called G which is placed in column. . .

The example shows how the unknown base in the sample **\*\*\*nucleic\*\*\*** **\*\*\*acid\*\*\*** **\*\*\*sequence\*\*\*** was correctly called as base G. Although the complementary "mutation" probe associated with the base G (3'-GCCT) did not have the highest fluorescence **\*\*\*intensity\*\*\***, the unknown base was called as base G because the associated "mutation" probe had the highest **\*\*\*ratio\*\*\*** increase over the other "mutation" probes.

Fig. . . . level flow of another implementation of the reference method. As in the previous implementation, this implementation also compares the probe **\*\*\*intensities\*\*\*** of a reference **\*\*\*sequence\*\*\*** to the probe **\*\*\*intensities\*\*\*** of a sample **\*\*\*sequence\*\*\***. However, this implementation differs conceptually from the previous implementation in that neighboring probe **\*\*\*intensities\*\*\*** are also analyzed, resulting in more accurate base calling.

As a simple example of this implementation of the reference method, suppose a reference **\*\*\*sequence\*\*\*** has a **\*\*\*sequence\*\*\*** of 5'-AAACCAATCCACATCA-3' (SEQ ID NO:6) and a sample **\*\*\*sequence\*\*\*** has a **\*\*\*sequence\*\*\*** of 5'-AAACCCAGTCCACATCA-3' (SEQ ID NO:7), where the mutant base is underlined. Thus, there is a mutation of A to G. Suppose further that the reference and sample **\*\*\*sequences\*\*\*** are tiled on chips with the reference **\*\*\*sequence\*\*\*** being the chip wild-type. This implementation of the reference method will be described as identifying this mutation base.

For . . . aid the reader in understanding the method. The mutant base position is at position 241 in the reference and sample **\*\*\*sequences\*\*\***, which is shown in bold in the data table.

At step 502 the base **\*\*\*intensities\*\*\*** of the reference and sample **\*\*\*sequences\*\*\*** are adjusted by subtracting the background or "blank" cell **\*\*\*intensity\*\*\*** from each base **\*\*\*intensity\*\*\***. Preferably, if a base **\*\*\*intensity\*\*\*** is

then less than or equal to zero, the base `***intensity***` is set equal to a small positive number to prevent division by zero or negative numbers. In the data table, data 502A is the background subtracted base `***intensities***` for the reference `***sequence***` and data 502B is the background subtracted base `***intensities***` for the sample `***sequence***` (also called the "mutant" `***sequence***` in the data table).

At step 504 the base `***intensity***` associated with the reference wild-type is checked to see if it has sufficient `***intensity***` to call the unknown base. In this example, the reference wild-type is base A at position 241. The base `***intensity***` associated with the reference wild-type is identified by a lower case "a" in the left hand column. Thus, the base `***intensities***` in the data table are not identified by their complements and the reference wild-type at the mutation position has an `***intensity***` of 385. The reference wild-type `***intensity***` of 385 is checked by determining if its `***intensity***` is greater than a predetermined background difference cutoff. The background difference cutoff is a number that specifies the `***intensity***` the base `***intensities***` must be over the background `***intensity***` in order to correctly call the unknown base. Thus, the base `***intensity***` associated with the reference wild-type must be greater than the background difference cutoff or the unknown base is not callable.. . .

If the base `***intensity***` associated with the reference wild-type is not greater than the background difference cutoff, the wild-type `***sequence***` would fail to have sufficient `***intensity***` as shown at step 506. Otherwise, at step 508 the wild-type `***sequence***` would pass by having sufficient `***intensity***`.

At step 510 calculations are performed on the background subtracted base `***intensities***` of the reference `***sequence***` in order to "normalize" the `***intensities***`. Each position in the reference `***sequence***` has four background subtracted base `***intensities***` associated with it. The `***ratio***` of the `***intensity***` of each base to the sum of the `***intensities***` of the possible bases (all four) is calculated, resulting in four `***ratios***`, one for each base as shown in the data table. Thus, the following `***ratios***` would be calculated at each position in the reference `***sequence***`: 
$$\frac{\text{base intensity}}{\text{base intensity} + \text{base intensity} + \text{base intensity} + \text{base intensity}}$$
 At position 241, A `***ratio***` would be the wild-type `***ratio***`. These `***ratios***` are generally calculated in order to "normalize" the `***intensity***` data as the photon counts may vary widely

from experiment to experiment. Thus, the \*\*\*ratios\*\*\* provide a way of reconciling the \*\*\*intensity\*\*\* variations across experiments. Preferably, if the photon counts do not vary widely from experiment to experiment, the probe \*\*\*intensities\*\*\* do not need to be "normalized."

At step 512 the highest base \*\*\*intensity\*\*\* associated with the sample \*\*\*sequence\*\*\* is checked to see if it has sufficient \*\*\*intensity\*\*\* to call the unknown base. The \*\*\*intensity\*\*\* is checked by determining if the highest \*\*\*intensity\*\*\* sample base is greater than the background difference cutoff. If the \*\*\*intensity\*\*\* is not greater than the background difference cutoff, the sample \*\*\*sequence\*\*\* fails to have sufficient \*\*\*intensity\*\*\* as shown at step 514. Otherwise, at step 516 the sample \*\*\*sequence\*\*\* passes by having sufficient \*\*\*intensity\*\*\*.

At step 518 calculations are performed on the background subtracted base \*\*\*intensities\*\*\* of the sample \*\*\*sequence\*\*\* in order to "normalize" the \*\*\*intensities\*\*\*. Each position in the sample \*\*\*sequence\*\*\* has four background subtracted base \*\*\*intensities\*\*\* associated with it. The \*\*\*ratios\*\*\* of the \*\*\*intensity\*\*\* of each base to the sum of the \*\*\*intensities\*\*\* of the possible bases (all four) are calculated, resulting in four \*\*\*ratios\*\*\*, one for each base as shown in the data table.

At step 520 if either the reference or sample \*\*\*sequences\*\*\* failed to have sufficient \*\*\*intensity\*\*\*, the unknown base is assigned the code N (insufficient \*\*\*intensity\*\*\* ) as shown at step 522.

At step 524 the normalized base \*\*\*intensities\*\*\* of the reference \*\*\*sequence\*\*\* are subtracted from the normalized base \*\*\*intensities\*\*\* of the sample \*\*\*sequence\*\*\*. Thus, at each position the following calculations are performed:

$$\frac{\text{sample base intensity} - \text{reference base intensity}}{\text{reference base intensity}}$$
  
where the reference and sample \*\*\*ratios\*\*\* are calculated at steps 510 and 518, respectively. The base differences resulting from these calculations are shown in the data.

At . . . a base difference lower than a lower difference cutoff. For example, Fig. 11C shows a graph the normalized sample base \*\*\*intensities\*\*\* minus the normalized reference base \*\*\*intensities\*\*\*. Suppose that the upper difference cutoff is 0.15 and the lower difference cutoff is -0.15 as shown by the horizontal.

At step 530 the \*\*\*ratio\*\*\* of the highest background subtracted base \*\*\*intensity\*\*\* in the sample to the background subtracted reference wild-type base \*\*\*intensity\*\*\* is calculated. For example, at the mutation position 241 in the

data table, the highest background subtracted base  
\*\*\*intensity\*\*\* in the sample is 571 (base G). The background  
subtracted reference wild-type base \*\*\*intensity\*\*\* is 385  
(base A). The \*\*\*ratio\*\*\* of 571:385 is calculated and results  
in 1.48 as shown in the data table.

At step 532 these \*\*\*ratios\*\*\* are compared to a \*\*\*ratio\*\*\*  
at a neighboring position. The \*\*\*ratio\*\*\* for the n.supth.  
position is subtracted from the \*\*\*ratio\*\*\* for the r.supth.  
position, where  $r = n + 1$ . For example, at the mutation position  
241 in the data table, the \*\*\*ratio\*\*\* at position 242 (which  
equals 1.02) is subtracted from the \*\*\*ratio\*\*\* at position  
241 (which equals 1.48). It has been found that a mutant can be  
confidently detected by analyzing the difference of these  
neighboring \*\*\*ratios\*\*\*.

Fig. . . . 0.28, which is greater than the upper difference  
cutoff of 0.15. Therefore, the base at position 241 in the sample  
\*\*\*sequence\*\*\* is called a base G, which is a mutation from the  
reference \*\*\*sequence\*\*\* (A to G).

This second implementation of the reference method is preferable  
in some instances as it takes into account probe  
\*\*\*intensities\*\*\* of neighboring probes. Thus, the first  
implementation may not have detected the A to G mutation in this  
example.

The . . . is that the correct base can be called even in the  
presence of significant levels of cross-hybridization, as long as  
\*\*\*ratios\*\*\* of \*\*\*intensities\*\*\* are fairly consistent from  
experiment to experiment. In practice, the number of miscalls and  
ambiguities is significantly reduced, while the . . .

The statistical method is a method of calling bases in a sample  
\*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. The statistical  
method utilizes the statistical variation across experiments to  
call the bases. Therefore, the statistical method is preferable  
when . . . data from multiple experiments is available and the  
data is fairly consistent across the experiments. The method  
compares the probe \*\*\*intensities\*\*\* of a sample  
\*\*\*sequence\*\*\* to statistics of probe \*\*\*intensities\*\*\* of a  
reference \*\*\*sequence\*\*\* in multiple experiments.

For simplicity, the statistical method will be described as being  
used to identify one unknown base in a sample \*\*\*nucleic\*\*\*  
\*\*\*acid\*\*\* \*\*\*sequence\*\*\*. In practice, the method is used  
to identify many or all the bases in a \*\*\*nucleic\*\*\*  
\*\*\*acid\*\*\* \*\*\*sequence\*\*\*.

The unknown base will be called by comparing the probe  
\*\*\*intensities\*\*\* of a sample \*\*\*sequence\*\*\* to statistics  
on probe \*\*\*intensities\*\*\* of a reference \*\*\*sequence\*\*\*  
in multiple experiments. Generally, the probe \*\*\*intensities\*\*\*  
of the sample \*\*\*sequence\*\*\* and the reference



\*\*\*sequence\*\*\* experiments are from chips having the same chip wild-type. However, the reference \*\*\*sequence\*\*\* may or may not be equal to the chip wild-type, as it may have mutations. A base at the same position in the reference and sample \*\*\*sequences\*\*\* will be associated with up to four mutation probes and a "blank" cell. As before, because each mutation probe is identifiable by the mutation base, the mutation probes' \*\*\*intensities\*\*\* will be referred to as the "base \*\*\*intensities\*\*\*" of their respective mutation bases. As a simple example of the statistical method, suppose a \*\*\*gene\*\*\* of interest (target) has the \*\*\*sequence\*\*\* 5'-AAAACCTGAAAA-3' (SEQ ID NO:4). Suppose a reference \*\*\*sequence\*\*\* has the \*\*\*sequence\*\*\* 5'-AAAACCGAAAA-3' (SEQ ID NO:5), which differs from the target \*\*\*sequence\*\*\* by the underlined base. Suppose further that a sample \*\*\*sequence\*\*\* is suspected to have the same \*\*\*sequence\*\*\* as the target \*\*\*sequence\*\*\* except for a T base mutation at the underlined base position in 5'-AAAACCTGAAAA-3' (SEQ ID NO:4). Suppose that in multiple experiments the reference \*\*\*sequence\*\*\* is marked and exposed to probes on a chip. Suppose further the sample \*\*\*sequence\*\*\* is also marked and exposed to probes on a chip. The following are complementary "mutation" probes that could be used for a reference experiment and the sample \*\*\*sequence\*\*\* :

Reference ***sequence***	Sample ***sequence***
5'-AAAACCTGAAAA-3'	5'-AAAACCTGAAAA-3'

The "mutation" probes shown for the reference \*\*\*sequence\*\*\* may be from only one experiment, the other experiments may have different "mutation" probes, chip wild-types, tiling methods, and the like. Although each fluorescence \*\*\*intensity\*\*\* is from a probe, since the probes may be identified by their unique mutation bases, the probe \*\*\*intensities\*\*\* may be identified by their respective bases as follows:

Mutation Base	Reference ***intensity***	Sample ***intensity***
A	...	...
C	...	...
G	...	...
T	...	...

Thus, base A of the reference \*\*\*sequence\*\*\* will be described as having an \*\*\*intensity\*\*\* which corresponds to the \*\*\*intensity\*\*\* of the mutation probe with the mutation base A. The statistical method will now be described as calling the unknown base in the sample \*\*\*sequence\*\*\* by using this example.

Fig. 12 illustrates the high level flow of the statistical method. At step 602 the four base \*\*\*intensities\*\*\* associated with the sample \*\*\*sequence\*\*\* and each of the multiple reference experiments are adjusted by subtracting the background or "blank" cell \*\*\*intensity\*\*\* from each base \*\*\*intensity\*\*\*. Preferably, if a base \*\*\*intensity\*\*\* is then less than or equal to zero, the base \*\*\*intensity\*\*\* is set equal to a small positive number to prevent division by zero or negative numbers.

At step 604 the \*\*\*intensities\*\*\* of the reference wild-type bases in the multiple experiments are checked to see if they all

have sufficient **\*\*\*intensity\*\*\*** to call the unknown base. The **\*\*\*intensities\*\*\*** are checked by determining if the **\*\*\*intensity\*\*\*** of the reference wild-type base of an experiment is greater than a predetermined background difference cutoff. The wild-type probe shown earlier for the reference **\*\*\*sequence\*\*\*** is 3'-TGGC, and thus the G base **\*\*\*intensity\*\*\*** is the wild-type base **\*\*\*intensity\*\*\***. These steps are analogous to steps in the other two methods described herein.

If the **\*\*\*intensity\*\*\*** of any one of the reference wild-type bases is not greater than the background difference cutoff, the wild-type experiments fail to have sufficient **\*\*\*intensity\*\*\*** as shown at step 606. Otherwise, at step 608 the wild-type experiments pass by having sufficient **\*\*\*intensity\*\*\***.

At step 610 calculations are performed on the background subtracted base **\*\*\*intensities\*\*\*** of each of the reference experiments in order to "normalize" the **\*\*\*intensities\*\*\***. Each reference experiment has four background subtracted base **\*\*\*intensities\*\*\*** associated with it: one wild-type and three for the other possible bases. In this example, the G base **\*\*\*intensity\*\*\*** is the wild-type, the A, C, and T base **\*\*\*intensities\*\*\*** being the "other" **\*\*\*intensities\*\*\***. The **\*\*\*ratios\*\*\*** of the **\*\*\*intensity\*\*\*** of each base to the sum of the **\*\*\*intensities\*\*\*** of the possible bases (all four) are calculated, giving one wild-type **\*\*\*ratio\*\*\*** and three "other" **\*\*\*ratios\*\*\***. Thus, the following **\*\*\*ratios\*\*\*** would be calculated:  $\frac{G}{G+A+C+T}$   $\frac{A}{G+A+C+T}$   $\frac{C}{G+A+C+T}$   $\frac{T}{G+A+C+T}$  where G **\*\*\*ratio\*\*\*** is the wild-type **\*\*\*ratio\*\*\*** and A, C, and T **\*\*\*ratios\*\*\*** are the "other" **\*\*\*ratios\*\*\***. These four **\*\*\*ratios\*\*\*** are calculated for each reference experiment. Thus if the number of reference experiments is n, there would be 4n **\*\*\*ratios\*\*\*** calculated. These **\*\*\*ratios\*\*\*** are generally calculated in order to "normalize" the **\*\*\*intensity\*\*\*** data, as the photon counts may vary widely from experiment to experiment. However, if the probe **\*\*\*intensities\*\*\*** do not vary widely from experiment to experiment, the probe **\*\*\*intensities\*\*\*** do not need to be "normalized."

At step 612 statistics are prepared for the **\*\*\*ratios\*\*\*** calculated for each of the reference experiments. As stated before, each reference experiment will be associated with one wild-type **\*\*\*ratio\*\*\*** and three "other" **\*\*\*ratios\*\*\***. The mean and standard deviation are calculated for all the wild-type **\*\*\*ratios\*\*\***. The mean and standard deviation are also calculated for each of the other **\*\*\*ratios\*\*\***, resulting in three other means and standard deviations for each of the bases that is not the wild-type base. Therefore, . . . calculated:

$\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  where the mean and standard deviation of the G \*\*\*ratios\*\*\* are also known as the wild-type mean and the wild-type standard deviation, respectively. The mean and standard deviation of the. . . .  
 At step 614 the highest base \*\*\*intensity\*\*\* associated with the sample \*\*\*sequence\*\*\* is checked to see if it has sufficient \*\*\*intensity\*\*\* to call the unknown base. The \*\*\*intensity\*\*\* is checked by determining if the highest \*\*\*intensity\*\*\* unknown base is greater than the background difference cutoff. If the \*\*\*intensity\*\*\* is not greater than the background difference cutoff, the sample \*\*\*sequence\*\*\* fails to have sufficient \*\*\*intensity\*\*\* as shown at step 616. Otherwise, at step 618 the sample \*\*\*sequence\*\*\* passes by having sufficient \*\*\*intensity\*\*\*.  
 At step 620 calculations are performed on the four background subtracted \*\*\*intensities\*\*\* of the sample \*\*\*sequence\*\*\*. The \*\*\*ratios\*\*\* of the background subtracted \*\*\*intensity\*\*\* of each base to the sum of the background subtracted \*\*\*intensities\*\*\* of the possible bases (all four) are calculated, giving four \*\*\*ratios\*\*\*, one for each base. For consistency, the \*\*\*ratio\*\*\* associated with the reference wild-type base is called the wild-type \*\*\*ratio\*\*\*, with there being three "other" \*\*\*ratios\*\*\*. Thus, the following \*\*\*ratios\*\*\* are calculated:  $\langle \text{mathematical formula} \rangle$   
 $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   
 $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  where \*\*\*ratio\*\*\* G is the wild-type \*\*\*ratio\*\*\* and \*\*\*ratios\*\*\* A, C, and T are the "other" \*\*\*ratios\*\*\*.

Suppose the background subtracted \*\*\*intensities\*\*\* associated with the sample are as follows:

A -> 310  
 C -> 50  
 G -> 26  
 T -> 100

Then, the corresponding \*\*\*ratios\*\*\* would be as follows:  
 $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   
 $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  At step 622 if either the reference experiments or the sample \*\*\*sequence\*\*\* failed to have sufficient \*\*\*intensity\*\*\*, the unknown base is assigned the code N (insufficient \*\*\*intensity\*\*\* ) as shown at step 624.  
 At step 626 the wild-type and "other" \*\*\*ratios\*\*\* associated with the sample \*\*\*sequence\*\*\* are compared to statistical expressions. The statistical expressions include four predetermined standard deviation cutoffs, one associated with each base. Thus, . . . standard deviation cutoffs are set as follows:

$\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  The wild-type base **\*\*\*ratio\*\*\*** associated with the sample is compared to a corresponding statistical expression:  $\langle \text{mathematical formula} \rangle$  where the WT base std. dev. cutoff is the standard deviation cutoff for the wild-type base. As the wild-type base is G, the above **\*\*\*comparison\*\*\*** solves to the following:  $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$  which is not a true expression (0.05 is not greater. .

Each of the "other" **\*\*\*ratios\*\*\*** associated with the sample is compared to a corresponding statistical expression:  $\langle \text{mathematical formula} \rangle$  where the Other base std. dev. cutoff is the standard deviation cutoff for the particular "other" base. Thus, the above **\*\*\*comparison\*\*\*** solves to the following three expressions:  $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$   $\langle \text{mathematical formula} \rangle$ . . .

At step 628 if only the wild-type **\*\*\*ratio\*\*\*** of the sample **\*\*\*sequence\*\*\*** was greater than the statistical expression, the unknown base is assigned the code of the reference wild-type base as shown. . .

At step 632 if one or more of the "other" **\*\*\*ratios\*\*\*** of the sample **\*\*\*sequence\*\*\*** were greater than their respective statistical expressions, the unknown base is assigned an ambiguity code that indicates the unknown base may be any one of the complements of these bases, including the reference wild-type. In this example, the "other" **\*\*\*ratios\*\*\*** for A, C, and T were all greater than their corresponding statistical expression. Thus, the unknown base would be called. . .

If none of the **\*\*\*ratios\*\*\*** are greater than their respective statistical expressions, the unknown base is assigned the code X (insufficient discrimination) as shown at. . .

The . . . to call the unknown base. The statistical method has also been used to implement confidence estimates and calling of mixed **\*\*\*sequences\*\*\***.

The present invention provides pooling processing which is a method of processing reference and sample **\*\*\*nucleic\*\*\*** **\*\*\*acid\*\*\*** **\*\*\*sequences\*\*\*** together to reduce variations across individual experiments. In the representative embodiment discussed herein, the reference and sample **\*\*\*nucleic\*\*\*** **\*\*\*acid\*\*\*** **\*\*\*sequences\*\*\*** are labeled with different fluorescent markers emitting light at different wavelengths. However, the **\*\*\*nucleic\*\*\*** **\*\*\*acids\*\*\*** may be labeled with other types of markers including distinguishable radioactive markers.

After the reference and sample **\*\*\*nucleic\*\*\*** **\*\*\*acid\*\*\***

\*\*\*sequences\*\*\* are labeled with different color fluorescent markers, the labeled reference and sample \*\*\*nucleic\*\*\*  
 \*\*\*acid\*\*\* \*\*\*sequences\*\*\* are then combined and processed together. An apparatus for detecting targets labeled with different markers is provided in U.S. Application. . . .  
 Fig. 13 illustrates the pooling processing of a reference and sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. At step 702 a reference \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* is marked with a fluorescent dye, such as fluorescein. At step 704 a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* is marked with a dye that, upon excitation, emits light of a different wavelength than that of the fluorescent dye of the reference \*\*\*sequence\*\*\*. For example, the sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* may be marked with rhodamine. Alternatively, the sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* may be marked by attaching biotin to the sample \*\*\*sequence\*\*\* which will subsequently bind to streptavidin labeled with phycoerythrin. Of course, either \*\*\*sequence\*\*\* may be marked with these or other dyes or other kinds of markers (e.g., radioactive) as long as the other \*\*\*sequence\*\*\* is marked with a marker that is distinguishable. At step 706 the labeled reference \*\*\*sequence\*\*\* and the labeled sample \*\*\*sequence\*\*\* are combined. After this step, processing continues in the same manner as for only one labeled \*\*\*sequence\*\*\*. At step 708 the \*\*\*sequences\*\*\* are fragmented. The fragmented \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequences\*\*\* are then hybridized on a chip containing probes as shown at step 710.  
 At step 712 a scanner generates image files that indicate the locations where the labeled \*\*\*nucleic\*\*\* \*\*\*acids\*\*\* bound to the chip. There is typically some overlap between the two \*\*\*signals\*\*\*. This is corrected for prior to further analysis, i.e., after correction, the data files correspond to "reference" and "sample." In. . . .  
 The scanner creates an image file for the data associated with each fluorescent marker, indicating the locations where the correspondingly labeled \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* bound to the chip. Based upon an analysis of the fluorescence \*\*\*intensities\*\*\* and locations, it becomes possible to extract information such as the monomer \*\*\*sequence\*\*\* of \*\*\*DNA\*\*\* or RNA.  
 Pooling . . . is common. Although pooling processing has been described as being used to improve the combined processing of reference and sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequences\*\*\*, the process may also be used for two reference \*\*\*sequences\*\*\*, two sample \*\*\*sequences\*\*\*, or multiple \*\*\*sequences\*\*\* by utilizing multiple distinguishable markers.

Pooling processing may also be utilized with methods of the present invention of identifying mutations in a sample

\*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      \*\*\*sequence\*\*\* . These methods are highly accurate in identifying single mutations, locating multiple mutations and removing false positives for mutations, where a. . . base calling methods. These methods may be advantageously combined with the base calling methods described herein to efficiently and accurately      \*\*\*sequence\*\*\* a sample  
\*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      \*\*\*sequence\*\*\* .

As discussed earlier in reference to Fig. 8, the fluorescent  
\*\*\*intensities\*\*\* of cells near an interrogation position having a mutation are relatively dark which creates "dark regions" around the mutation. These lower fluorescent      \*\*\*intensities\*\*\* result because the cells at interrogation positions near a mutation do not contain probes that are perfectly complementary to the sample  
\*\*\*sequence\*\*\* . Thus, the hybridization of these probes with the sample      \*\*\*sequence\*\*\* is lower. The characteristics of these "dark regions" may be utilized to identify mutations and false positives.

For example, a sample      \*\*\*sequence\*\*\* and a reference  
\*\*\*sequence\*\*\* were labeled with different fluorescent markers, in this case fluorescein and biotin/phycoerythrin. The sample and reference      \*\*\*sequences\*\*\* are known and the sample  
\*\*\*sequence\*\*\* is identical to the reference      \*\*\*sequence\*\*\* except for mutations at certain known positions. The sample and reference      \*\*\*sequences\*\*\* were then processing together using the pooling processing described above and the      \*\*\*sequences\*\*\* were hybridized to a chip including wild-type probes that are perfectly complementary to the reference      \*\*\*sequence\*\*\* . The chip included 20-mer probes with the interrogation position of each probe being at the 12.supth. base position in the. . .  
Fig. 14A shows a graph of the scaled fluorescent  
\*\*\*intensities\*\*\* (photon counts) of the wild-type probes hybridizing with the sample and reference      \*\*\*sequences\*\*\* . Along the bottom of the graph are numbers which represent wild-type cell positions on the chip. The photon counts of. . .  
As shown in Fig. 14A, the scaled photon counts for the wild-type probes hybridizing with the sample and reference      \*\*\*sequences\*\*\* are almost the same except for two "bubbles." A bubble 730 has a top curve defined by the photon counts of the wild-type probes that hybridized with the reference      \*\*\*sequence\*\*\* and a bottom curve defined by the photon counts of the wild-type probes that hybridized with the sample      \*\*\*sequence\*\*\* . Following bubble 730, there is a section 732 where the photon counts for the wild-type probes hybridizing with the sample and reference  
\*\*\*sequences\*\*\* are almost the same. After section 732 is another bubble 734 which again has a top curve defined by the

hybridization of the reference \*\*\*sequence\*\*\* and the bottom curve defined by the hybridization of the sample \*\*\*sequence\*\*\*. Another partial bubble is shown to the right of bubble 734. Each . . . a dark region surrounding a single mutation. Because the wild-type probes at and surrounding a mutant position in the sample \*\*\*sequence\*\*\* contain a \*\*\*single\*\*\* \*\*\*base\*\*\* \*\*\*mismatch\*\*\* with the sample \*\*\*sequence\*\*\*, the hybridization is relatively lower which results in lower photon counts. Much information about the sample \*\*\*sequence\*\*\* may be acquired by a detailed analysis of these bubble regions. The . . . is believed to be approximately equal to the probe length because each of the probes in this region have a \*\*\*single\*\*\* \*\*\*base\*\*\* \*\*\*mismatch\*\*\* with the sample \*\*\*sequence\*\*\*.

If . . . example, assume that at wild-type cell number 45 in Fig. 14A, the hybridization of the wild-type probe with the sample \*\*\*sequence\*\*\* was very low (e.g., around 1000 photon counts). A base calling algorithm that calls the bases according to the \*\*\*intensities\*\*\* among the cells at that position may indicate that there is a mutation at this position. However, the low photon. . .

Additionally, . . . eliminated because they are incompatible with the bubble size (which indicates single mutation, for example). Also, by identifying clearly a " \*\*\*mismatch\*\*\* zone," we can now apply algorithms that factor in the effect of a \*\*\*mismatch\*\*\* or multiple mismatches.

Additionally, the shape of the bubble may indicate what mutation has occurred. Fig. 14B shows a hypothetical graph of the fluorescent \*\*\*intensities\*\*\* vs. cell locations for wild-type probes hybridizing with two sample \*\*\*sequences\*\*\* and one reference \*\*\*sequence\*\*\*. A C-A \*\*\*mismatch\*\*\* will be more destabilizing to probe hybridization than a U-G \*\*\*mismatch\*\*\*. As shown, the more destabilizing C-A \*\*\*mismatch\*\*\* results in a larger volume bubble. The shape of the bubble may be utilized to identify the particular mutation by.

Fig. 14C shows a graph of the fluorescent \*\*\*intensities\*\*\* (photon counts) of the wild-type probes hybridizing with the sample and reference \*\*\*sequences\*\*\*. A single bubble 750 is flanked on either side by regions 752 and 754 which do not contain a mutation.. . .

Fig. . . . the present invention that uses the hybridization data from more than one base position to identify mutations in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\*. After probe \*\*\*intensities\*\*\* from the hybridization of wild-type probes with a sample and reference \*\*\*sequence\*\*\* are

measured, the system identifies a bubble region at step 780.

Bubble regions are identified as regions where the hybridization of the wild-type probes to the sample and reference

\*\*\*sequence\*\*\* differ significantly. Additionally, the reference \*\*\*sequence\*\*\* should hybridize more strongly with the wild-type probes since the wild-type probes will be perfectly complementary to the reference \*\*\*sequence\*\*\*.

At . . . if they are approximately equal. If the bubble width is approximately equal to the probe length, the bubble represents a \*\*\*single\*\*\* \*\*\*base\*\*\* mutation at step 788. Again, the determination of how close the bubble width should be to the probe length may. . .

If . . . their positions by analyzing the pattern of the bubble. The base calling method with the present invention may be the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method, reference method, statistical method, or any other method.

Although in a preferred embodiment, this method of identifying mutations in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*

\*\*\*sequence\*\*\* is utilized in conjunction with pooling processing in order to reduce variations, the method may be utilized without pooling processing.. . .

The present invention provides a method of comparative analysis and visualization of multiple experiments. The method allows the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\*, reference, and statistical methods to be run on multiple datafiles simultaneously. This permits different experimental conditions, sample preparations, and analysis parameters to be compared in terms of their effects on \*\*\*sequence\*\*\* calling. The method also provides verification and editing functions, which are essential to reading \*\*\*sequences\*\*\*, as well as navigation and analysis tools.

Fig. . . . ID NO:8 and SEQ ID NO:9). The windows shown are from an appropriately programmed Sun Workstation. However, the comparative analysis \*\*\*software\*\*\* may also be implemented on or ported to a personal \*\*\*computer\*\*\*, including IBM PCs and compatibles, or other workstation environments. A window 802 is shown having pull down menus for the. . .

The main section of the window is divided into a reference \*\*\*sequence\*\*\* area 814 and a sample \*\*\*sequence\*\*\* area 816. The reference \*\*\*sequence\*\*\* area is where known \*\*\*sequences\*\*\* are displayed and is divided into a reference name subarea 818 and reference base subarea 820. The reference name subarea is shown with the filenames that contain the reference \*\*\*sequences\*\*\*. The chip wild-type is identified by the filename with the extension ".wt#" where the # indicates a unit on the chip. The reference base subarea contains the bases of the reference \*\*\*sequences\*\*\*. A capital C 822 is displayed to the right of the reference \*\*\*sequence\*\*\* that is the chip



wild-type for the current analysis. Although the chip wild-type  
\*\*\*sequence\*\*\* has associated fluorescence \*\*\*intensities\*\*\*  
, the other reference \*\*\*sequences\*\*\* shown below the chip  
wild-type may be known \*\*\*sequences\*\*\* that have not been  
tiled on the chip. These may or may not have associated  
fluorescence \*\*\*intensities\*\*\*. The reference  
\*\*\*sequences\*\*\* other than the chip wild-type are used for  
\*\*\*sequence\*\*\* \*\*\*comparisons\*\*\* and may be in the form of  
simple ASCII text files.

Sample \*\*\*sequence\*\*\* area 816 is where sample or unknown  
experimental \*\*\*sequences\*\*\* are displayed for  
\*\*\*comparison\*\*\* with the reference \*\*\*sequences\*\*\*. The  
sample \*\*\*sequence\*\*\* area is divided into a sample name  
subarea 824 and sample base subarea 826. The sample name subarea  
is shown with filenames that contain the sample \*\*\*sequences\*\*\*  
. The filename extensions indicate the method used to call the  
sample \*\*\*sequence\*\*\* where ".cq#" denotes the  
\*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method, ".rq#" denotes the  
reference method, and ".sq#" denotes the statistical method (#  
indicates the unit on the chip). The sample base subarea contains  
the bases of the sample \*\*\*sequences\*\*\*. The bases of the  
sample \*\*\*sequences\*\*\* are identified by the codes previously  
set forth which, for the most part, conform to the IUPAC standard.  
Window . . . and the pathname of the file containing the base  
is displayed in the message panel. The base's position in the  
\*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* is also  
displayed in the message panel.

In pull down menu File 804, the user is able to load files of  
experimental \*\*\*sequences\*\*\* that have been tiled and scanned  
on a chip. There is a chip wild-type associated with each  
experimental \*\*\*sequence\*\*\*. The chip wild-type associated  
with the first experimental \*\*\*sequence\*\*\* loaded is read and  
shown as the chip wild-type in reference \*\*\*sequence\*\*\* area  
814. The user is also able to load files of known \*\*\*nucleic\*\*\*  
\*\*\*acid\*\*\* \*\*\*sequences\*\*\* as reference \*\*\*sequences\*\*\*  
for \*\*\*comparison\*\*\* purposes. As before, these known  
reference \*\*\*sequences\*\*\* may or may not have associated probe  
\*\*\*intensity\*\*\* data. Additionally, in this menu the user is  
able to save \*\*\*sequences\*\*\* that are selected on the screen  
into a project file that can be loaded in at a later time. The  
project file also contains any linkage of the \*\*\*sequences\*\*\*,  
where \*\*\*sequences\*\*\* are linked for \*\*\*comparison\*\*\*  
purposes. \*\*\*Sequences\*\*\* to be saved, both reference and  
sample, are chosen by selecting the \*\*\*sequence\*\*\* filename  
with an input device in the reference or sample name subareas.  
In pull down menu Edit 806, the user is able to link together  
\*\*\*sequences\*\*\* in the reference and sample \*\*\*sequence\*\*\*

areas. After the user has selected one reference and one or more sample **\*\*\*sequences\*\*\***, the sample **\*\*\*sequences\*\*\*** can be linked to the reference **\*\*\*sequence\*\*\*** by selecting an entry in the pull down menu. Once the **\*\*\*sequences\*\*\*** are linked, a link number 830 is displayed next to each of **\*\*\*sequences\*\*\*** of related interest. Each group of linked **\*\*\*sequences\*\*\*** is associated with a unique link number, so the user can easily identify which **\*\*\*sequences\*\*\*** are linked together. Linking **\*\*\*sequences\*\*\*** permits the user to more easily compare the linked **\*\*\*sequences\*\*\***. The user is also able to remove and display links from this menu.

In pull down menu View 808, the user is able to display **\*\*\*intensity\*\*\*** graphs for selected bases. Once a base is selected in the reference or sample base subareas, the user may request an **\*\*\*intensity\*\*\*** graph showing the hybridized probe **\*\*\*intensities\*\*\*** of the selected base and a delineated neighborhood of bases near the selected base. **\*\*\*Intensity\*\*\*** graphs may be displayed for one or multiple selected bases. The user is also able to prepare comment files and. . .

Fig. 17 illustrates an **\*\*\*intensity\*\*\*** graph window for a selected base at position 120 (SEQ ID NO:30 and SEQ ID NO:31). The filename containing the **\*\*\*sequence\*\*\*** data is displayed at 904. The graph shows the **\*\*\*intensities\*\*\*** for each of the hybridized probes associated with a base. Each grouping of four vertical bars on the graph, which are labeled as "a", "c", "g", and "t" on line 906, shows the background subtracted **\*\*\*intensities\*\*\*** of probes having the indicated substitution base. In one embodiment, the called bases are shown in red. The wild-type base. . . called base is M which means the base is either A or C (amino). The user is able to use **\*\*\*intensity\*\*\*** graphs to visually compare the **\*\*\*intensities\*\*\*** of each of the possible calls.

Fig. 18 illustrates multiple **\*\*\*intensity\*\*\*** graph windows for selected bases (SEQ ID NO:32, SEQ ID NO:33, SEQ ID NO:34, and SEQ ID NO:35). There are three **\*\*\*intensity\*\*\*** graph windows 1002, 1004, and 1006 as shown. Each window may be associated with a different experiment, where the **\*\*\*sequence\*\*\*** analyzed in the experiment may be either a reference (if it has associated probe **\*\*\*intensity\*\*\*** data as in the chip wild-type) or a sample **\*\*\*sequence\*\*\***. The windows are aligned and a rectangular box 1008 shows the selected bases' position in each of the **\*\*\*sequences\*\*\*** (position 162 in Fig. 18). The rectangular box aids the user in identifying the selected bases.

Referring again to Fig. 16, in pull down menu Highlight 810, the user is able to compare the **\*\*\*sequences\*\*\*** of references and samples. At least four **\*\*\*comparisons\*\*\*** are available to the user, including the following: sample **\*\*\*sequences\*\*\*** to the

chip wild-type \*\*\*sequence\*\*\* , sample \*\*\*sequences\*\*\* to any reference \*\*\*sequences\*\*\* , sample \*\*\*sequences\*\*\* to any linked reference \*\*\*sequences\*\*\* , and reference \*\*\*sequences\*\*\* to the chip wild-type \*\*\*sequence\*\*\* . For example, after the user has linked a reference and sample \*\*\*sequence\*\*\* , the user can compare the bases in the linked \*\*\*sequences\*\*\* . Bases in the sample \*\*\*sequence\*\*\* that are different from the reference \*\*\*sequence\*\*\* will then be indicated on the display device to the user (e.g., base is shown in a different color). In another example, the user is able to perform a \*\*\*comparison\*\*\* that will help identify sample \*\*\*sequences\*\*\* . After a sample is linked to multiple reference \*\*\*sequences\*\*\* , each base in the sample \*\*\*sequence\*\*\* that does not match the wild-type \*\*\*sequence\*\*\* is checked to see if it matches one of the linked reference \*\*\*sequences\*\*\* . The bases that match a linked reference \*\*\*sequence\*\*\* will then be indicated on the display device to the user. The user may then more easily identify the sample \*\*\*sequence\*\*\* as being one of the reference \*\*\*sequences\*\*\* .

In pull down menu Help 812, the user is able to get information and instructions regarding the comparative analysis

\*\*\*program\*\*\* , the calling methods, and the IUPAC definitions used in the \*\*\*program\*\*\* .

Fig. 19 illustrates the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method correctly calling a mutation in solutions with varying concentrations (SEQ ID NO:10, SEQ ID NO:11, SEQ ID NO:12, SEQ. . . SEQ ID NO:17, and SEQ ID NO:18). A window 1102 is shown with a chip wild-type 1104 and a mutant \*\*\*sequence\*\*\* 1106. The mutant \*\*\*sequence\*\*\* differs from the chip wild-type at the position indicated by the rectangular box 1108. The chip wild-type and mutant \*\*\*sequences\*\*\* are a region of HIV Pol \*\*\*Gene\*\*\* spanning mutations occurring in AZT drug therapy. There are seven sample \*\*\*sequences\*\*\* that are called using the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method. The sample \*\*\*sequences\*\*\* are actually solutions of different proportions of the chip wild-type \*\*\*sequence\*\*\* and the mutant \*\*\*sequence\*\*\* . Thus, there are sample solutions 1110, 1112, 1114, 1116, 1118, 1120, and 1122. The solutions are 15-mer tilings across the chip wild-type with increased percentages of the mutant \*\*\*sequence\*\*\* from 0 to 100% by weight. The following shows the proportions of the sample solutions: <table> For example, sample solution 1114 contains 75% chip wild-type \*\*\*sequence\*\*\* and 25% mutant \*\*\*sequence\*\*\* .

Now referring to the bases called in rectangular box 1108 for the sample solutions, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method correctly calls sample solution 1110 as having a base A as in the chip-wild type \*\*\*sequence\*\*\* . This is correct because sample

solution 1110 is 100% chip wild-type \*\*\*sequence\*\*\* . The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method also calls sample solution 1112 as having a base A because the sample solution is 90% chip wild-type \*\*\*sequence\*\*\* . The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method calls the identified base in sample solutions 1114 and 1116 as being an R, which is an ambiguity IUPAC. . . or G (purine). This also a correct base call because the sample solutions have from 75% to 50% chip-wild type \*\*\*sequence\*\*\* and from 25% to 50% mutation \*\*\*sequence\*\*\* . Thus, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method correctly calls the base in this transition state. Sample solutions 1118, 1120, and 1122 are called by the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method as having a mutation base G at the specified location. This is a correct base call because the sample solutions primarily consist of the mutation \*\*\*sequence\*\*\* (75%, 90%, and 100% respectively). Again, the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method correctly called the bases.

These . . . show that the base calling methods of the present invention may also be used for solutions of more than one \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* .

Fig. 20 illustrates the reference method correctly calling a mutant base where the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method incorrectly called the mutant base (SEQ ID NO:36, SEQ ID NO:37, SEQ ID NO:38, and SEQ ID NO:39). There are three \*\*\*intensity\*\*\* graph windows 1202, 1204, and 1206 as shown. The windows are aligned and a rectangular box 1208 outlines the bases of interest. Window 1202 shows a sample \*\*\*sequence\*\*\* called using the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method. However, the base in the rectangular box 1208 was incorrectly called base C, as there is actually a base A at that position. The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method incorrectly called the base as C because the probe \*\*\*intensity\*\*\* associated with base C is much higher than the other probe \*\*\*intensities\*\*\* .

Window 1204 shows a reference \*\*\*sequence\*\*\* called using the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method. As the reference \*\*\*sequence\*\*\* is known, it is not necessary to know the method used to call the reference \*\*\*sequence\*\*\* . However, it is important to have probe \*\*\*intensities\*\*\* for a reference \*\*\*sequence\*\*\* to use the reference method. The reference \*\*\*sequence\*\*\* is called a base C at the position indicated by the rectangular box.

Window 1206 shows the sample \*\*\*sequence\*\*\* called using the reference method. The reference method correctly calls the specified base as being base A. Thus, for some cases the reference method is preferable to the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method because it compares probe \*\*\*intensities\*\*\* of a sample

\*\*\*sequence\*\*\* to probe \*\*\*intensities\*\*\* of a reference

\*\*\*sequence\*\*\* .

The \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method was used in  
\*\*\*sequence\*\*\* analysis of various polymorphic HIV-1 clones  
using a protease chip. Single stranded \*\*\*DNA\*\*\* of a 382 nt  
region was used with 4 different clones (HXB2, SF2, NY5,  
pPol4mut18). Results were compared to results from an ABI  
\*\*\*sequencer\*\*\* . The results are illustrated below: <table>  
HIV protease genotyping was performed using the described chips  
and CallSeq.trade. \*\*\*intensity\*\*\* \*\*\*ratio\*\*\*  
calculations. Samples were evaluated from AIDS patients before and  
after ddI treatment. Results were confirmed with ABI  
\*\*\*sequencing\*\*\* .

Fig. 21 illustrates the output of the ViewSeq.trade.

\*\*\*program\*\*\* with four pretreatment samples and four  
posttreatment samples (SEQ ID NO:22, SEQ ID NO:23, SEQ ID NO:24,  
SEQ ID NO:25, . . .

The . . . of this disclosure. Merely by way of example, while  
the invention is illustrated with particular reference to the  
evaluation of \*\*\*DNA\*\*\* (natural or unnatural), the methods  
can be used in the analysis from chips with other materials  
synthesized thereon, such as. . .

- CLMEN 1. In a \*\*\*computer\*\*\* system, a method of identifying an  
unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
sequence, said method comprising the steps of:
- inputting a plurality of probe \*\*\*intensities\*\*\* , each  
of said probe \*\*\*intensities\*\*\* being associated with a  
\*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe;
  - said \*\*\*computer\*\*\* system comparing said plurality of  
probe \*\*\*intensities\*\*\* wherein each of said plurality of  
probe \*\*\*intensities\*\*\* is substantially proportional to said  
associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with  
at least one \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence, said at  
least one \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence including said  
sample sequence; and
  - calling said unknown base according to results of said  
comparing step.
2. In a \*\*\*computer\*\*\* system, a method of identifying an  
unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\*  
sequence, said method comprising the steps of:
- inputting a plurality of probe \*\*\*intensities\*\*\* , each  
of said probe \*\*\*intensities\*\*\* being associated with a  
\*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe;
  - said \*\*\*computer\*\*\* system comparing said plurality of  
probe \*\*\*intensities\*\*\* wherein each of said plurality of  
probe \*\*\*intensities\*\*\* is substantially proportional to said  
associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with

said sample sequence; and

calling said unknown base according to results of said comparing step.

3. The method of claim 2, wherein said comparing step includes the step of said \*\*\*computer\*\*\* system calculating a \*\*\*ratio\*\*\* of a higher probe \*\*\*intensity\*\*\* to a lower probe \*\*\*intensity\*\*\*.

4. . . . said calling step includes the step of calling said unknown base according to said probe associated with said higher probe \*\*\*intensity\*\*\* if said \*\*\*ratio\*\*\* is greater than a predetermined \*\*\*ratio\*\*\* value.

5. The method of claim 4, wherein said predetermined \*\*\*ratio\*\*\* value is approximately 1.2.

6. In a \*\*\*computer\*\*\* system, a method of identifying an unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence, said method comprising the steps of:

inputting a first set of probe \*\*\*intensities\*\*\*, each of said probe \*\*\*intensities\*\*\* in said first set being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with a reference \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence;

inputting a second set of probe \*\*\*intensities\*\*\*, each of said probe \*\*\*intensities\*\*\* in said second set being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with said sample sequence;

said \*\*\*computer\*\*\* system comparing at least one of said probe \*\*\*intensities\*\*\* in said first set and at least one of said probe \*\*\*intensities\*\*\* in said second set; and

calling said unknown base according to results of said comparing step.

7. The method of claim 6, wherein said comparing step includes the steps of:

calculating first \*\*\*ratios\*\*\* of a wild-type probe \*\*\*intensity\*\*\* to each probe \*\*\*intensity\*\*\* of a probe hybridizing with said reference sequence, wherein said wild-type probe \*\*\*intensity\*\*\* is associated with a wild-type probe; and

calculating second \*\*\*ratios\*\*\* of the highest probe \*\*\*intensity\*\*\* of a probe hybridizing with said sample sequence to each probe \*\*\*intensity\*\*\* of a probe hybridizing with said sample sequence.

8. The method of claim 7, wherein said comparing step further includes the step of calculating third \*\*\*ratios\*\*\* of said first \*\*\*ratios\*\*\* to said second \*\*\*ratios\*\*\*.

9. . . . said calling step includes the step of calling said

unknown base according to said probe associated with a highest third \*\*\*ratio\*\*\* .

10. The method of claim 6, wherein said comparing step includes the step of calculating a \*\*\*ratio\*\*\* of a highest probe \*\*\*intensity\*\*\* in said first set to a highest \*\*\*intensity\*\*\* in said second set.

11. The method of claim 10, wherein said comparing step further includes the step of comparing said \*\*\*ratio\*\*\* of neighboring \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes.

12. In a \*\*\*computer\*\*\* system, a method of identifying an unknown base in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence, said method comprising the steps of:

inputting statistics about a plurality of experiments, each of said experiments producing probe \*\*\*intensities\*\*\* each being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with a reference \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence;

inputting a plurality of probe \*\*\*intensities\*\*\*, each of said plurality of probe \*\*\*intensities\*\*\* being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with said sample sequence;

said \*\*\*computer\*\*\* system comparing at least one of said plurality of probe \*\*\*intensities\*\*\* with said statistics; and

calling said unknown base according to results of said comparing step.

15. A method of processing first and second \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequences, comprising the steps of:

providing a plurality of \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes;

labeling said first \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence with a first marker;

labeling said second \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence with a second marker; and

hybridizing said first and second labeled \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequences at the same time.

16. The method of claim 15, wherein said plurality of \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes are on a chip.

17. The method of claim 15, further comprising the step of fragmenting said first and second \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequences at the same time.

18. . . further comprising the step of scanning for said first and second markers on said chip, said first and second labeled \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequences being on said chip.

20. In a \*\*\*computer\*\*\* system, a method of identifying mutations in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence, said method comprising the steps of:

inputting a first set of probe \*\*\*intensities\*\*\*, each of said probe \*\*\*intensities\*\*\* in said first set being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with a reference \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequence;

inputting a second set of probe \*\*\*intensities\*\*\*, each of said probe \*\*\*intensities\*\*\* in said second set being associated with a \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe and substantially proportional to said associated \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probe hybridizing with said sample sequence;

said \*\*\*computer\*\*\* system comparing probe \*\*\*intensities\*\*\* in said first set and probe \*\*\*intensities\*\*\* in said second set to select hybridization regions where said probe \*\*\*intensities\*\*\* in said first set and said probe \*\*\*intensities\*\*\* in said second set differ; and

identifying mutations according to characteristics of said selected regions.

21. The method of claim 20, wherein said selected regions are determined by comparing probe \*\*\*intensities\*\*\* of wild-type probes.

23. . . . selected region;

identifying a likely position of a mutation in said selected region according to an interrogation position of said \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes; and

performing base calling at said likely position.

24. In a \*\*\*computer\*\*\* system, a method of analyzing a plurality of sequences of bases, said plurality of sequences including at least one reference. . . .

25. The method of claim 24, wherein said plurality of sequences are monomer strands of \*\*\*DNA\*\*\* or RNA.

28. The method of claim 26, further comprising the step of displaying a \*\*\*label\*\*\* in said first area to identify said chip wild-type sequence.



TIEN	***Computer*** -aided visualization and analysis system for ***nucleic*** ***acid*** sequence evaluation.
TIDE	Rechnergestuetztes Anzeigesystem und Analysesystem fuer Nukleinsaure-Sequenzauswertung.
TIFR	Systeme de visualisation et d'analyse assiste par ordinateur pour l'evaluation de sequences d'acides nucleiques.
IN	Chee, Mark S., 3199 Waverly Street, Palo Alto, California 94306, US; Wang, Chunwei, 20350 Stevens Creek Boulevard no. 307, Cupertino, California 95014, US; Jevons, Luis C., 701 Ramona Avenue, Sunnyvale, California 94087, US; Bernhart, Derek H., 111 Seale Avenue, Palo Alto, California 94301, US; Lipshutz, Robert J., 970 Palo Alto Avenue, Palo Alto, California 94301, US
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OS	ESP1996032 EP 0717113 A2 960619
SO	Wila-EPZ-1996-H25-T1a
DT	Patent
LA	Anmeldung in Englisch; Veroeffentlichung in Englisch
DS	R DE; R FR; R GB; R IT; R NL
PIT	EPA2 EUROPAEISCHE PATENTANMELDUNG
PI	EP 717113 A2 960619
OD	960619
AI	EP 95-307476 951020
PRAI	US 94-327525 941021
ABEN	A ***computer*** system (1) for analyzing ***nucleic*** ***acid*** sequences is provided. The ***computer*** system is used to perform multiple methods for determining unknown bases by analyzing the fluorescence ***intensities*** of hybridized ***nucleic*** ***acid*** probes. The results of individual experiments may be improved by processing ***nucleic*** ***acid*** sequences together. Comparative analysis of multiple experiments is also provided by displaying reference sequences in one area (814) and sample sequences in another area (816) on a display device (3).
TIEN	***Computer*** -aided visualization and analysis system for ***nucleic*** ***acid*** sequence evaluation. A ***computer*** system (1) for analyzing ***nucleic***

\*\*\*acid\*\*\* sequences is provided. The \*\*\*computer\*\*\* system is used to perform multiple methods for determining unknown bases by analyzing the fluorescence \*\*\*intensities\*\*\* of hybridized \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes. The results of individual experiments may be improved by processing \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* sequences together. Comparative analysis of multiple experiments is also provided by displaying reference sequences in one area (814) and sample. . . .

DET DEN The present invention relates to the field of \*\*\*computer\*\*\* systems. More specifically, the present invention relates to \*\*\*computer\*\*\* systems for visualizing biological \*\*\*sequences\*\*\*, as well as for evaluating and comparing biological \*\*\*sequences\*\*\*. Devices and \*\*\*computer\*\*\* systems for forming and using \*\*\*arrays\*\*\* of materials on a substrate are known. For example, PCT applications WO92/10588 and 95/11995, incorporated herein by reference for all purposes, describe techniques for \*\*\*sequencing\*\*\* or \*\*\*sequence\*\*\* checking \*\*\*nucleic\*\*\* \*\*\*acids\*\*\* and other materials. \*\*\*Arrays\*\*\* for performing these operations may be formed in \*\*\*arrays\*\*\* according to the methods of, for example, the pioneering techniques disclosed in U.S. Patent Nos. 5,445,934 and 5384,261, and U.S. . . . According to one aspect of the techniques described therein, an \*\*\*array\*\*\* of \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes is fabricated at known locations on a chip or substrate. A labeled \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* is then brought into contact with the chip and a scanner generates an image file (also called a cell file) indicating the locations where the labeled \*\*\*nucleic\*\*\* \*\*\*acids\*\*\* bound to the chip. Based upon the image file and identities of the probes at specific locations, it becomes possible to extract information such as the monomer \*\*\*sequence\*\*\* of \*\*\*DNA\*\*\* or RNA. Such systems have been used to form, for example, \*\*\*arrays\*\*\* of \*\*\*DNA\*\*\* that may be used to study and detect mutations relevant to cystic fibrosis, the P53 \*\*\*gene\*\*\* (relevant to certain cancers), HIV, and other genetic characteristics. Improved \*\*\*computer\*\*\* systems and methods are needed to evaluate, analyze, and process the vast amount of information now used and made available. . . . An improved \*\*\*computer\*\*\* -aided system for visualizing and determining the \*\*\*sequence\*\*\* of \*\*\*nucleic\*\*\* \*\*\*acids\*\*\* is disclosed. The \*\*\*computer\*\*\* system provides, among other things, improved methods of analyzing fluorescent image files of a chip containing hybridized \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes in order to call bases in sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequences\*\*\*. According to one aspect of the invention, a \*\*\*computer\*\*\*

system is used to identify an unknown base in a sample

\*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      \*\*\*sequence\*\*\*      by the steps of:

    inputting multiple probe      \*\*\*intensities\*\*\*      , each of the

probe      \*\*\*intensities\*\*\*      being associated with a

\*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      probe;

    the      \*\*\*computer\*\*\*      system comparing the multiple probe

\*\*\*intensities\*\*\*      where each of the probe      \*\*\*intensities\*\*\*

is substantially proportional to a      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

probe hybridizing with at least one      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

\*\*\*sequence\*\*\*      ; and

    calling the unknown base according to the results of the

\*\*\*comparison\*\*\*      of the multiple probe      \*\*\*intensities\*\*\*      .

According to one specific aspect of the invention, a higher probe

\*\*\*intensity\*\*\*      is compared to a lower probe      \*\*\*intensity\*\*\*

to call the unknown base. According to another specific aspect of

the invention, probe      \*\*\*intensities\*\*\*      of a sample

\*\*\*sequence\*\*\*      are compared to probe      \*\*\*intensities\*\*\*      of a

reference      \*\*\*sequence\*\*\*      . According to yet another specific

aspect of the invention, probe      \*\*\*intensities\*\*\*      of a sample

\*\*\*sequence\*\*\*      are compared to statistics about probe

\*\*\*intensities\*\*\*      of a reference      \*\*\*sequence\*\*\*      from

multiple experiments.

According to another aspect of the invention, a method is

disclosed of processing reference and sample      \*\*\*nucleic\*\*\*

\*\*\*acid\*\*\*      \*\*\*sequences\*\*\*      to reduce the variations between

the experiments by the steps of:

    providing a plurality of      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

probes;

    labeling the reference      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

\*\*\*sequence\*\*\*      with a first marker;

    labeling the sample      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

\*\*\*sequence\*\*\*      with a second marker; and

    hybridizing the labeled reference and sample      \*\*\*nucleic\*\*\*

\*\*\*acid\*\*\*      \*\*\*sequences\*\*\*      at the same time.

According to another aspect of the invention, a      \*\*\*computer\*\*\*

system is used to identify mutations in a sample      \*\*\*nucleic\*\*\*

\*\*\*acid\*\*\*      \*\*\*sequence\*\*\*      by the steps of:

    inputting a first set of probe      \*\*\*intensities\*\*\*      , each of

the probe      \*\*\*intensities\*\*\*      in said first set being associated

with a      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      probe and substantially

proportional to the associated      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

probe hybridizing with a reference      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

\*\*\*sequence\*\*\*      ;

    inputting a second set of probe      \*\*\*intensities\*\*\*      , each of

the probe      \*\*\*intensities\*\*\*      in said first set being associated

with a      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*      probe and substantially

proportional to the associated      \*\*\*nucleic\*\*\*      \*\*\*acid\*\*\*

probe hybridizing with said sample \*\*\*sequence\*\*\* ;  
the \*\*\*computer\*\*\* system comparing probe  
\*\*\*intensities\*\*\* in the first set to probe \*\*\*intensities\*\*\*  
in the second set to select hybridization regions where the probe  
\*\*\*intensities\*\*\* in the first and second sets differ; and  
identifying mutations according to characteristics of the  
selected regions.

According to yet another aspect of the invention, a  
\*\*\*computer\*\*\* system is used for comparative analysis and  
visualization of multiple \*\*\*sequences\*\*\* by the steps of:  
displaying at least one reference \*\*\*sequence\*\*\* in a  
first area on a display device; and  
displaying at least one sample \*\*\*sequence\*\*\* in a second  
area on said display device;  
whereby a user is capable of visually comparing the multiple  
\*\*\*sequences\*\*\* .

Fig. 1 illustrates an example of a \*\*\*computer\*\*\* system used  
to execute the \*\*\*software\*\*\* of the present invention;

Fig. 2 shows a system block diagram of a typical  
\*\*\*computer\*\*\* system used to execute the \*\*\*software\*\*\* of  
the present invention;

Fig. 3 illustrates an overall system for forming and analyzing  
\*\*\*arrays\*\*\* of biological materials such as \*\*\*DNA\*\*\* or  
RNA;

Fig. 4 is an illustration of the \*\*\*software\*\*\* for the  
overall system;

Fig. 5 illustrates the global layout of a chip formed in the  
overall system;

. . . lanes on a chip;

Fig. 8 illustrates a hybridization pattern of a target on a  
chip with a reference \*\*\*sequence\*\*\* as in Fig. 7;

Fig. 9 illustrates the high level flow of the  
\*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method;

Fig. 10A illustrates the high level flow of one implementation  
of the reference method and Fig. 10B shows. . . shows a data  
table for use with the reference method; Fig. 11C shows a graph of  
the normalized sample base \*\*\*intensities\*\*\* minus the  
normalized reference base \*\*\*intensities\*\*\* ; and Fig. 11D  
shows other graphs of data in the data table;

Fig. 12 illustrates the high level flow of the statistical  
method;

Fig. 13 illustrates the pooling processing of a reference and  
sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequence\*\*\* ;

Figs. 14A and 14C show graphs of scaled fluorescent  
\*\*\*intensities\*\*\* of wild-type probes hybridizing with sample  
and reference \*\*\*sequences\*\*\* and 14B shows a hypothetical  
graph of fluorescent \*\*\*intensities\*\*\* of wild-type probes

hybridizing with two sample \*\*\*sequences\*\*\* and a reference  
\*\*\*sequence\*\*\* ;

Fig. 15 illustrates the high level flow of an embodiment that  
uses the hybridization data from than one base position to  
identify mutations in a sample \*\*\*sequence\*\*\* ;

Fig. 16 illustrates the main screen and the associated pull  
down menus for comparative analysis and visualization of multiple  
experiments;

Fig. 17 illustrates an \*\*\*intensity\*\*\* graph window for a  
selected base;

Fig. 18 illustrates multiple \*\*\*intensity\*\*\* graph windows  
for selected bases;

Fig. 19 illustrates the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\*  
method correctly calling a mutation in solutions with varying  
concentrations;

Fig. 20 illustrates the reference method correctly calling a  
mutant base where the \*\*\*intensity\*\*\* \*\*\*ratio\*\*\* method  
incorrectly called the mutant base; and

Fig. 21 illustrates the output of the ViewSeq.trade.  
\*\*\*program\*\*\* with four pretreatment samples and four  
posttreatment samples.

## I. General

II. \*\*\*Intensity\*\*\* \*\*\*Ratio\*\*\* Method

III. Reference Method

IV. Statistical Method

V. Pooling Processing

VI. Comparative Analysis

VII. Examples. . .

In . . . the systems and methods of the present invention may  
be advantageously applied to a variety of systems, including IBM  
personal \*\*\*computers\*\*\* running MS-DOS or Microsoft Windows.  
Therefore, the following description of specific systems are for  
purposes of illustration and not limitation.. . .

Fig. 1 illustrates an example of a \*\*\*computer\*\*\* system used  
to execute the \*\*\*software\*\*\* of the present invention. Fig. 1  
shows a \*\*\*computer\*\*\* system 1 which includes a monitor 3,  
screen 5, cabinet 7, keyboard 9, and mouse 11. Mouse 11 may have.  
. . . houses a floppy disk drive 14 and a hard drive (not shown)  
that may be utilized to store and retrieve \*\*\*software\*\*\*  
\*\*\*programs\*\*\* incorporating the present invention. Although a  
floppy disk 15 is shown as the removable media, other removable  
tangible media including CD-ROM, flash memory and tape may be  
utilized. Cabinet 7 also houses familiar \*\*\*computer\*\*\*  
components (not shown) such as a processor, memory, and the like.  
Fig. 2 shows a system block diagram of \*\*\*computer\*\*\* system 1

used to execute the \*\*\*software\*\*\* of the present invention. As in Fig. 1, \*\*\*computer\*\*\* system 1 includes monitor 3 and keyboard 9. \*\*\*Computer\*\*\* system 1 further includes subsystems such as a central processor 52, system memory 54, I/O controller 56, display adapter 58, . . . 64 is representative of an internal hard drive, floppy drive, CD-ROM, flash memory, tape, or any other storage medium. Other \*\*\*computer\*\*\* systems suitable for use with the present invention may include additional or fewer subsystems. For example, another \*\*\*computer\*\*\* system could include more than one processor 52 (i.e., a multi-processor system) or memory cache.

Arrows such as 70 represent the system bus architecture of \*\*\*computer\*\*\* system 1. However, these arrows are illustrative of any interconnection scheme serving to link the subsystems. For example, speaker 68. . . could be connected to the other subsystems through a port or have an internal direct connection to central processor 52. \*\*\*Computer\*\*\* system 1 shown in Fig. 2 is but an example of a \*\*\*computer\*\*\* system suitable for use with the present invention. Other configurations of subsystems suitable for use with the present invention will. . .

The VLSIPS.technology provides methods of making very large \*\*\*arrays\*\*\* of \*\*\*oligonucleotide\*\*\* probes on very small chips. See U.S. Patent No. 5,143,854 and PCT patent publication Nos. WO 90/15070 and 92/10092, each of which is incorporated by reference for all purposes. The \*\*\*oligonucleotide\*\*\* probes on the \*\*\*DNA\*\*\* probe \*\*\*array\*\*\* are used to detect complementary \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* \*\*\*sequences\*\*\* in a sample \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* of interest (the "target" \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* ).

The present invention provides methods of analyzing hybridization \*\*\*intensity\*\*\* files for a chip containing hybridized \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes. In a representative embodiment, the files represent fluorescence data from a biological \*\*\*array\*\*\*, but the files may also represent other data such as radioactive \*\*\*intensity\*\*\* data or large molecule detection data. Therefore, the present invention is not limited to analyzing fluorescent measurements of hybridizations but. . .

For purposes of illustration, the present invention is described as being part of a \*\*\*computer\*\*\* system that designs a chip mask, synthesizes the probes on the chip, \*\*\*labels\*\*\* the \*\*\*nucleic\*\*\* \*\*\*acids\*\*\*, and scans the hybridized \*\*\*nucleic\*\*\* \*\*\*acid\*\*\* probes. Such a system is fully described in U.S. Patent Application No. 08/249,188 which has been incorporated by reference for. . .

Fig. 3 illustrates a computerized system for forming and analyzing \*\*\*arrays\*\*\* of biological materials such as RNA or \*\*\*DNA\*\*\*

=> s (SBH or sequenc###(p) array#)

218 FILE AGRICOLA  
40 FILE AIDSLINE  
31 FILE ANABSTR  
113 FILE AQUASCI  
45 FILE BIOBUSINESS  
2048\* FILE BIOSIS  
244\* FILE BIOTECHABS  
244\* FILE BIOTECHDS  
456 FILE CABA  
399 FILE CANCERLIT  
2696 FILE CAPLUS  
37\* FILE CEABA  
17 FILE CEN  
19\* FILE CIN  
915 FILE CJACS  
30 FILE CJELSEVIER  
14 FILE CONFSCI

18 FILES SEARCHED...

6 FILE CROPU  
1 FILE DDFB  
15 FILE DDFU  
481 FILE DGENE  
746 FILE DISSABS  
1 FILE DRUGB  
28 FILE DRUGU  
40 FILE EMBAL  
1575 FILE EMBASE  
22\* FILE FSTA  
80 FILE GENBANK

31 FILES SEARCHED...

3 FILE HEALSAFE  
4819 FILE IFIPAT  
123 FILE JICST-EPLUS  
4 FILE JPNEWS  
981 FILE LIFESCI  
1884 FILE MEDLINE  
728\* FILE NTIS  
41 FILE OCEAN  
12 FILE PHIN  
511 FILE PROMT  
1913 FILE SCISEARCH  
177 FILE TOXLINE  
1154 FILE TOXLIT

45 FILES SEARCHED...

14206 FILE USPATFULL  
 200 FILE NLDB  
 8 FILE PNI  
 3\* FILE APIPAT  
 172 FILE DPCI  
 2571 FILE EUROPATFULL  
 45\* FILE INPADO  
 375 FILE JAPIO  
 6 FILE PAPERCHEM2  
 0\* FILE PATDPA  
 400 FILE PATOSEP  
 151 FILE PATOSWO  
 30 FILE PIRA

62 FILES SEARCHED...

17\* FILE RAPRA  
 118 FILE TULSA  
 1 FILE TULSA2  
 2439 FILE WPIDS  
 2439 FILE WPINDEX

58 FILES HAVE ONE OR MORE ANSWERS, 67 FILES SEARCHED IN STNINDEX

L1 QUE (SBH OR SEQUENC###(P) ARRAY#)

=> d rank

F1	14206	USPATFULL
F2	4819	IFIPAT
F3	2696	CAPLUS
F4	2571	EUROPATFULL
F5	2439	WPIDS
F6	2439	WPINDEX
F7	2048*	BIOSIS
F8	1913	SCISEARCH
F9	1884	MEDLINE
F10	1575	EMBASE
F11	1154	TOXLIT
F12	981	LIFESCI
F13	915	CJACS
F14	746	DISSABS
F15	728*	NTIS
F16	511	PROMT
F17	481	DGENE
F18	456	CABA
F19	400	PATOSEP
F20	399	CANCERLIT



AN 94:340086 NLDB  
TI Chip Deciphers Human Genome  
SO Applied Genetics News, (Oct 1994) Vol. 15, No. 3.  
ISSN: 0271-7107.  
PB Business Communications Company, Inc  
DT Newsletter  
LA English  
WC 333  
TX The "super chip" technology is based on \*\*\*sequencing\*\*\* -by-  
\*\*\*hybridization\*\*\* (SBH). The first step is to break down DNA  
into many pieces, after which the pieces are separated and then.  
. unknown DNA in complementary fashion, essentially matching up  
with defined sequences. By detecting the tags and analyzing the  
results with \*\*\*computer\*\*\* software, the base sequence of the  
unknown DNA can be ascertained (see illustration).

L64 ANSWER 17 OF 61 COPYRIGHT 1996 INFO. ACCESS CO.

AN 94:343793 NLDB  
TI HYSEQ GAINS RIGHTS TO "SUPER CHIP" FOR DECODING HUMAN GENOME  
SO Biotech Business, (Nov 1994) Vol. 7, No. 11.  
ISSN: 0899-5702.  
PB Worldwide Videotex  
DT Newsletter  
LA English  
WC 912  
TX The . . . Chicago; the university operates Argonne for DOE. Under  
the agreement, Hyseq was granted exclusive patent rights to a  
variation of \*\*\*Sequencing\*\*\* -By- \*\*\*Hybridization\*\*\* (SBH)  
technology known as Format 3 that allows large-scale gene sequencing  
to be done on a "super chip" a 1-inch-square. . .

\*\*\*Sequencing\*\*\* -By- \*\*\*Hybridization\*\*\* Technology

\*\*\*Sequencing\*\*\* -By- \*\*\*Hybridization\*\*\* is a revolutionary  
technology designed to decipher the human genome, all of the genes  
that comprise the human genetic code.

Using . . . Researchers are able to tell which probes have  
attached by detecting the tags, and the results are analyzed using  
special \*\*\*computer\*\*\* software.

L64 ANSWER 18 OF 61 COPYRIGHT 1996 INFO. ACCESS CO.

AN 94:106336 NLDB  
TI \*\*\*Computer\*\*\* Speeds Genome Mapping  
SO Applied Genetics News, (Mar 1994) Vol. 14, No. 8.  
ISSN: 0271-7107.  
PB Business Communications Company, Inc  
DT Newsletter  
LA English  
WC 85  
TI \*\*\*Computer\*\*\* Speeds Genome Mapping  
TX Argonne National Laboratory (9700 South Cass Avenue, Argonne, IL  
60439; tel. 708-252-5584) has developed \*\*\*computer\*\*\* -automated  
technology for speeding the process of sequencing DNA, a technology

called "genome \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* ." It is a high-volume process which medical, agricultural and other companies, including reagent suppliers, already express interest in.

L64 ANSWER 19 OF 61 USPATFULL  
AN 94:15495 USPATFULL  
TI Parallel sequential reactor  
IN Church, George M., Brookline, MA, United States  
Kieffer-Higgins, Stephen G., Dorchester, MA, United States  
PA The President and Fellows of Harvard College, Cambridge, MA,  
United States (U.S. corporation)  
PI US 5288468 940222  
AI US 92-970650 921030 (7)  
RLI Continuation of Ser. No. US 91-705308, filed on 24 May 1991, now

L64 ANSWER 20 OF 61 CAPLUS COPYRIGHT 1996 ACS DUPLICATE 6  
 AN 1994:290915 CAPLUS  
 DN 120:290915  
 TI DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* of  
 oligonucleotide matrix. Calculation of continuous stacking  
 hybridization efficiency  
 AU Lysov, Yu. P.; Chernyi, A. A.; Balaeff, A. A.; Keattie, K. L.;  
 Mirzabekov, A. D.  
 CS W.A. Engelhardt Inst. Mol. Biol., Russian Acad. Sci., Moscow,  
 117984, Russia  
 SO J. Biomol. Struct. Dyn. (1994), 11(4), 797-812  
 CODEN: JBSD6; ISSN: 0739-1102  
 DT Journal  
 LA English  
 AB In this paper the authors consider the efficiency of addnl. rounds  
 of "continuous stacking" hybridization in DNA sequence  
 reconstruction by hybridization with oligonucleotide matrix (SHOM).  
 After the initial hybridization of target DNA with the matrix of  
 oligonucleotides of fixed length L some addnl. hybridizations should  
 be carried out in the presence of fluorescently labeled  
 oligonucleotides of another length l. These addnl. oligonucleotides  
 can hybridize in tandem with matrix tuples (continuous stacking  
 hybridization) thus forming an extended duplex with the target DNA  
 strand. The addnl. data obtained allows resols. of branching  
 points arising in the reconstruction procedure. Multiple rounds of  
 continuous stacking hybridization considerably increase the  
 efficiency of the sequencing method, eventually approaching the  
 power of  $(L + l)$ -matrix. The authors develop here an  
 \*\*\*algorithm\*\*\* that allows the authors to minimize the no. of  
 addnl. hybridization steps, by assembling sets of l-tuples to be  
 added together in each round of continuous stacking hybridization.  
 For SHOM using a matrix of octanucleotides, continuous stacking  
 hybridization with pentanucleotides increases the length of  
 unambiguously sequenced DNA from 200 to several thousands of base  
 pairs.  
 TI DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* of  
 oligonucleotide matrix. Calculation of continuous stacking  
 hybridization efficiency  
 AB . . . increase the efficiency of the sequencing method,  
 eventually approaching the power of  $(L + l)$ -matrix. The authors  
 develop here an \*\*\*algorithm\*\*\* that allows the authors to  
 minimize the no. of addnl. hybridization steps, by assembling sets  
 of l-tuples to be added. . .  
 IT \*\*\*Algorithm\*\*\*  
 (for DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* of  
 oligonucleotide matrix, continuous stacking hybridization in  
 relation to)  
 IT Nucleotides, polymers  
 RL: BIOL (Biological study)  
 (oligo-, deoxyribo-, DNA \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\* to matrixes, calcn. of continuous stacking

L64 ANSWER 27 OF 61 BIOTECHDS COPYRIGHT 1996 DERWENT INFORMATION LTD  
 AN 95-04430 BIOTECHDS  
 TI DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* to  
 oligonucleotides;  
 automated hybridization for potential use in mutation analysis,  
 sequence comparison and mapping (conference abstract)  
 AU Mirzabekov A D  
 CS Inst.Mol.Biol.Moscow; Russian-Acad.Sci.  
 LO Engelhardt Institute of Molecular Biology, Russian Academy of  
 Sciences, Moscow 117984, Russia.  
 SO Tools Genome Mapp.; (1994) 19  
 CODEN: 9999S  
 Tools for Genome Mapping, Paris, France, 16-18 January, 1994.  
 DT Journal  
 LA English  
 AB A DNA sequencing technique based on DNA hybridization with an  
 oligonucleotide matrix (SHOM) was developed. The sequencing  
 microchips consisted of a glass plate covered with 20 um-thick  
 polyacrylamide gel squares (30-100 um square) each containing  
 individual immobilized oligonucleotides (ODNs). The apparent  
 thermostability of DNA duplexes with gel-immobilized ODNs increased  
 with an increase in the ODN concentration and gel thickness. This  
 allowed the concentration of immobilized ODNs to be adjusted in  
 such a way as to equalize the thermostabilities of A-T- and  
 G-C-rich duplexes. A prototype automatic sequenator was  
 constructed consisting of a microchip, a fluorescent microscope, a  
 CCD-camera, a thermostated plate and a \*\*\*computer\*\*\* complete  
 with special software for image analysis. \*\*\*Computer\*\*\*  
 simulations demonstrated that SHOM on octanucleotide matrices  
 combined with continuous stacking hybridization could sequence  
 3,000-5,000 nucleotide long DNA. Chips for genetic mutation  
 analysis, sequence comparison and DNA mapping are to be produced.  
 (0 ref)  
 TI DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* to  
 oligonucleotides;  
 automated hybridization for potential use in mutation analysis,  
 sequence comparison and mapping (conference abstract)  
 AB. . . A prototype automatic sequenator was constructed consisting of  
 a microchip, a fluorescent microscope, a CCD-camera, a thermostated  
 plate and a \*\*\*computer\*\*\* complete with special software for  
 image analysis. \*\*\*Computer\*\*\* simulations demonstrated that  
 SHOM on octanucleotide matrices combined with continuous stacking  
 hybridization could sequence 3,000-5,000 nucleotide long DNA.  
 Chips for. . .

L166 0 FILE HEALSAFE  
L167 0 FILE PATDPA  
L168 0 FILE PATOSDE  
L169 0 FILE CEN  
L170 0 FILE IPA  
L171 0 FILE APIPAT  
L172 0 FILE PAPERCHEM2

TOTAL FOR ALL FILES

L173 33 S L87 AND (OLIGONUCLEOTIDE# OR NUCLEIC ACID# OR DNA OR PO  
L174 23 DUPLICATE REMOVE L173 (10 DUPLICATES REMOVED)

=>

L64 ANSWER 2 OF 61 USPATFULL

AN 96:14707 USPATFULL

TI Method of determining an ordered sequence of subfragments of a nucleic acid fragment by hybridization of oligonucleotide probes

IN Drmanac, Radoje T., Beograd, Yugoslavia  
Crkvenjakov, Radomir B., Beograd, Yugoslavia

PA Hyseq, Inc., Sunnyvale, CA, United States (U.S. corporation)

PI US 5492806 960220

AI US 93-45912 930412 (8)

DCD 20100413

RLI Continuation of Ser. No. US 91-723712, filed on 18 Jun 1991, now patented, Pat. No. US 5202231, issued on 13 Apr 1993 which is a continuation of Ser. No. US 88-175088, filed on 30 Mar 1988

PRAI YU 87-570 870401

DT Utility

EXNAM Primary Examiner: Zitomer, Stephanie W.

LREP Marshall, O'Toole, Gerstein, Murray & Borun

CLMN Number of Claims: 1

ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 707

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB The sequence of a given nucleic acid fragment is read by the hybridization and assembly of positively hybridizing exactly complementary oligonucleotide probes through overlapping subfragments. By simultaneous hybridization of nucleic acid subfragments bound onto a filter, representing single-stranded phage vector with a cloned insert, with about 50,000 to 100,000 groups of probes, the main type of which is (A,T,C,G) (A,T,C,G)N8(A,T,C,G), information for \*\*\*computer\*\*\* determination of a sequence of DNA having the complexity of a mammalian genome are obtained in one step. To obtain a maximally completed sequence, three libraries cloned into the phage vector, M13, are used. The process can be easily and entirely robotized for factory reading of complex genomic fragments or DNA molecules.

AB . . . a cloned insert, with about 50,000 to 100,000 groups of probes, the main type of which is (A,T,C,G) (A,T,C,G)N8(A,T,C,G), information for \*\*\*computer\*\*\* determination of a sequence of DNA having the complexity of a mammalian genome are obtained in one step. To obtain. . .

SUMM . . . are laborious, with competent laboratories able to sequence approximately 100 bp per man per day. With the use of electronics ( \*\*\*computers\*\*\* and robots), sequencing can be accelerated by several orders of magnitude. The idea of sequencing the whole human genome has. . .

DETD The required synthesis of 4.times.10.sup.6 11-mers, is impracticable for \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH). However, it is unsuitable to omit a significant number of ONPs (more than 25%), because it leads to gaps. . .

DETD . . . generally speaking, ONSs repeated in tandems and having the length of one or more bp (AAAAAA...TCTCTCTC...TGATGATG...) represent a problem in \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*. The above mentioned probes cannot determine length of repetitive sequences that are longer than the common part of a ONP. . .

DETD The ordering of SFs is performed by \*\*\*computer\*\*\* detection

L64 ANSWER 8 OF 61 CAPLUS COPYRIGHT 1996 ACS DUPLICATE 4  
AN 1995:817552 CAPLUS  
DN 123:331091  
TI A new \*\*\*algorithm\*\*\* for DNA sequence assembly  
AU Idury, Ramana M.; Waterman, Michael S.  
CS Dep. Maths. Mol. Biol., Univ. Southern California, Los Angeles, CA,  
90089-1113, USA  
SO J. Comput. Biol. (1995), 2(2), 291-306  
CODEN: JCOBEM; ISSN: 1066-5277  
DT Journal  
LA English  
AB Since the advent of rapid DNA sequencing methods in 1976, scientist  
have had the problem of inferring DNA sequences from sequenced  
fragments. Shotgun sequencing is a well-established biol. and  
computational method used in practice. Many conventional  
\*\*\*algorithms\*\*\* for shotgun sequencing are based on the notion of  
pairwise fragment overlap. While shotgun sequencing infers a DNA  
sequence given the sequences of overlapping fragments, a recent and  
complementary method, called \*\*\*sequencing\*\*\* by  
\*\*\*hybridization\*\*\* (SBH), infers a DNA sequence given the set of  
oligomers that represents all subwords of some fixed length, k. In  
this paper, the authors propose a new \*\*\*computer\*\*\*  
\*\*\*algorithm\*\*\* for DNA sequence assembly that combines in a novel  
way the techniques of both shotgun and SBH methods. Based on our  
preliminary investigations, the \*\*\*algorithm\*\*\* promises to be  
very fast and practical for DNA sequence assembly.  
TI A new \*\*\*algorithm\*\*\* for DNA sequence assembly  
AB . . . inferring DNA sequences from sequenced fragments. Shotgun  
sequencing is a well-established biol. and computational method used  
in practice. Many conventional \*\*\*algorithms\*\*\* for shotgun  
sequencing are based on the notion of pairwise fragment overlap.  
While shotgun sequencing infers a DNA sequence given the sequences  
of overlapping fragments, a recent and complementary method, called  
\*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH), infers a DNA  
sequence given the set of oligomers that represents all subwords of  
some fixed length, k. In this paper, the authors propose a new  
\*\*\*computer\*\*\* \*\*\*algorithm\*\*\* for DNA sequence assembly that  
combines in a novel way the techniques of both shotgun and SBH  
methods. Based on our preliminary investigations, the  
\*\*\*algorithm\*\*\* promises to be very fast and practical for DNA  
sequence assembly.  
ST DNA sequence detn \*\*\*algorithm\*\*\* hybridization method  
IT \*\*\*Algorithm\*\*\*  
Deoxyribonucleic acid sequence determination  
(new \*\*\*algorithm\*\*\* for DNA sequence assembly involving  
\*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH))  
IT Nucleic acid hybridization  
(DNA-DNA, \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH);  
new \*\*\*algorithm\*\*\* for DNA sequence assembly involving  
\*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH))

AN 1995:582209 CAPLUS

DN 123:26547

TI Clone clustering by hybridization

AU Milosavljevic, Aleksandar; Strezoska, Zaklina; Zeremski, Marija;  
Grujic, Danica; Paunesku, Tatjana; Crkvenjakov, RadomirCS Genome Structure Group, Argonne National Lab., Argonne, IL,  
60439-4833, USASO Genomics (1995), 27(1), 83-9  
CODEN: GNMCEP; ISSN: 0888-7543

DT Journal

LA English

AB DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) Format 1  
technique is based on expts. in which thousands of short oligomers  
are consecutively hybridized with dense arrays of clones. In this  
paper we present the description of a method for obtaining  
hybridization signatures for individual clones that guarantees  
reproducibility despite a wide range of variations is exptl.  
circumstances, a sensitive method for signature comparison at  
prespecified significance levels, and a clustering \*\*\*algorithm\*\*\*  
that correctly identifies clusters of significantly similar  
signatures. The methods and the \*\*\*algorithm\*\*\* have been  
verified exptl. on a control set of 422 signatures that originate  
from 9 distinct clones of known sequence. Expts. indicate that only  
30 to 50 oligomer probes suffice for correct clustering. This  
information about the identity of clones can be used to guide both  
genomic and cDNA sequencing by SBH or by std. gel-based methods.

AB DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) Format 1  
technique is based on expts. in which thousands of short oligomers  
are consecutively hybridized with dense arrays. . . wide range of  
variations is exptl. circumstances, a sensitive method for signature  
comparison at prespecified significance levels, and a clustering  
\*\*\*algorithm\*\*\* that correctly identifies clusters of  
significantly similar signatures. The methods and the  
\*\*\*algorithm\*\*\* have been verified exptl. on a control set of 422  
signatures that originate from 9 distinct clones of known sequence..

IT .  
\*\*\*Algorithm\*\*\*

Genetic methods

Nucleic acid hybridization

(clone clustering by hybridization using a clustering

\*\*\*algorithm\*\*\* that correctly identifies clusters of  
significantly similar signatures)



N 95:435002 PROMT

TI Hyseq Licenses High-Speed Gene-Sequencing Technology  
To license its \*\*\*Sequencing\*\*\* -By- \*\*\*Hybridization\*\*\*  
technology for the development of diagnostic tests

SO Genetic Engineering News, (15 Jan 1995) pp. 8.  
ISSN: 0270-6377.

AB Hyseq of Sunnyvale, CA is to license its \*\*\*Sequencing\*\*\* -By-  
\*\*\*Hybridization\*\*\* (SBH) patented technology in its Format-1  
variation. The license will be available to companies who are  
developing diagnostic tests for genetic and infectious diseases. SBH  
allows speedy detection of gene mutations, the program being able to  
provide information on drug resistance as well as mutational  
detection in regions of genes. Image analysis \*\*\*computer\*\*\*  
software is used to analyze results. The program can be adapted for  
use with specific disease programs including cancer and cystic  
fibrosis.

TI Hyseq Licenses High-Speed Gene-Sequencing Technology  
To license its \*\*\*Sequencing\*\*\* -By- \*\*\*Hybridization\*\*\*  
technology for the development of diagnostic tests  
Hyseq of Sunnyvale, CA is to license its \*\*\*Sequencing\*\*\* -By-  
\*\*\*Hybridization\*\*\* (SBH) patented technology in its Format-1  
variation. The license will be available to companies who are  
developing diagnostic tests for. . . program being able to  
provide information on drug resistance as well as mutational  
detection in regions of genes. Image analysis \*\*\*computer\*\*\*  
software is used to analyze results. The program can be adapted for  
use with specific disease programs including cancer and. . .

L64 ANSWER 34 OF 61 DISSABS COPYRIGHT 1996 UMI  
 AN 94:28331 DISSABS Order Number: AAR9417770  
 TI COMBINATORIAL \*\*\*ALGORITHMS\*\*\* FOR COMPUTATIONAL BIOLOGY (DNA  
 SEQUENCING, RESTRICTION MAPPING)  
 AU SUNDARAM, GOPALAKRISHNAN [PH.D.]; SKIENA, STEVEN S. [adviser]  
 CS STATE UNIVERSITY OF NEW YORK AT STONY BROOK (0771)  
 SO Dissertation Abstracts International, (1993) Vol. 55, No. 2B, p.  
 486. Order No.: AAR9417770. 147 pages.  
 DT Dissertation  
 FS DAI  
 LA English  
 AB

In this dissertation, we address some of the algorithmic and computational issues involved in computational biology problems. In particular, we give new \*\*\*algorithms\*\*\* for three different problems, viz., restriction site mapping, reconstructing DNA strings from \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) data, and subgraph detection \*\*\*algorithms\*\*\*.

We propose new, practical \*\*\*algorithms\*\*\* which resolve the noisy experimental data from DNA partial digests, including the possibility of missing fragments. We analyze the performance of these \*\*\*algorithms\*\*\* under a reasonable probability distribution, establishing a relative error limit of  $r = \Theta(1/n^2)$  beyond which our technique becomes infeasible. Through simulations, we establish that our technique is robust enough to reconstruct data with relative errors of up to 7.0% in the measured fragment lengths for typical problems.

\*\*\*Sequencing\*\*\* by \*\*\*hybridization\*\*\* is a new approach to DNA sequencing in which we sequence the DNA by asking all substring queries of length  $m$ , using a sequencing chip  $C(m)$ . We develop a theory of interactive \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*, based on reconstructing strings from substrings. We provide tight bounds on the complexity of reconstructing unknown strings from substring queries. Specifically, we show that  $\Theta(n^\alpha)$  substring queries are necessary and sufficient, where  $\alpha$  is the alphabet size. We also demonstrate that subsequence queries are more efficient by showing  $O(n \lg \alpha)$  queries are necessary and sufficient. We give \*\*\*algorithms\*\*\* to build decision trees which are within a constant factor of an optimal decision tree with the constant depending upon  $\alpha$ .

Finally, we consider the problem of subgraph isomorphism which has applications in fragment assembly and other DNA sequencing \*\*\*algorithms\*\*\*. We present general \*\*\*algorithms\*\*\* for fixed-subgraph isomorphism which improve or unify previous results. In particular, we present an  $O(n^{f/m})$  \*\*\*algorithm\*\*\* for recognizing a fixed subgraph  $H$  with flower number  $f$  within a graph  $G$  with  $n$  vertices and  $m$  edges. (Abstract shortened by UMI.)

TI COMBINATORIAL \*\*\*ALGORITHMS\*\*\* FOR COMPUTATIONAL BIOLOGY (DNA SEQUENCING, RESTRICTION MAPPING)

AB . . . dissertation, we address some of the algorithmic and computational issues involved in computational biology problems. In particular, we give new \*\*\*algorithms\*\*\* for three different problems, viz., restriction site mapping, reconstructing DNA strings from \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) data, and subgraph detection \*\*\*algorithms\*\*\*.

We propose new, practical \*\*\*algorithms\*\*\* which resolve

the noisy experimental data from DNA partial digests, including the possibility of missing fragments. We analyze the performance of these **\*\*\*algorithms\*\*\*** under a reasonable probability distribution, establishing a relative error limit of  $r = \Theta(1/n^2)$  beyond which our technique becomes infeasible..  
 . . . robust enough to reconstruct data with relative errors of up to 7.0% in the measured fragment lengths for typical problems.

**\*\*\*Sequencing\*\*\*** by **\*\*\*hybridization\*\*\*** is a new approach to DNA sequencing in which we sequence the DNA by asking all substring queries of length  $m$ , using a sequencing chip  $C(m)$ . We develop a theory of interactive **\*\*\*sequencing\*\*\*** by **\*\*\*hybridization\*\*\***, based on reconstructing strings from substrings. We provide tight bounds on the complexity of reconstructing unknown strings from substring queries.. . . also demonstrate that subsequence queries are more efficient by showing  $O(n \lg \alpha)$  queries are necessary and sufficient. We give **\*\*\*algorithms\*\*\*** to build decision trees which are within a constant factor of an optimal decision tree with the constant depending upon  $\alpha$ .

Finally, we consider the problem of subgraph isomorphism which has applications in fragment assembly and other DNA sequencing **\*\*\*algorithms\*\*\***. We present general **\*\*\*algorithms\*\*\*** for fixed-subgraph isomorphism which improve or unify previous results. In particular, we present an  $O(n^{f/m})$  **\*\*\*algorithm\*\*\*** for recognizing a fixed subgraph  $H$  with flower number  $f$  within a graph  $G$  with  $n$  vertices and  $m$  edges.. . .

L64 ANSWER 35 OF 61 NTIS COPYRIGHT 1996 NTIS  
AN 93(24):1154 NTIS Order Number : DE93015556/XAD  
TI Discovering sequence similarity by the algorithmic significance  
method.  
AU Milosavljevic, A.  
CS Argonne National Lab., IL  
Sponsor : Department of Energy, Washington, DC  
NC Contract : W-31109-ENG-38; FG03-91ER61152  
NR DE93015556/XAD; ANL/BIM/CP-78918; CONF-930745-2  
11 p. NTIS Prices : PC A03/MF A01  
Notes : International conference in intelligent systems for  
molecular biology (1st), Washington, DC (United States), 7-9 Jul  
1993. Sponsored by Department of Energy, Washington, DC.  
PD Feb 1993  
LA English CY United States  
OS ERA9350  
AB The minimal-length encoding approach is applied to define concept of  
sequence similarity. A sequence is defined to be similar to another  
sequence or to a set of keywords if it can be encoded in a small  
number of bits by taking advantage of common subwords.  
Minimal-length encoding of a sequence is computed in linear time,  
using a data compression algorithm that is based on a dynamic  
programming strategy and the directed acyclic word graph data  
structure. No assumptions about common word (''k-tuple'') length are  
made in advance, and common words of any length are considered. The  
newly proposed algorithmic significance method provides an exact  
upper bound on the probability that sequence similarity has occurred  
by chance, thus eliminating the need for any arbitrary choice of  
similarity thresholds. Preliminary experiments indicate that a small  
number of keywords can positively identify a DNA sequence, which is  
extremely relevant in the context of partial sequencing by  
hybridization.

TI Sequencing of megabase plus DNA by hybridization: theory of the method  
 AU Drmanac, Radoje; Labat, Ivan; Brukner, Ivan; Crkvenjakov, Radomir  
 CS Genet. Eng. Cent., Belgrade, 11000, Yugoslavia  
 SO Genomics (1989), 4(2), 114-28  
 CODEN: GNMCEP; ISSN: 0888-7543  
 DT Journal  
 LA English  
 AB A theory for mismatch-free hybridization of oligonucleotides contg. from 11 to 20 monomers to unknown target DNA for sequencing of large genomes is presented. Probability calcns. and, in part, \*\*\*computer\*\*\* simulations were used to est. the types and nos. of oligonucleotides that would have to be synthesized in order to sequence a megabase plus segment of DNA. It was estd. that 95,000 specific mixes of 11-mers, mainly of the 5' (A,T,C,G)(A,T,C,G)N8(A,T,C,G)3' type, hybridized consecutively to dot blots of cloned genomic DNA fragments would provide primary data for the sequence assembly. An optimal mixt. of representative libraries in M13 vector, having inserts of (1) 7 kb, (2) 0.5 kb genomic fragments randomly ligated in up to 10-kb inserts, and (3) tandem jumping fragments 100 kb apart in the genome, would be needed. To sequence each million base pairs of DNA, hybridization data from about 2100 sep. hybridization sample dots would be required. Inevitable gaps and uncertainties in alignment of sequenced fragments were considered and minimized by choice of libraries and no. of subclones used for hybridization. Because it is based on simpler biochem. procedures, this method is inherently easier to automate than existing sequencing methods. The sequence can be derived from simple primary data only by extensive computing. Phased exptl. tests and \*\*\*computer\*\*\* simulations are required for accurate ests. in terms of cost and speed of sequencing by the new approach. Nevertheless, \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* has apparent advantages over existing methods because of the inherent redundancy and parallelism in its data gathering.

AB . . . 11 to 20 monomers to unknown target DNA for sequencing of large genomes is presented. Probability calcns. and, in part, \*\*\*computer\*\*\* simulations were used to est. the types and nos. of oligonucleotides that would have to be synthesized in order to . . . existing sequencing methods. The sequence can be derived from simple primary data only by extensive computing. Phased exptl. tests and \*\*\*computer\*\*\* simulations are required for accurate ests. in terms of cost and speed of sequencing by the new approach. Nevertheless, \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* has apparent advantages over existing methods because of the inherent redundancy and parallelism in its data gathering.

=>

AN 1992:585718 CAPLUS

DN 117:185718

TI An oligonucleotide matrix hybridization approach to DNA sequencing

AU Khorlin, A. A.; Khrapko, K. R.; Ivanov, I. B.; Lysov, Yu. P.;  
Ershov, G. K.; Vasilenko, S. K.; Florent'ev, V. L.; Mirzabekov, A.

D.

CS V. A. Engel'gardt Inst. Mol. Biol., Moscow, 117984, Russia

SO Nucleic Acids Symp. Ser. (1991), 24(Synth. Oligonucleotides: Probl.  
Front. Pract. Appl.), 191-2

CODEN: NACSD8; ISSN: 0261-3166

DT Journal

LA English

AB A new approach to DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*  
with oligonucleotide matrix (SHOM) which could also be applied for  
DNA mapping and fingerprinting, mutant diagnostics, etc., has been  
tested in model expts. A dot matrix was prepd. which contained 9  
overlapping octanucleotides (8-mers) complementary to a common  
17-mer. Each of the 8-mers (5 pmoles) contg. 3-N-methyluridine at  
the 3'-terminus was immobilized after periodate oxidn. as individual  
dot (1 mm in diam.) in thin layer (30 .mu.m-thick) of  
hydrazine-activated polyacrylamide gel fixed on a glass plate. The  
matrix was sep. hybridized with 32P-labeled 17-mer and three other  
17-mers differing from the first one by a single base change. This  
set of fragments and octanucleotides can form perfect duplexes as  
well as defective ones, each contg. one out of five base pair  
mismatches (A:G, A:C, G:T, C:T and C:C), differently positioned  
relatively to the duplex ends. There always exists a certain stage  
of thermal dissocn. at which the hybridization signals \*\*\*ratio\*\*\*  
of perfect to imperfect duplex is sufficiently high (at least 10  
times). In that way dissocn. curves comparison allow one to  
distinguish perfect duplexes from mismatched ones and thus to detect  
single base changes in DNA. SHOM with full octanucleotide matrix  
can be applied for sequencing much longer DNA as well for  
localization and identification of DNA changes in homologous  
sequences and gene mutations. Miniaturized matrixes or sequencing  
chips were designed where oligonucleotides were immobilized within  
100 .times. 100 .mu. dots disposed at 100 .mu. intervals.  
Hybridization of fluorescently labeled DNA fragments with micro  
chips may simplify sequencing and ensure sensitivity of at least 10  
amol per dot.

AB A new approach to DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*  
with oligonucleotide matrix (SHOM) which could also be applied for  
DNA mapping and fingerprinting, mutant diagnostics, etc., has been  
tested. . . positioned relatively to the duplex ends. There  
always exists a certain stage of thermal dissocn. at which the  
hybridization signals \*\*\*ratio\*\*\* of perfect to imperfect duplex  
is sufficiently high (at least 10 times). In that way dissocn.  
curves comparison allow one. . .

L64 ANSWER 37 OF 42 BIOTECHDS COPYRIGHT 1996 DERWENT INFORMATION LTD  
 AN 94-00636 BIOTECHDS  
 TI Towards genome DNA sequencing chip based on oligonucleotide  
 hybridization;  
 miniaturization for use in the human genome project (conference  
 paper)  
 AU Drmanac R; Strezoska Z; Labat I; Radosavljevic D; Paunesku T;  
 Crkvenjakov R  
 CS Inst.Mol.Genet.+Genet.Eng.Belgrade  
 LO Institute for Molecular Genetics and Genetic Engineering, Belgrade,  
 Yugoslavia.  
 SO Modelling Computer Methods Mol.Biol.Genet.; (1991) 242-43  
 CODEN: 9999U  
 DT Journal  
 LA English  
 AB Miniaturization of DNA \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\* (which involves reconstruction of a sequence  
 from complete content of short 8-mer oligonucleotides) was carried  
 out, to improve the speed of genome sequencing. The proposed DNA  
 sequencing chip is a microhybridization surface, on which all  
 necessary oligos of known formula occur at physically defined  
 positions. The chip may have 100,000-1,000 million oligos. After  
 hybridization of a chip with a genomic fragment, a pattern of  
 positive dots is fed into a computer by microscopic imaging. The  
 computer sorts the data from many hybridizations into a genomic  
 sequence. The basic step in chip manufacture is mixed  
 combinatorial synthesis of oligos on beads marked with specific  
 combinations of marker oligos. Conditions for reliable  
 hybridization of 8-mers have been determined. The \*\*\*ratio\*\*\*  
 of true to false signals is 3-30, depending on an 8-mer sequence in  
 model phage M13 clones with a 1-kb insert, indicating the reaction  
 is usable for sequencing. An algorithm has been formulated for  
 generation of sequences between 2 points of ambiguity from  
 hybridization data from a gene bank. (1 ref)  
 AB Miniaturization of DNA \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\* (which involves reconstruction of a sequence  
 from complete content of short 8-mer oligonucleotides) was carried  
 out, to improve the speed. . . oligos on beads marked with  
 specific combinations of marker oligos. Conditions for reliable  
 hybridization of 8-mers have been determined. The \*\*\*ratio\*\*\*  
 of true to false signals is 3-30, depending on an 8-mer sequence in  
 model phage M13 clones with a 1-kb. . .

L64 ANSWER 28 OF 42 CAPLUS COPYRIGHT 1996 ACS

AN 1994:571510 CAPLUS

DN 121:171510

TI Simulations of ordering and sequence reconstruction of random DNA clones hybridized with a small number of oligomeric probes

AU Labat, Ivan; Drmanac, Radoje

CS Biol. Med. Res. Div., Argonne Natl. Lab., Argonne, IL, 60439, USA

SO Int. Conf. Bioinformatics, Supercomput. Complex Genome Anal., Proc. Conf., 2nd (1993), Meeting Date 1992, 555-65. Editor(s): Lim, Hwa A. Publisher: World Sci. Publ., Singapore, Singapore.

CODEN: 59QBA7

DT Conference

LA English

AB The \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) method has been developed for assaying millions of 0.5-2-kb-long clones. This opens up an efficient way for defining the order of short clones and creating a phys. map at 100-bp resolu. Moreover, complete sequences can be obtained using a modest no. (.apprx.3000) of probes if hybridization and gel sequence data from overlapped or similar sequences are used. In \*\*\*light\*\*\* of these possibilities, various heuristic algorithms have been developed and tested in simulation expts. This approach can influence the interpretation of the intuitively obvious term, known sequence.

AB The \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) method has been developed for assaying millions of 0.5-2-kb-long clones. This opens up an efficient way for defining the. . . a modest no. (.apprx.3000) of probes if hybridization and gel sequence data from overlapped or similar sequences are used. In \*\*\*light\*\*\* of these possibilities, various heuristic algorithms have been developed and tested in simulation expts. This approach can influence the interpretation. . .



L64 ANSWER 25 OF 42 TOXLIT  
 AN 94:115986 TOXLIT  
 DN CA-121-171510N  
 TI Simulations of ordering and sequence reconstruction of random DNA clones hybridized with a small number of oligomeric probes.  
 AU Labat I; Drmanac R  
 CS Biol. Med. Res. Div., Argonne Natl. Lab., Argonne  
 SO Int. Conf. Bioinformatics, Supercomput. Complex Genome Anal., Proc. Conf., 2nd, (1993). pp. 555-65.  
 CODEN: 59QBA.  
 CY United States  
 DT Book; (MONOGRAPH)  
 FS CA  
 LA English  
 OS CA 121:171510  
 EM 9411  
 AB The \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) method has been developed for assaying millions of 0.5-2-kb-long clones. This opens up an efficient way for defining the order of short clones and creating a phys. map at 100-bp resolu. Moreover, complete sequences can be obtained using a modest no. (.apprx.3000) of probes if hybridization and gel sequence data from overlapped or similar sequences are used. In \*\*\*light\*\*\* of these possibilities, various heuristic algorithms have been developed and tested in simulation expts. This approach can influence the interpretation of the intuitively obvious term, known sequence.  
 AB The \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) method has been developed for assaying millions of 0.5-2-kb-long clones. This opens up an efficient way for defining the. . . a modest no. (.apprx.3000) of probes if hybridization and gel sequence data from overlapped or similar sequences are used. In \*\*\*light\*\*\* of these possibilities, various heuristic algorithms have been developed and tested in simulation expts. This approach can influence the interpretation. . .

L64 ANSWER 24 OF 42 USPATFULL

AN 93:29111 USPATFULL

TI Method of sequencing of genomes by hybridization of  
oligonucleotide probes

IN Drmanac, Radoje T., Zvecanska 46, Beograd, Yugoslavia 11000  
Crkvenjakov, Radomir B., Bulevar JNA 118, Beograd, Yugoslavia  
11000

PI US 5202231 930413

AI US 91-723712 910618 (7)

RLI Continuation of Ser. No. US 88-175088, filed on 30 Mar 1988, now  
abandoned

PRAI YU 87-570 870401

DT Utility

EXNAM Primary Examiner: Moskowitz, Margaret; Assistant Examiner:  
Zitomer, Stephanie W.

LREP Marshall, O'Toole, Gerstein, Murray & Bicknell

CLMN Number of Claims: 4

ECL Exemplary Claim: 1

DRWN No Drawings

LN.CNT 673

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB The conditions under which oligonucleotides hybridize only with  
entirely homologous sequences are recognized. The sequence of a  
given DNA fragment is read by the hybridization and assembly of  
positively hybridizing probes through overlapping portions. By  
simultaneous hybridization of DNA molecules applied as dots and  
bound onto a filter, representing single-stranded phage vector  
with the cloned insert, with about 50,000 to 100,000 groups of  
probes, the main type of which is (A,T,C,G) (A,T,C,G)<sup>N8</sup> (A,T,C,G),  
information for computer determination of a sequence of DNA having  
the complexity of a mammalian genome are obtained in one step. To  
obtain a maximally completed sequence, three libraries cloned into  
the phage vector, M13, bacteriophage are used: with the 0.5 kb and  
7 kbp insert consisting of two sequences, with the average  
distance in genomic DNA of 100 kbp. For a million bp of genomic  
DNA, 25,000 subclones of the 0.5 kbp are required as well as 700  
subclones 7 kb long and 170 jumping subclones. Subclones of 0.5 kb  
are applied on a filter in groups of 20 each, so that the total  
number of samples is 2,120 per million bp. The process can be  
easily and entirely robotized for factory reading of complex  
genomic fragments or DNA molecules.

DETD The required synthesis of 4.times.10.sup.6 11-mers, is  
impracticable for \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*  
(SBH). However, it is unsuitable to omit a significant number of  
ONPs (more than 25%), because it leads to gaps. . . .

DETD . . . or more bp (AAAAAAA . . . TCTCTCTC . . . TGATGATG . . . )  
represent a problem in \*\*\*sequencing\*\*\* by  
\*\*\*hybridization\*\*\*. The above mentioned probes cannot determine  
length of repetitive sequences that are longer than the common  
part of a ONP. . . .

DETD . . . random order. Such ONPs will give unreliable information  
or cannot be used at all if they give signals of approximate  
\*\*\*strength\*\*\* to signals of all colonies.

L64 ANSWER 21 OF 42 CABA COPYRIGHT 1996 CABI

AN 94:71047 CABA

DN 940104049

TI Genosensors: microfabricated devices for automated DNA sequence analysis

AU Eggers, M. D.; Hogan, M. E.; Reich, R. K.; Lamture, J. B.; Beattie, K. L.; Hollis, M. A.; Ehrlich, D. J.; Kosicki, B. B.; Shumaker, J. M.; Varma, R. S.; Burke, B. E.; Murphy, A.; Rathman, D. D.

CS Houston Advanced Research Center, The Woodlands, TX 77381, USA.

SO (1993) Vol. 1891, pp. 113-126. 19 ref.

Publisher: Society of Photo-Optical Instrumentation Engineers (SPIE). Bellingham

Meeting Info.: Proceedings of SPIE.

CY United States

DT Miscellaneous

LA English

AB A new technology is described for developing low cost, high throughput DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*. It uses bioelectronic sensor devices to detect hybridization across a DNA probe array. Genosensors contain numerous micro-sized electronic test fixtures assembled in a 2-dimensional array. These electronically addressable fixtures contain immobilized synthetic DNA probes which hybridize to target DNA samples applied to the array. Some results with a charge-coupled device are described, in which hybridization with labelled target DNA to an array of probes immobilized above the \*\*\*pixels\*\*\* was carried out. It was shown that such coupling provides a 10-fold improvement in sensitivity over conventional lens-based detection systems. The preliminary results were obtained with low-density arrays, but mathematical analysis supports the development of higher density arrays suitable for sequencing and diagnostic applications.

AB A new technology is described for developing low cost, high throughput DNA \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*. It uses bioelectronic sensor devices to detect hybridization across a DNA probe array. Genosensors contain numerous micro-sized electronic test fixtures. . . a charge-coupled device are described, in which hybridization with labelled target DNA to an array of probes immobilized above the \*\*\*pixels\*\*\* was carried out. It was shown that such coupling provides a 10-fold improvement in sensitivity over conventional lens-based detection systems.. . .

=> s (sequencing by hybridization)

L2	101	FILE	CAPLUS
L3	82	FILE	BIOSIS
L4	75	FILE	TOXLIT
L5	74	FILE	MEDLINE
L6	56	FILE	SCISEARCH
L7	47	FILE	EMBASE
L8	44	FILE	BIOTECHDS
L9	39	FILE	LIFESCI
L10	21	FILE	NLDB
L11	19	FILE	CABA
L12	19	FILE	PROMT
L13	18	FILE	USPATFULL
L14	13	FILE	CANCERLIT
L15	12	FILE	BIOBUSINESS
L16	9	FILE	DISSABS
L17	8	FILE	NTIS
L18	7	FILE	TOXLINE
L19	7	FILE	PNI
L20	7	FILE	INPADOC
L21	6	FILE	CEABA
L22	5	FILE	EMBAL
L23	4	FILE	CEN
L24	4	FILE	CIN
L25	4	FILE	CJACS
L26	4	FILE	CONFSCI
L27	4	FILE	JICST-EPLUS
L28	2	FILE	AQUASCI
L29	1	FILE	FSTA
L30	1	FILE	IFIPAT
L31	1	FILE	OCEAN

TOTAL FOR ALL FILES

L32 694 (SEQUENCING BY HYBRIDIZATION)

=> s l32 and (intensit### or ratio# or strength or pixel# or light)

L33	5	FILE	CAPLUS
L34	4	FILE	BIOSIS
L35	5	FILE	TOXLIT
L36	3	FILE	MEDLINE
L37	3	FILE	SCISEARCH
L38	3	FILE	EMBASE
L39	5	FILE	BIOTECHDS
L40	1	FILE	LIFESCI
L41	1	FILE	NLDB
L42	1	FILE	CABA
L43	0	FILE	PROMT
L44	15	FILE	USPATFULL
L45	0	FILE	CANCERLIT
L46	0	FILE	BIOBUSINESS
L47	0	FILE	DISSABS
L48	1	FILE	NTIS
L49	0	FILE	TOXLINE
L50	0	FILE	PNI
L51	0	FILE	INPADOC

L52	2	FILE	CEABA
L53	0	FILE	EMBAL
L54	3	FILE	CEN
L55	0	FILE	CIN
L56	4	FILE	CJACS
L57	0	FILE	CONFSCI
L58	0	FILE	JICST-EPLUS
L59	0	FILE	AQUASCI
L60	0	FILE	FSTA
L61	1	FILE	IFIPAT
L62	0	FILE	OCEAN

TOTAL FOR ALL FILES

L63            57 L32 AND (INTENSIT### OR RATIO# OR STRENGTH OR PIXEL# OR LI  
                 GHT)

=> duplicate remove l63

L189 ANSWER 419 OF 437 USPATFULL

AN 81:5454 USPATFULL

TI Method and apparatus for physiologic facsimile imaging of biologic targets based on complex permittivity measurements using remote microwave interrogation

IN Larsen, Lawrence E., Silver Spring, MD, United States  
Jacobi, John H., Bowie, MD, United States

PA The United States of America as represented by the Secretary of the Army, Washington, DC, United States (U.S. government)

PI US 4247815 810127

AI US 79-41374 790522 (6)

RLI Continuation-in-part of Ser. No. US 77-891256, filed on 14 Oct 1977, now patented, Pat. No. US 4162500 which is a continuation-in-part of Ser. No. US 77-842137, filed on 14 Oct 1977, now patented, Pat. No. US 4135131

DT Utility

EXNAM Primary Examiner: Krawczewicz, Stanley T.

LREP Gapcynski, William G.; Winters, Sherman D.; Bellamy, Werten F. W.

CLMN Number of Claims: 16

ECL Exemplary Claim: 1

DRWN 10 Drawing Figure(s); 8 Drawing Page(s)

LN.CNT 856

AB A physiologic facsimile image of a biological target is obtained by: scang the target by transmitting a microwave signal through the target and measuring at least one of the amplitude and phase components of the complex microwave power transmission coefficient at each one of a plurality of sample locations which are spaced so as to define a two-dimensional \*\*\*array\*\*\* and such that a set of digital data for each of the measured components is obtained, and for at least one of the sets of data; sorting the set of data into column order; magnifying data derived from the sorting step so as to enhance the resolution of the image; mapping data derived from the magnifying step into further data using a predetermined mapping function so as to enhance the contrast between selected portions of the image; and obtaining the set of control signals by filtering data derived from the mapping step using a band pass function which rejects spatial frequencies below a predetermined first frequency and/or rejects spatial frequencies above a predetermined second frequency so as to minimize, respectively, the effects of variations in the thickness of the target and/or spurious frequencies resulting from the magnifying step.

L32 694 S (SEQUENCING BY HYBRIDIZATION)

L33 5 FILE CAPLUS  
L34 4 FILE BIOSIS  
L35 5 FILE TOXLIT  
L36 3 FILE MEDLINE  
L37 3 FILE SCISEARCH  
L38 3 FILE EMBASE  
L39 5 FILE BIOTECHDS  
L40 1 FILE LIFESCI  
L41 1 FILE NLDB  
L42 1 FILE CABA  
L43 0 FILE PROMT  
L44 15 FILE USPATFULL  
L45 0 FILE CANCERLIT  
L46 0 FILE BIOBUSINESS  
L47 0 FILE DISSABS  
L48 1 FILE NTIS  
L49 0 FILE TOXLINE  
L50 0 FILE PNI  
L51 0 FILE INPADOC  
L52 2 FILE CEABA  
L53 0 FILE EMBAL  
L54 3 FILE CEN  
L55 0 FILE CIN  
L56 4 FILE CJACS  
L57 0 FILE CONFSCI  
L58 0 FILE JICST-EPLUS  
L59 0 FILE AQUASCI  
L60 0 FILE FSTA  
L61 1 FILE IFIPAT  
L62 0 FILE OCEAN

TOTAL FOR ALL FILES

L63 57 S L32 AND (INTENSIT### OR RATIO# OR STRENGTH OR PIXEL# OR  
L64 42 DUPLICATE REMOVE L63 (15 DUPLICATES REMOVED)  
L65 61 FILE CAPLUS  
L66 15 FILE BIOSIS  
L67 14 FILE TOXLIT  
L68 11 FILE MEDLINE  
L69 53 FILE SCISEARCH  
L70 13 FILE EMBASE  
L71 9 FILE BIOTECHDS  
L72 10 FILE LIFESCI  
L73 33 FILE NLDB  
L74 7 FILE CABA  
L75 90 FILE PROMT  
L76 1638 FILE USPATFULL  
L77 1 FILE CANCERLIT  
L78 1 FILE BIOBUSINESS  
L79 21 FILE DISSABS  
L80 21 FILE NTIS  
L81 2 FILE TOXLINE  
L82 0 FILE PNI  
L83 0 FILE INPADOC  
L84 0 FILE CEABA  
L85 1 FILE EMBAL  
L86 4 FILE CEN  
L87 1 FILE CIN

L88 152 FILE CJACS  
 L89 0 FILE CONFSCI  
 L90 5 FILE JICST-EPLUS  
 L91 2 FILE AQUASCI  
 L92 0 FILE FSTA  
 L93 66 FILE IFIPAT  
 L94 2 FILE OCEAN

TOTAL FOR ALL FILES

L95 2233 S (MISMATCHE#) AND (ARRAY# OR SEQUENCING BY HYBRIDIZATION)  
 L96 9 FILE CAPLUS  
 L97 3 FILE BIOSIS  
 L98 3 FILE TOXLIT  
 L99 2 FILE MEDLINE  
 L100 15 FILE SCISEARCH  
 L101 3 FILE EMBASE  
 L102 2 FILE BIOTECHDS  
 L103 2 FILE LIFESCI  
 L104 19 FILE NLDB  
 L105 2 FILE CABA  
 L106 48 FILE PROMT  
 L107 1149 FILE USPATFULL  
 L108 0 FILE CANCERLIT  
 L109 0 FILE BIOBUSINESS  
 L110 10 FILE DISSABS  
 L111 8 FILE NTIS  
 L112 0 FILE TOXLINE  
 L113 0 FILE PNI  
 L114 0 FILE INPADOC  
 L115 0 FILE CEABA  
 L116 0 FILE EMBAL  
 L117 3 FILE CEN  
 L118 0 FILE CIN  
 L119 129 FILE CJACS  
 L120 0 FILE CONFSCI  
 L121 2 FILE JICST-EPLUS  
 L122 1 FILE AQUASCI  
 L123 0 FILE FSTA  
 L124 15 FILE IFIPAT  
 L125 1 FILE OCEAN

TOTAL FOR ALL FILES

L126 1426 S L95 AND (ALGORITHM# OR COMPUTER# OR INTENSIT### OR RATI  
 L127 7 FILE CAPLUS  
 L128 1 FILE BIOSIS  
 L129 1 FILE TOXLIT  
 L130 0 FILE MEDLINE  
 L131 8 FILE SCISEARCH  
 L132 1 FILE EMBASE  
 L133 0 FILE BIOTECHDS  
 L134 0 FILE LIFESCI  
 L135 1 FILE NLDB  
 L136 0 FILE CABA  
 L137 6 FILE PROMT  
 L138 821 FILE USPATFULL  
 L139 0 FILE CANCERLIT  
 L140 0 FILE BIOBUSINESS  
 L141 5 FILE DISSABS  
 L142 2 FILE NTIS  
 L143 0 FILE TOXLINE



L144 0 FILE PNI  
 L145 0 FILE INPADOC  
 L146 0 FILE CEABA  
 L147 0 FILE EMBAL  
 L148 2 FILE CEN  
 L149 0 FILE CIN  
 L150 113 FILE CJACS  
 L151 0 FILE CONFSCI  
 L152 1 FILE JICST-EPLUS  
 L153 0 FILE AQUASCI  
 L154 0 FILE FSTA  
 L155 9 FILE IFIPAT  
 L156 0 FILE OCEAN

TOTAL FOR ALL FILES

L157 978 S L126 AND (RATIO# OR INTENSIT###)  
 L158 0 FILE CAPLUS  
 L159 0 FILE BIOSIS  
 L160 0 FILE TOXLIT  
 L161 0 FILE MEDLINE  
 L162 2 FILE SCISEARCH  
 L163 0 FILE EMBASE  
 L164 0 FILE BIOTECHDS  
 L165 0 FILE LIFESCI  
 L166 1 FILE NLDB  
 L167 0 FILE CABA  
 L168 0 FILE PROMT  
 L169 380 FILE USPATFULL  
 L170 0 FILE CANCERLIT  
 L171 0 FILE BIOBUSINESS  
 L172 2 FILE DISSABS  
 L173 0 FILE NTIS  
 L174 0 FILE TOXLINE  
 L175 0 FILE PNI  
 L176 0 FILE INPADOC  
 L177 0 FILE CEABA  
 L178 0 FILE EMBAL  
 L179 1 FILE CEN  
 L180 0 FILE CIN  
 L181 51 FILE CJACS  
 L182 0 FILE CONFSCI  
 L183 0 FILE JICST-EPLUS  
 L184 0 FILE AQUASCI  
 L185 0 FILE FSTA  
 L186 2 FILE IFIPAT  
 L187 0 FILE OCEAN

TOTAL FOR ALL FILES

L188 439 S L157 AND (COMPUTER OR ALGORITHM)  
 L189 437 DUPLICATE REMOVE L188 (2 DUPLICATES REMOVED)  
 L190 0 FILE CAPLUS  
 L191 0 FILE BIOSIS  
 L192 0 FILE TOXLIT  
 L193 0 FILE MEDLINE  
 L194 0 FILE SCISEARCH  
 L195 0 FILE EMBASE  
 L196 0 FILE BIOTECHDS  
 L197 0 FILE LIFESCI  
 L198 0 FILE NLDB  
 L199 0 FILE CABA

L200 0 FILE PROMT  
L201 21 FILE USPATFULL  
L202 0 FILE CANCERLIT  
L203 0 FILE BIOBUSINESS  
L204 0 FILE DISSABS  
L205 0 FILE NTIS  
L206 0 FILE TOXLINE  
L207 0 FILE PNI  
L208 0 FILE INPADOC  
L209 0 FILE CEABA  
L210 0 FILE EMBAL  
L211 1 FILE CEN  
L212 0 FILE CIN  
L213 30 FILE CJACS  
L214 0 FILE CONFSCI  
L215 0 FILE JICST-EPLUS  
L216 0 FILE AQUASCI  
L217 0 FILE FSTA  
L218 0 FILE IFIPAT  
L219 0 FILE OCEAN

TOTAL FOR ALL FILES

L220 52 S L188 AND (OLIGONUCLEOTIDE# OR NUCLEIC ACID# OR DNA)  
L221 52 DUPLICATE REMOVE L220 (0 DUPLICATES REMOVED)

=>

of pools containing subclones which overlap with the starting SSF. This detection is performed on the basis of the. . .

DETD . . . hybridization, the technological procedure is continued by reading of results of hybridization. Data are stored in the memory of the \*\*\*computer\*\*\* center. Data have binary characters (+, -) and are read from several sensitivity thresholds. Based on these, SFs are first formed, . . . it is followed by mutual ordering of SFs and subclones. At the end of processing of all the data, the \*\*\*computer\*\*\* center determines which subclones must be treated experimentally and what type of treatment should be applied in order to obtain. . .

DETD SBH is the method which minimizes experimental work at the expense of additional \*\*\*computer\*\*\* work. The only technological requirement is the sequence-specific hybridization of ONP. An incapability to use up to 6% of predicted. . .

DETD Solutions upon which this method is based enable one to obtain enough data in an entirely automated, \*\*\*computer\*\*\* -guided plant from data in the form of binary signals; computing generates the sequence of complex, cloned DNA fragments and/or molecules, .

AN 1996:259328 CAPLUS

TI Positional \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*

AU Hannenhalli, Sridhar; Feldman, William; Lewis, Herbert F.; Skiena, Steven S.; Pevzner, Pavel A.

CS Department Computer Science and Engineering, Pennsylvania State University, University Park, PA, 16802, USA

SO Comput. Appl. Biosci. (1996), 12(1), 19-24

CODEN: COABER; ISSN: 0266-7061

DT Journal

LA English

AB \*\*\*Sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) is a promising alternative to the classical DNA sequencing approaches. However, the resolving power of SBH is rather low: with 64 kb sequencing chips, unknown DNA fragments only as long as 200 bp can be reconstructed in a single SBH expt. To improve the resolving power of SBH, positional SBH (PSBH) has recently been suggested; this allows (with addnl. exptl. work) approx. positions of every 1-tuple in a target DNA fragment to be measured. We study the positional Eulerian path problem motivated by PSBH. The input to the positional eulerian path problem is an Eulerian graph  $G(V, E)$  in which every edge has an assocd. range of integers and the problem is to find an Eulerian path  $e_1, \dots, e_E$  in  $G$  such that the range of  $e_i$  contains  $i$ . We show that the positional Eulerian path problem is NP-complete even when the max. outdegree (in-degree) of any vertex in the graph is 2. On a pos. note we present polynomial \*\*\*algorithms\*\*\* to solve a special case of PSBH (bounded PSBH), where the range of the allowed positions for any edge is bounded by a const. (it corresponds to accurate exptl. measurements of positions in PSBH). Moreover, if the positions of every 1-tuple in an unknown DNA fragment of length  $n$  are measured with  $O(\log n)$  error, then our \*\*\*algorithm\*\*\* runs in polynomial time. We also present an est. of the resolving power of PSBH for a more realistic case when positions are measured with  $.PHI.(n)$  error.

TI Positional \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\*

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DT Journal

LA English

AB A format 1 technol. for performing massive hybridization expts. has been developed as part of the \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH) project. Arrays of tens of thousands of clones are interrogated with short oligomer probes to det. sets of oligomers that are present in individual clones. SBH requires highly discriminative hybridizations with a large no. of probes. One of the main uses of a reconstructed DNA sequence is in a similarity search against databases of known DNA. The authors argue that sequence reconstruction, even partial, should not be performed for this particular purpose; the authors provide and information-theoretic proof that the oligomer lists obtained from hybridization expts. should be used directly for similarity searches. The authors propose a similarity search method that takes full advantage of the subword structure of pos. identified oligomers within a clone. The method tolerates error in hybridization expts., requires fewer probes than necessary for sequencing, and is computationally efficient. To enable direct sequence recognition, the authors apply the recently developed method of sequence comparison that is based on minimal length encoding and algorithmic mutual information. The method has been tested on both real and simulated data and has led to a correct identification of clones based on hybridizations with 109 short oligomer probes. The method is applicable to hybridization data that comes from both format 1 and format 2 ( \*\*\*sequencing\*\*\* chip) \*\*\*hybridization\*\*\* expts. The sequence recognition method can provide targeting information for large-scale DNA sequencing by gel-based methods or by hybridization.

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IT \*\*\*Algorithm\*\*\*  
(method of sequence comparison based on minimal length encoding and algorithmic mutual information)

IT Deoxyribonucleic acid sequence determination  
( \*\*\*sequencing\*\*\* by \*\*\*hybridization\*\*\* (SBH); method of sequence comparison based on minimal length encoding and algorithmic mutual information)

DN 123:247808  
 TI Reconstructing strings from substrings  
 AU Skiena, Steven; Sundaram, Gopalakrishnan  
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DT Journal  
 LA English

AB We consider an interactive approach to DNA \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\*, where we are permitted to ask questions of  
 the form "is s a substring of the unknown sequence S", where s is a  
 specific query string. We are not told where s occurs in S, nor how  
 many times it occurs, just whether or not s is a substring of S. Our  
 goal is to det. the exact contents of S using as few queries as  
 possible. Through interactin, far fewer queries are necessary than  
 using conventional fixed \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\* (SBH) sequencing chips. We provide tight  
 bounds on the complexity of reconstructing unknown strings from  
 substring queries. Our lower bound which holds even for a stronger  
 model that returns the no. of occurrences of s as a substring of S,  
 relies on interesting arguments based on the Bruijn sequences. We  
 also demonstrate that subsequence queries are significantly more  
 powerful than substring queries, matching the information theoretic  
 lower bound. Finally, in certain applications, something may  
 already be known about the unknown string, and hence it can be detd.  
 faster than an arbitrary string. We show that building an optimal  
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 \*\*\*algorithm\*\*\* that gives tress within a const. multiplicative  
 factor of optimal.

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ST DNA sequence detn \*\*\*computer\*\*\* model \*\*\*algorithm\*\*\*  
 IT \*\*\*Algorithm\*\*\*

\*\*\*Computer\*\*\* program

Deoxyribonucleic acid sequence determination

Molecular modeling

Nucleic acid hybridization

(an interactive approach to DNA \*\*\*sequencing\*\*\* by  
 \*\*\*hybridization\*\*\*, where questions are asked of the form "is  
 s a substring of the unknown sequence S", where s is a specific  
 query string)